

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

Nicholas-Applegate Equity & Convertible Income Fund  
Form NSAR-A  
September 27, 2007

PAGE 1  
000 A000000 07/31/2007  
000 C000000 0001383441  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 NICHOLAS-APPLEGATE EQUITY & CONVERT INCOME FD  
001 B000000 811-21989  
001 C000000 2127393371  
002 A000000 1345 AVENUE OF AMERICAS  
002 B000000 NEW YORK  
002 C000000 NY  
002 D010000 10105  
003 000000 Y  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
008 B000001 A  
008 C000001 801-31227  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10105  
008 A000002 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC  
008 B000002 S  
008 C000002 801-21442  
008 D010002 SAN DIEGO  
008 D020002 CA  
008 D030002 92101  
008 A000003 OPPENHEIMER CAPITAL LLC  
008 B000003 S  
008 C000003 801-60575  
008 D010003 NEW YORK  
008 D020003 NY  
008 D030003 10105  
008 A000004 DELETE  
PAGE 2  
010 A000001 ALLIANZ GLOBAL INVESTORS FUND MANAGEMENT LLC  
010 B000001 801-31227  
010 C010001 NEW YORK  
010 C020001 NY  
010 C030001 10105  
011 A000001 UBS SECURITIES LLC

# Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

011 B000001 8-000000  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10171  
011 A000002 WACHOVIA CAPITAL MARKETS, LLC  
011 B000002 8-00000000  
011 C010002 BALTIMORE  
011 C020002 MD  
011 C030002 21202  
012 A000001 PFPC INC.  
012 B000001 84-58023  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3027  
013 A000001 PRICEWATERHOUSECOOPERS LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10017  
014 A000001 DRESDNER BANK AG  
014 B000001 8-12859  
014 A000002 DRESDNER KLEINWORT WASSERSTEIN SECURITIES LLC  
014 B000002 8-49647  
014 A000003 DRESDNER KLEINWORT BENSON  
014 B000003 8-00000  
014 A000004 GRANTCHESTER SECURITIES  
014 B000004 8-00000  
014 A000005 US ALLIANZ SECURITIES  
014 B000005 8-00000  
014 A000006 NICHOLAS-APPLEGATE CAPITAL MANAGEMENT LLC  
014 B000006 8-00000  
014 A000007 OPPENHEIMER CAPITAL LLC  
014 B000007 8-000000  
014 A000008 DELETE  
014 A000009 DELETE  
015 A000001 BROWN BROTHERS HARRIMAN & CO.  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02109  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 70  
019 C000000 ALLIANZGLO  
PAGE 3  
020 A000001 ICAP CORPS. LLC  
020 B000001 13-3858854  
020 C000001 266  
020 A000002 INSTINET GROUP, INC.  
020 B000002 13-4134098  
020 C000002 215  
020 A000003 BEAR STEARNS COS., INC.  
020 B000003 13-3286161  
020 C000003 170  
020 A000004 RAYMOND C. FORBES & CO., INC.  
020 B000004 13-2871917  
020 C000004 139  
020 A000005 PIPER JAFFRAY & CO.  
020 B000005 41-0953246  
020 C000005 35  
020 A000006 MORGAN STANLEY

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

020 B000006 36-3145972  
020 C000006 28  
020 A000007 CITIGROUP, INC.  
020 B000007 52-1568099  
020 C000007 27  
020 A000008 CREDIT SUISSE GROUP  
020 B000008 98-0215385  
020 C000008 24  
020 A000009 WEEDEN & CO. L.P.  
020 B000009 13-3364318  
020 C000009 21  
020 A000010 LAZARD FRERES & CO., LLC  
020 B000010 13-5545100  
020 C000010 21  
021 000000 1093  
022 A000001 ABN AMRO ASSET MANAGEMENT HOLDINGS, INC.  
022 B000001 36-4041138  
022 C000001 49808  
022 D000001 45438  
022 A000002 THE GOLDMAN SACHS GROUP, INC.  
022 B000002 13-4019460  
022 C000002 17972  
022 D000002 19199  
022 A000003 LEHMAN BROTHERS HOLDINGS, INC.  
022 B000003 13-3216325  
022 C000003 16396  
022 D000003 15292  
022 A000004 MERRILL LYNCH & CO., INC.  
022 B000004 13-2740599  
022 C000004 12173  
022 D000004 3344  
022 A000005 BEAR STEARNS COS., INC.  
022 B000005 13-3286161  
022 C000005 6136  
022 D000005 4962

PAGE 4

022 A000006 JP MORGAN CHASE & CO.  
022 B000006 13-2664428  
022 C000006 6291  
022 D000006 0  
022 A000007 MORGAN STANLEY  
022 B000007 36-3145972  
022 C000007 5481  
022 D000007 0  
022 A000008 UBS AG  
022 B000008 00-0000000  
022 C000008 5018  
022 D000008 0  
022 A000009 CIBC OPPENHEIMER CORP.  
022 B000009 13-2798343  
022 C000009 4995  
022 D000009 0  
022 A000010 BANK OF AMERICA CORP.  
022 B000010 56-0906609  
022 C000010 4962  
022 D000010 0  
023 C000000 130176  
023 D000000 92184  
024 000000 Y  
025 A000001 MORGAN STANLEY  
025 B000001 36-3145972  
025 C000001 E

# Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

025 D000001 19488  
025 A000002 GOLDMAN SACHS GROUP, INC.  
025 B000002 13-4019460  
025 C000002 E  
025 D000002 17250  
025 A000003 LEHMAN BROTHERS HOLDINGS, INC.  
025 B000003 13-3216325  
025 C000003 E  
025 D000003 17063  
025 A000004 UBS AG  
025 B000004 00-0000000  
025 C000004 D  
025 D000004 4888  
025 A000005 CITIGROUP, INC.  
025 B000005 52-1568099  
025 C000005 E  
025 D000005 4741  
025 A000006 BANK OF AMERICA CORP.  
025 B000006 56-0906609  
025 C000006 D  
025 D000006 4595  
025 A000007 LAZARD FRERES & CO. LLC  
025 B000007 13-5545100  
025 C000007 E  
025 D000007 4353

PAGE 5

025 D000008 0  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0  
028 B020000 0  
028 B030000 0  
028 B040000 0  
028 C010000 0  
028 C020000 0  
028 C030000 0  
028 C040000 0  
028 D010000 0  
028 D020000 0  
028 D030000 0  
028 D040000 0  
028 E010000 0  
028 E020000 0  
028 E030000 0  
028 E040000 0  
028 F010000 0  
028 F020000 0  
028 F030000 0  
028 F040000 0  
028 G010000 0

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

|     |         |       |
|-----|---------|-------|
| 028 | G020000 | 0     |
| 028 | G030000 | 0     |
| 028 | G040000 | 0     |
| 028 | H000000 | 0     |
| 030 | A000000 | 0     |
| 030 | B000000 | 0.00  |
| 030 | C000000 | 0.00  |
| 031 | A000000 | 0     |
| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
| 033 | 000000  | 0     |
| 035 | 000000  | 0     |
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
| 042 | A000000 | 0     |
|     | PAGE    | 6     |
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 1.000 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |
| 054 | A000000 | Y     |
| 054 | B000000 | Y     |
| 054 | C000000 | N     |
| 054 | D000000 | N     |
| 054 | E000000 | N     |
| 054 | F000000 | N     |

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
PAGE 7  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 Y  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 E000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
PAGE 8  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

|       |         |   |         |
|-------|---------|---|---------|
| 070   | I010000 | N |         |
| 070   | I020000 | N |         |
| 070   | J010000 | Y |         |
| 070   | J020000 | Y |         |
| 070   | K010000 | Y |         |
| 070   | K020000 | N |         |
| 070   | L010000 | Y |         |
| 070   | L020000 | Y |         |
| 070   | M010000 | Y |         |
| 070   | M020000 | N |         |
| 070   | N010000 | Y |         |
| 070   | N020000 | N |         |
| 070   | O010000 | Y |         |
| 070   | O020000 | N |         |
| 070   | P010000 | N |         |
| 070   | P020000 | N |         |
| 070   | Q010000 | N |         |
| 070   | Q020000 | N |         |
| 070   | R010000 | Y |         |
| 070   | R020000 | N |         |
| 071   | A000000 |   | 1331311 |
| 071   | B000000 |   | 816707  |
| 071   | C000000 |   | 494230  |
| 071   | D000000 |   | 165     |
| 072   | A000000 |   | 5       |
| 072   | B000000 |   | 2302    |
| 072   | C000000 |   | 8125    |
| 072   | D000000 |   | 0       |
| 072   | E000000 |   | 72      |
| 072   | F000000 |   | 2289    |
| 072   | G000000 |   | 0       |
| 072   | H000000 |   | 0       |
| 072   | I000000 |   | 14      |
| 072   | J000000 |   | 100     |
| 072   | K000000 |   | 0       |
| 072   | L000000 |   | 46      |
| 072   | M000000 |   | 21      |
| 072   | N000000 |   | 0       |
| 072   | O000000 |   | 0       |
| 072   | P000000 |   | 0       |
| 072   | Q000000 |   | 0       |
| 072   | R000000 |   | 41      |
| 072   | S000000 |   | 11      |
| 072   | T000000 |   | 0       |
| 072   | U000000 |   | 0       |
| 072   | V000000 |   | 0       |
| 072   | W000000 |   | 13      |
|       | PAGE    | 9 |         |
| 072   | X000000 |   | 2535    |
| 072   | Y000000 |   | 0       |
| 072   | Z000000 |   | 7964    |
| 072AA | 000000  |   | 37834   |
| 072BB | 000000  |   | 0       |
| 072CC | 010000  |   | 0       |
| 072CC | 020000  |   | 19626   |
| 072DD | 010000  |   | 5885    |
| 072DD | 020000  |   | 0       |
| 072EE | 000000  |   | 6661    |
| 073   | A010000 |   | 0.2638  |
| 073   | A020000 |   | 0.0000  |
| 073   | B000000 |   | 0.2987  |
| 073   | C000000 |   | 0.0000  |

Edgar Filing: Nicholas-Applegate Equity & Convertible Income Fund - Form NSAR-A

|     |           |                    |
|-----|-----------|--------------------|
| 074 | A000000   | 0                  |
| 074 | B000000   | 0                  |
| 074 | C000000   | 4595               |
| 074 | D000000   | 60726              |
| 074 | E000000   | 152444             |
| 074 | F000000   | 329469             |
| 074 | G000000   | 0                  |
| 074 | H000000   | 0                  |
| 074 | I000000   | 0                  |
| 074 | J000000   | 0                  |
| 074 | K000000   | 0                  |
| 074 | L000000   | 2069               |
| 074 | M000000   | 18                 |
| 074 | N000000   | 549321             |
| 074 | O000000   | 0                  |
| 074 | P000000   | 478                |
| 074 | Q000000   | 0                  |
| 074 | R010000   | 0                  |
| 074 | R020000   | 0                  |
| 074 | R030000   | 3580               |
| 074 | R040000   | 239                |
| 074 | S000000   | 0                  |
| 074 | T000000   | 545024             |
| 074 | U010000   | 22304              |
| 074 | U020000   | 0                  |
| 074 | V010000   | 24.44              |
| 074 | V020000   | 0.00               |
| 074 | W000000   | 0.0000             |
| 074 | X000000   | 5                  |
| 074 | Y000000   | 162781             |
| 075 | A000000   | 0                  |
| 075 | B000000   | 539139             |
| 076 | 000000    | 23.47              |
| 077 | A000000   | N                  |
| 078 | 000000    | N                  |
| 080 | C000000   | 0                  |
| 081 | B000000   | 0                  |
|     | PAGE      | 10                 |
| 082 | B000000   | 0                  |
| 083 | B000000   | 0                  |
| 084 | B000000   | 0                  |
| 086 | A010000   | 22300              |
| 086 | A020000   | 532413             |
| 086 | B010000   | 0                  |
| 086 | B020000   | 0                  |
| 086 | C010000   | 0                  |
| 086 | C020000   | 0                  |
| 086 | D010000   | 0                  |
| 086 | D020000   | 0                  |
| 086 | E010000   | 0                  |
| 086 | E020000   | 0                  |
| 086 | F010000   | 0                  |
| 086 | F020000   | 0                  |
| 087 | A010000   | COMMON             |
| 087 | A020000   | 65370K100          |
| 087 | A030000   | NIE                |
| 088 | A000000   | N                  |
| 088 | B000000   | N                  |
| 088 | C000000   | N                  |
| 088 | D000000   | N                  |
|     | SIGNATURE | LAWRENCE ALTADONNA |
|     | TITLE     | TREASURER & CEO    |



