

Edgar Filing: SIEBERT FINANCIAL CORP - Form 8-K/A

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act

- Soliciting material pursuant to Rule 14a-12 under the Exchange Act

- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act

- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

Siebert Financial Corp. (the “Company”) is filing this Amendment No. 1 on Form 8-K/A (this “Amendment”) to correct and clarify the Form 8-K filed with the Securities and Exchange Commission on December 21, 2016 (the “Original Report”).

The second paragraph under “Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers” is hereby deleted and replaced in its entirety with the following:

In accordance with the terms of the Acquisition Agreement, effective as of the Closing Date, Andrew H. Reich was appointed as the Company’s Executive Vice President, Chief Operating Officer, Chief Financial Officer and Secretary.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 27, 2016

By: /s/ Andrew H. Reich
Andrew H. Reich
EVP, Chief Operating Officer,
Chief Financial Officer and Secretary