Edgar Filing: Hickey William August Jr - Form 4

•	iam August Jr										
Form 4 January 12, 2	2018										
									OMB APPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	6. STATEN									January 31, 2005 verage s per 0.5	
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a) of the P	ublic U		ling Cor	npan	y Act of	e Act of 1934, 1935 or Section 0	L		
(Print or Type I	Responses)										
Hickey William August Jr _{Symbol} KINGS				er Name and Ticker or Trading SWAY FINANCIAL ICES INC [KFS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	Y FINANCIAL , INC., 150 PIER		3. Date of (Month/E 01/12/2	-	ansaction			Director X Officer (give t below) CF		Owner r (specify	
(Street) 4. If Amendmen Filed(Month/Day					-	1		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ITASCA, II	2 60143							Person	ore than One Rej	Jorning	
(City)	(State)	(Zip)	Tabl	le I - Non-D	erivative	Secur	ities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if	3. Transactio Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	01/12/2018			P	282	A	\$ 5.2901	304,030 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. tionNumber of) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Under Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code N	. ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
Hickey William August Jr KINGSWAY FINANCIAL SERVICES, 150 PIERCE ROAD, SUITE 600 ITASCA, IL 60143	INC.		CFO and EVP				
Signatures							
/s/William A. 01/12/201	8						

Hickey, Jr.

******Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 229,500 restricted common shares under the 2013 Equity Incentive Plan, approved by shareholders in May 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.