

Edgar Filing: UNIVERSAL CORP /VA/ - Form 5

UNIVERSAL CORP /VA/
Form 5
August 12, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

- Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Zalzneck	Robert	J
-----	-----	-----
(Last)	(First)	(Middle)
1501 North Hamilton Street		

(Street)		
Richmond	Va	23230
-----	-----	-----
(City)	(State)	(Zip)

2. Issuer Name and Ticker or Trading Symbol

Universal Corporation UVV

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

06/02

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

- | | |
|--|--|
| <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |
- Vice President

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7. Individual or Joint/Group Filing
(Check applicable line)

- Form filed by one Reporting Person
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Price
			Amount	(A) or (D)	
Common Stock	6/30/02	I	511	A	2
Common Stock	6/30/02	I	535	A	
Common Stock					
				total	3

* If the form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

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FORM 5 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Options to buy common Stock	\$38.94				6/15/98 12/20/07	Common Stock(5)
Options to buy common stock	\$40.1875				6/8/98 12/1/04	Common Stock(5)
Options to buy common stock	\$38.00				12/15/01 12/2/09	Common Stock(5)
Options to buy common stock	\$38.70				12/17/02 12/1/04	Common Stock(5)
Options to buy common stock	\$38.70				12/17/02 12/2/09	Common Stock(5)
phantom stock units 1 for 1		(6)		53.208	(6) (6)	Common Stock(6) 53.

Explanation of Responses:

- (1) includes 511 shares that were previously owned in the stock purchase plan
- (2) includes 535 shares acquired from 7/1/01 through 6/30/02 in the stock purchase plan
- (3) shares held in the stock purchase plan

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(4) shares held in the dividend reinvestment plan
(5) options issued under the Executive Stock Plan
(6) the phantom stock units were acquired under the ULT supplemental stock purchase plan on a periodic basis during the fiscal year ended June 30, 2002. Each phantom stock unit will be settled in cash upon the earlier of death, disability, retirement or termination of employment. The range of high and low market prices for the company's common stock on the dates the phantom units were credits is \$42.96 and \$31.78 respectively.

signature on file, filed electronically

August 12, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Page 2