

Gault Robert J  
 Form 4  
 November 19, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Gault Robert J

2. Issuer Name and Ticker or Trading Symbol  
 EXTREME NETWORKS INC  
 [EXTR]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 6480 VIA DEL ORO  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 11/15/2018

\_\_\_\_ Director \_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_ Other (specify below)  
 EVP Worldwide Sales Services a

SAN JOSE, CA 95119  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |
| Common Stock                    | 11/15/2018                           | 11/15/2018   | F                              |   | 3,092<br>(1)<br>\$ 6.46   | D  | 178,557 D                         |
| Common Stock                    | 11/15/2018                           | 11/15/2018   | F                              |   | 3,865<br>(1)<br>\$ 6.46   | D  | 174,692 D                         |
| Common Stock                    | 11/15/2018                           | 11/15/2018   | F                              |   | 3,092<br>(2)<br>\$ 6.46   | D  | 171,600 D                         |
| Common Stock                    | 11/15/2018                           | 11/15/2018   | M                              |   | 6,670 A \$ 0  |  | 178,270 D                         |
| Common Stock                    | 11/15/2018                           | 11/15/2018   | M                              |   | 6,670 A \$ 0  |  | 184,940 D                         |

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Common Stock 11/15/2018 11/15/2018 M 8,337 A \$ 0 193,277 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Performance Shares                         | \$ 0   | 11/15/2018                           | 11/15/2018   | M                              | 6,670   | 11/15/2017 <sup>(3)</sup> 08/15/2019                     | Common Stock  | 6,670                         |
| RSU Award                                  | \$ 0   | 11/15/2018                           | 11/15/2018   | M                              | 6,670   | 11/15/2017 <sup>(5)</sup> 08/15/2019                     | Common Stock  | 6,670                         |
| RSU Award                                  | \$ 0   | 11/15/2018                           | 11/15/2018   | M                              | 8,337   | 02/15/2018 <sup>(6)</sup> 02/15/2020                     | Common Stock  | 8,337                         |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships |           |                                |       |
|--|---------------|-----------|--------------------------------|-------|
|  | Director      | 10% Owner | Officer                        | Other |
| Gault Robert J<br>6480 VIA DEL ORO<br>SAN JOSE, CA 95119 |               |           | EVP Worldwide Sales Services a |       |

## Signatures

Quentin Wright, Power of Attorney 11/19/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (2) Represents shares withheld from the Performance Based RSU award for the payment of applicable income and payroll withholding taxes due on release.
- (3) Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Earned shares vest as to 1/3 on the one year anniversary date and 1/12 each quarter thereafter.
- (4) This is not an applicable reportable field for this type of grant.
- (5) This Time Based RSU award vests from the original grant date as to 1/3 on the one year anniversary and 1/12 each quarter thereafter.
- (6) This Time Based RSU award vests as to 1/3 on February 15, 2018 and 1/12 each quarter thereafter subject to continued service at the company through the vesting dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.