

SUN LIFE FINANCIAL INC

Form 144

November 12, 2013

---

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES  
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

OMB APPROVAL  
OMB Number: 3235-0101  
Expires: February 28, 2014  
Estimated average burden  
hours per response.....1.00  
SEC USE ONLY

ATTENTION: Transmit for filing 3 copies of this form concurrently with either placing an order with a broker to execute sale or executing a sale directly with a market maker

1 (a) NAME OF ISSUER (Please type or print)	(b) IRS IDENT. NO.	(c) S.E.C. FILE NO.	DOCUMENT SEQUENCE NO.	CUSIP NUMBER	WORK LOCATION
Sun Life Financial Inc.		Not Applicable	001-15014		
1 (d) ADDRESS OF ISSUER	STREET		(e) TELEPHONE NO.	CITY	
STATE ZIP CODE			AREA CODE	NUMBER	
150 King Street, 6th Floor, Toronto Ontario Canada M5H 1J9			416	979-4800	
2 (a) NAME OF PERSON FOR WHOSE ACCOUNT THE SECURITIES ARE TO BE SOLD	(b) RELATIONSHIP TO ISSUER	(c) ADDRESS STREET CODE	CITY	STATE	
Westley V. Thompson	Officer	c/o Sun Life Financial, One Sun Life Executive Park, Wellesley Hills, MA 02481			

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. Identification Number and the S.E.C. File Number.

3 (a)	(b)	SEC USE ONLY	(c)	(d)	(e)	(f)	(g)
Title of the Class of Securities To Be Sold	Name and Address of Each Broker Through Whom the Securities are to be Offered or Each Market Maker who is Acquiring the Securities	Broker-Dealer File Number	Number of Shares or Other Units To Be Sold (See instr. 3(c))	Aggregate Market Value (See instr. 3(d))	Number of Shares or Other Units Outstanding (See instr. 3(e))	Approximate Date of Sale (See instr. 3(f)) (MO. DAY YR.)	Name of Each Securities Exchange (See instr. 3(g))
Common Shares	Canaccord Genuity, Inc. 99 High Street, 12th Floor Boston, MA 02110		327,834	11,985,611	607,213,427	11-11-2013	TSX

**INSTRUCTIONS:**

- |   |   |
|---|---|
| <p>1. (a) Name of issuer<br/>                 (b) Issuer's I.R.S. Identification Number<br/>                 (c) Issuer's S.E.C. file number, if any<br/>                 (d) Issuer's address, including zip code<br/>                 (e) Issuer's telephone number, including area code</p>                      | <p>3. (a) Title of the class of securities to be sold<br/>                 (b) Name and address of each broker through whom the securities are intended to be sold<br/>                 (c) Number of shares or other units to be sold (if debt securities, give the aggregate face amount)<br/>                 (d) Aggregate market value of the securities to be sold as of a specified date within 10 days prior to the filing of this notice<br/>                 (e) Number of shares or other units of the class outstanding, or if debt securities the face amount thereof outstanding, as shown by the most recent report or statement published by the issuer<br/>                 (f) Approximate date on which the securities are to be sold<br/>                 (g) Name of each securities exchange, if any, on which the securities are intended to be sold</p> |
| <p>2. (a) Name of person for whose account the securities are to be sold<br/>                 (b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)<br/>                 (c) Such person's address, including zip code</p> |   |

**TABLE I - SECURITIES TO BE SOLD**

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of Person from Whom Acquired (If gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common Shares	5/16/2013	Stock Option Exercise	Sun Life Financial Inc.	327,834	11-11-2013	Cash

**INSTRUCTIONS:** If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

**TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS**

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
None				

**REMARKS:**

**INSTRUCTIONS:**

See the definition of "person" in paragraph (a) of Rule 144. The person for whose account the securities to which information is to be given not only as to the person for whose this notice relates are to be sold hereby represents by account the securities are to be sold but also as to all others signing this notice that he does not know any material

**ATTENTION:**

Edgar Filing: SUN LIFE FINANCIAL INC - Form 144

persons included in that definition. In addition, information adverse information in regard to the current and shall be given as to sales by all persons whose sales are prospective operations of the Issuer of the securities to required by paragraph (e) of Rule 144 to be aggregated with be sold which has not been publicly disclosed. If such sales for the account of the person filing this notice.

person has adopted a written trading plan or given trading instructions to satisfy Rule 10b-5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

11-11-2013

DATE OF NOTICE

/s/ Westley V.

Thompson  
(SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION, IF RELYING ON RULE 10B5-1

The notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed. Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

---

