

Trask James B
Form 3
March 01, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Trask James B

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

02/23/2011

3. Issuer Name **and** Ticker or Trading Symbol
SCIENTIFIC GAMES CORP [SGMS]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner

☒ Officer ____ Other
(give title below) (specify below)

Division President

C/O SCIENTIFIC GAMES
CORPORATION,Â 1500
BLUEGRASS LAKES
PARKWAY

(Street)

ALPHARETTA,Â GAÂ 30004

(City)

(State)

(Zip)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
____ Form filed by More than One
Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Class A Common Stock

11,012

D

Â

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.**

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and
Expiration Date
(Month/Day/Year)

3. Title and Amount of
Securities Underlying
Derivative Security

4. Conversion
or Exercise

5. Ownership
Form of

6. Nature of Indirect
Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	Â <u>(1)</u>	12/07/2013	Common Stock	6,400	\$ 15.96	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	12/08/2014	Common Stock	9,600	\$ 23.15	D	Â
Employee Stock Option (right to buy)	Â <u>(3)</u>	12/14/2015	Common Stock	10,000	\$ 27.68	D	Â
Employee Stock Option (right to buy)	Â <u>(4)</u>	02/26/2017	Common Stock	8,609	\$ 33.94	D	Â
Employee Stock Option (right to buy)	Â <u>(5)</u>	02/25/2018	Common Stock	12,926	\$ 21.27	D	Â
Employee Stock Option (right to buy)	Â <u>(6)</u>	02/22/2019	Common Stock	18,325	\$ 12.21	D	Â
Employee Stock Option (right to buy)	Â <u>(7)</u>	02/21/2020	Common Stock	16,450	\$ 15.65	D	Â
Employee Stock Option (right to buy)	Â <u>(8)</u>	12/31/2020	Common Stock	50,000	\$ 9.98	D	Â
Restricted Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	1,254	\$ <u>(9)</u>	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock	3,209	\$ <u>(10)</u>	D	Â
Restricted Stock Units	Â <u>(11)</u>	Â <u>(11)</u>	Common Stock	6,000	\$ <u>(11)</u>	D	Â
Restricted Stock Units	Â <u>(12)</u>	Â <u>(12)</u>	Common Stock	5,160	\$ <u>(12)</u>	D	Â
Restricted Stock Units	Â <u>(13)</u>	Â <u>(13)</u>	Common Stock	6,291	\$ <u>(13)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Trask James B C/O SCIENTIFIC GAMES CORPORATION 1500 BLUEGRASS LAKES PARKWAY ALPHARETTA, GA 30004	Â	Â	Â Division President	Â

Signatures

/s/ Jack Sarno, attorney-in-fact for James B.
Trask

03/01/2011

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option, which was granted on December 8, 2003 and originally covered 16,000 shares, became exercisable as to one-fifth of the underlying shares on each of December 8, 2004, 2005, 2006, 2007 and 2008.
- (2) The option, which was granted on December 9, 2004 and originally covered 16,000 shares, became exercisable as to one-fifth of the underlying shares on each of December 9, 2005, 2006, 2007, 2008 and 2009.
- (3) The option became exercisable as to one-fifth of the underlying shares on each of December 15, 2006, 2007, 2008, 2009 and 2010.
- (4) The option became exercisable as to one-fifth of the underlying shares on each of February 27, 2008, 2009 and 2010 and becomes exercisable as to one-fifth of the underlying shares on each of February 27, 2011 and 2012.
- (5) The option became exercisable as to one-fifth of the underlying shares on each of February 26, 2009 and 2010 and becomes exercisable as to one-fifth of the underlying shares on each of February 26, 2011, 2012 and 2013.
- (6) The option became exercisable as to one-fifth of the underlying shares on each of February 23, 2010 and 2011 and becomes exercisable as to one-fifth of the underlying shares on each of February 23, 2012, 2013 and 2014.
- (7) The option became exercisable as to one-fourth of the underlying shares on February 22, 2011 and becomes exercisable as to one-fourth of the underlying shares on each of February 22, 2012, 2013, and 2014.
- (8) The option becomes exercisable as to one-fourth of the underlying shares on each of January 1, 2012, 2013, 2014 and 2015.
- (9) The reporting person was granted 3,135 restricted stock units on February 27, 2007, one-fifth of which vested on February 27, 2008 based upon satisfaction of certain performance criteria and one-fifth of which vested on each of February 27, 2009 and 2010. The balance of the award vests in two equal installments on February 27, 2011 and 2012. Each unit converts into a share of common stock on a one-for-one basis.
- (10) The reporting person was granted 5,348 restricted stock units on February 26, 2008, one-fifth of which vested on February 26, 2009 based upon satisfaction of certain performance criteria and one-fifth of which vested on February 26, 2010. The balance of the award vests in three equal installments on each of February 26, 2011, 2012 and 2013. Each unit converts into a share of common stock on a one-for-one basis.
- (11) The reporting person was granted 10,000 restricted stock units on October 7, 2008, one-fifth of which vested on each of October 7, 2009 and 2010. The balance of the award vests in three equal installments on each of October 7, 2011, 2012 and 2013. Each unit converts into a share of common stock on a one-for-one basis.
- (12) The reporting person was granted 8,600 restricted stock units on February 23, 2009, one-fifth of which vested on February 23, 2010 based upon satisfaction of certain performance criteria and one-fifth of which vested on February 23, 2011. The balance of the award vests in three equal installments on each of February 23, 2012, 2013 and 2014. Each unit converts into a share of common stock on a one-for-one basis.
- (13) The reporting person was granted 8,387 restricted stock units on February 22, 2010, one-fourth of which vested on February 22, 2011 based upon satisfaction of certain performance criteria. The balance of the award vests in three equal installments on each of February 22, 2012, 2013 and 2014. Each unit converts into a share of common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.