

CORNERSTONE STRATEGIC VALUE FUND INC  
Form N-PX  
August 24, 2011

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OMB APPROVAL  
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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT  
INVESTMENT COMPANY

Investment Company Act file number 811-05150  
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Cornerstone Strategic Value Fund, Inc.  
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(Exact name of registrant as specified in charter)

350 Jericho Turnpike, Suite 206 Jericho, New York 11753  
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(Address of principal executive offices) (Zip code)

Frank J. Maresca

Ultimus Fund Solutions, LLC 350 Jericho Turnpike, Suite 206 Jericho, NY 11753  
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(Name and address of agent for service)

Registrant's telephone number, including area code: (513) 587-3400  
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Date of fiscal year end: December 31  
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Date of reporting period: July 1, 2010 - June 30, 2011  
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Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not

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required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

ITEM 1. PROXY VOTING RECORD.

Disclose the following information for each matter relating to a portfolio security considered at any shareholder meeting held during the period covered by the report and with respect to which the registrant was entitled to vote:

- (a) The name of the issuer of the portfolio security;
- (b) The exchange ticker symbol of the portfolio security;
- (c) The Council on Uniform Securities Identification Procedures ("CUSIP") number for the portfolio security;
- (d) The shareholder meeting date;
- (e) A brief identification of the matter voted on;
- (f) Whether the matter was proposed by the issuer or by a security holder;
- (g) Whether the registrant cast its vote on the matter;
- (h) How the registrant cast its vote (e.g., for or against proposal, or abstain; for or withhold regarding election of directors); and
- (i) Whether the registrant cast its vote for or against management.

SIGNATURES  
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[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Cornerstone Strategic Value Fund, Inc.  
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By (Signature and Title)\* /s/ Ralph W. Bradshaw  
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Ralph W. Bradshaw, President and Chairman  
(Principal Executive Officer)

Date August 23, 2011  
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\* Print the name and title of each signing officer under his or her signature.

EXHIBIT A

Item 1: Proxy Voting Record

Registrant : Cornerstone Strategic Value Fund, Inc.

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3M Company

Ticker	Security ID:	Meeting Date	Meeting Status		
MMM	CUSIP 88579Y101	05/10/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Linda Alvarado	Mgmt	For	For	For
2	Elect George Buckley	Mgmt	For	For	For
3	Elect Vance Coffman	Mgmt	For	For	For
4	Elect Michael Eskew	Mgmt	For	For	For
5	Elect W. James Farrell	Mgmt	For	For	For
6	Elect Herbert Henkel	Mgmt	For	For	For
7	Elect Edward Liddy	Mgmt	For	For	For
8	Elect Robert Morrison	Mgmt	For	For	For
9	Elect Aulana Peters	Mgmt	For	Against	Against
10	Elect Robert Ulrich	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

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Abbott  
Laboratories

Ticker	Security ID:	Meeting Date	Meeting Status		
ABT	CUSIP 002824100	04/29/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Alpern	Mgmt	For	For	For

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1.2	Elect Roxanne Austin	Mgmt	For	For	For
1.3	Elect W. James Farrell	Mgmt	For	For	For
1.4	Elect H. Laurance Fuller	Mgmt	For	For	For
1.5	Elect Edward Liddy	Mgmt	For	For	For
1.6	Elect Phebe Novakovic	Mgmt	For	For	For
1.7	Elect William Osborn	Mgmt	For	For	For
1.8	Elect Samuel Scott III	Mgmt	For	For	For
1.9	Elect Glenn Tilton	Mgmt	For	For	For
1.10	Elect Miles White	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHldr	Against	Against	For

Adam Express  
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
ADX	CUSIP 006212104	03/22/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Enrique Arzac	Mgmt	For	Withhold	Against
1.2	Elect Phyllis Bonanno	Mgmt	For	For	For
1.3	Elect Kenneth Dale	Mgmt	For	For	For
1.4	Elect Daniel Emerson	Mgmt	For	For	For
1.5	Elect Frederic Escherich	Mgmt	For	For	For
1.6	Elect Roger Gale	Mgmt	For	For	For
1.7	Elect Thomas Lenagh	Mgmt	For	For	For
1.8	Elect Kathleen McGahran	Mgmt	For	For	For
1.9	Elect Douglas Ober	Mgmt	For	For	For
1.10	Elect Craig Smith	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Shareholder Proposal Regarding Self-Tender Offer	ShrHldr	Against	Against	For

Aetna Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
AET	CUSIP 00817Y108	05/20/2011	Voted

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Meeting Type Annual Issue No.	Country of Trade United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Mark Bertolini	Mgmt	For	For	For
2	Elect Frank Clark, Jr.	Mgmt	For	Against	Agains
3	Elect Betsy Cohen	Mgmt	For	Against	Agains
4	Elect Molly Coye	Mgmt	For	Against	Agains
5	Elect Roger Farah	Mgmt	For	Against	Agains
6	Elect Barbara Franklin	Mgmt	For	Against	Agains
7	Elect Jeffrey Garten	Mgmt	For	Against	Agains
8	Elect Gerald Greenwald	Mgmt	For	For	For
9	Elect Ellen Hancock	Mgmt	For	For	For
10	Elect Richard Harrington	Mgmt	For	For	For
11	Elect Edward Ludwig	Mgmt	For	For	For
12	Elect Joseph Newhouse	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to the 2010 Stock Incentive Plan	Mgmt	For	Against	Agains
15	2011 Employee Stock Purchase Plan	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Agains
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Agains

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AFLAC  
Incorporated

Ticker AFL Meeting Type Annual Issue No.	Security ID: CUSIP 001055102 Country of Trade United States Description	Meeting Date 05/02/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Daniel Amos	Mgmt	For	For	For	For	
2	Elect John Shelby Amos II	Mgmt	For	Against	Agains	For	
3	Elect Paul Amos II	Mgmt	For	For	For	For	
4	Elect Michael Armacost	Mgmt	For	For	For	For	
5	Elect Kriss Cloninger III	Mgmt	For	Against	Agains	For	
6	Elect Elizabeth Hudson	Mgmt	For	For	For	For	
7	Elect Douglas Johnson	Mgmt	For	For	For	For	
8	Elect Robert Johnson	Mgmt	For	For	For	For	

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9	Elect Charles Knapp	Mgmt	For	For	For
10	Elect E. Stephen Purdom	Mgmt	For	For	For
11	Elect Barbara Rimer	Mgmt	For	For	For
12	Elect Marvin Schuster	Mgmt	For	For	For
13	Elect David Thompson	Mgmt	For	For	For
14	Elect Robert Wright	Mgmt	For	For	For
15	Elect Takuro Yoshida	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Ratification of Auditor	Mgmt	For	For	For

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Allergan Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
AGN	CUSIP 018490102	05/03/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Deborah Dunsire	Mgmt	For	For	For
2	Elect Trevor Jones	Mgmt	For	For	For
3	Elect Louis Lavigne, Jr.	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	2011 Executive Bonus Plan	Mgmt	For	For	For
8	2011 Incentive Award Plan	Mgmt	For	For	For
9	Repeal of Classified Board	Mgmt	For	For	For

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AllianceBernstein

Ticker	Security ID:	Meeting Date	Meeting Status		
ACG	CUSIP 01881E101	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Foulk, Jr.	Mgmt	For	For	For
1.2	Elect D. James Guzy	Mgmt	For	For	For
1.3	Elect Robert Keith	Mgmt	For	For	For

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AllianceBernstein  
Income Fund  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
ACG	CUSIP 01881E101	03/30/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Foulk, Jr.	Mgmt	For	Withhold	Agains
1.2	Elect D. James Guzy	Mgmt	For	Withhold	Agains
1.3	Elect Robert Keith	Mgmt	For	Withhold	Agains

Allstate Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
ALL	CUSIP 020002101	05/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect F. Duane Ackerman	Mgmt	For	Against	Agains
2	Elect Robert Beyer	Mgmt	For	For	For
3	Elect W. James Farrell	Mgmt	For	Against	Agains
4	Elect Jack Greenberg	Mgmt	For	For	For
5	Elect Ronald LeMay	Mgmt	For	For	For
6	Elect Andrea Redmond	Mgmt	For	Against	Agains
7	Elect H. John Riley, Jr.	Mgmt	For	Against	Agains
8	Elect Joshua Smith	Mgmt	For	Against	Agains
9	Elect Judith Sprieser	Mgmt	For	Against	Agains
10	Elect Mary Taylor	Mgmt	For	Against	Agains
11	Elect Thomas Wilson	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Right to Call a Special Meeting	Mgmt	For	For	For
14	Designation of Delaware Chancery Court as Forum for Derivative Actions	Mgmt	For	Against	Agains
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Agains
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Agains
17	Shareholder Proposal Regarding Right to Act Written Consent	ShrHldr	Against	For	Agains
18	Shareholder Proposal Regarding Political Contributions and	ShrHldr	Against	For	Agains

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Expenditure  
Report

Alpine Global  
Premier  
Properties  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AWP	CUSIP 02083A103	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	For	For

Alpine Global  
Premier  
Properties  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AWP	CUSIP 02083A103	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	Abstain	Against

Alpine Total  
Dynamic  
Dividend  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
AOD	CUSIP 021060108	05/09/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Jeffrey Wacksman	Mgmt	For	For	For

Alpine Total  
Dynamic  
Dividend  
Fund



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Ticker	Security ID:	Meeting Date	Meeting Status		
AOD	CUSIP 21060108	05/09/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Jeffrey Wacksman	Mgmt	For	Abstain	Against

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Altria Group Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
MO	CUSIP 02209S103	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Elizabeth Bailey	Mgmt	For	For	For
2	Elect Gerald Baliles	Mgmt	For	Against	Against
3	Elect John Casteen III	Mgmt	For	Against	Against
4	Elect Dinyar Devitre	Mgmt	For	For	For
5	Elect Thomas Farrell II	Mgmt	For	For	For
6	Elect Thomas Jones	Mgmt	For	For	For
7	Elect George Munoz	Mgmt	For	For	For
8	Elect Nabil Sakkab	Mgmt	For	For	For
9	Elect Michael Szymanczyk	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Shareholder Proposal Regarding Flavored Tobacco	ShrHldr	Against	Against	For

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Amazon.com Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
AMZN	CUSIP 023135106	06/07/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Jeffrey Bezos	Mgmt	For	For	For
2	Elect Tom Alberg	Mgmt	For	For	For
3	Elect John Seely Brown	Mgmt	For	Against	Against
4	Elect William Gordon	Mgmt	For	For	For
5	Elect Alain Moniac	Mgmt	For	For	For
6	Elect Jonathan Rubinstein	Mgmt	For	For	For
7	Elect Thomas Ryder	Mgmt	For	Against	Against
8	Elect Patricia Stonesifer	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For

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10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	3 Years	For
12	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
13	Shareholder Proposal Regarding Report on Climate Change	ShrHldr	Against	Against	For

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American Express  
Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
AXP	CUSIP 025816109	05/02/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual Issue No.	Description				Mgmt
1.1	Elect Daniel Akerson	Mgmt	For	For	For
1.2	Elect Charlene Barshefsky	Mgmt	For	Withhold	Against
1.3	Elect Ursula Burns	Mgmt	For	For	For
1.4	Elect Kenneth Chenault	Mgmt	For	For	For
1.5	Elect Peter Chernin	Mgmt	For	For	For
1.6	Elect Theodore Leonsis	Mgmt	For	Withhold	Against
1.7	Elect Jan Leschly	Mgmt	For	For	For
1.8	Elect Richard Levin	Mgmt	For	For	For
1.9	Elect Richard McGinn	Mgmt	For	For	For
1.10	Elect Edward Miller	Mgmt	For	For	For
1.11	Elect Steven Reinemund	Mgmt	For	For	For
1.12	Elect Robert Walter	Mgmt	For	For	For
1.13	Elect Ronald Williams	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
6	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

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AMGEN Inc.					
Ticker	Security ID:	Meeting Date	Meeting Status		
AMGN	CUSIP 031162100	05/20/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect David Baltimore	Mgmt	For	Against	Agains
2	Elect Frank Biondi, Jr.	Mgmt	For	For	For
3	Elect Francois de Carbonnel	Mgmt	For	Against	Agains
4	Elect Vance Coffman	Mgmt	For	Against	Agains
5	Elect Rebecca Henderson	Mgmt	For	Against	Agains
6	Elect Frank Herringer	Mgmt	For	Against	Agains
7	Elect Gilbert Omenn	Mgmt	For	For	For
8	Elect Judith Pelham	Mgmt	For	For	For
9	Elect J. Paul Reason	Mgmt	For	For	For
10	Elect Leonard Schaeffer	Mgmt	For	For	For
11	Elect Kevin Sharer	Mgmt	For	For	For
12	Elect Ronald Sugar	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Agains
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Agains

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Apple Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
AAPL	CUSIP 037833100	02/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect William Campbell	Mgmt	For	For	For
1.2	Elect Millard Drexler	Mgmt	For	For	For
1.3	Elect Albert Gore, Jr.	Mgmt	For	For	For
1.4	Elect Steven Jobs	Mgmt	For	For	For
1.5	Elect Andrea Jung	Mgmt	For	For	For
1.6	Elect Arthur Levinson	Mgmt	For	For	For
1.7	Elect Ronald Sugar	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding	ShrHldr	Against	Against	For

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Adoption and Disclosure of a  
Written CEO Succession Planning  
Policy

6	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against
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Archer-Daniels-Midland  
Company

Ticker ADM	Security ID: CUSIP 039483102	Meeting Date 11/04/2010	Meeting Status Voted		
Meeting Type Annual	Country of Trade United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect George Buckley	Mgmt	For	For	For
2	Elect Mollie Carter	Mgmt	For	For	For
3	Elect Pierre Dufour	Mgmt	For	For	For
4	Elect Donald Felsing	Mgmt	For	For	For
5	Elect Victoria Haynes	Mgmt	For	For	For
6	Elect Antonio Maciel Neto	Mgmt	For	For	For
7	Elect Patrick Moore	Mgmt	For	For	For
8	Elect Thomas O'Neill	Mgmt	For	For	For
9	Elect Kelvin Westbrook	Mgmt	For	For	For
10	Elect Patricia Woertz	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Shareholder Proposal Regarding Prohibiting Corporate Political Spending	ShrHldr	Against	Against	For
13	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For

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AT&T, Inc.

Ticker T	Security ID: CUSIP 00206R102	Meeting Date 04/29/2011	Meeting Status Voted		
Meeting Type Annual	Country of Trade United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Randall Stephenson	Mgmt	For	For	For
2	Elect Gilbert Amelio	Mgmt	For	For	For
3	Elect Reuben Anderson	Mgmt	For	For	For
4	Elect James Blanchard	Mgmt	For	For	For
5	Elect Jaime Chico Pardo	Mgmt	For	For	For

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6	Elect James Kelly	Mgmt	For	For	For
7	Elect Jon Madonna	Mgmt	For	For	For
8	Elect Lynn Martin	Mgmt	For	For	For
9	Elect John McCoy	Mgmt	For	For	For
10	Elect Joyce Roche	Mgmt	For	For	For
11	Elect Matthew Rose	Mgmt	For	For	For
12	Elect Laura Tyson	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	2011 Incentive Plan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

Bank Of New York  
Mellon  
Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
BK	CUSIP 064058100	04/12/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Ruth Bruch	Mgmt	For	Against	Against
2	Elect Nicholas Donofrio	Mgmt	For	For	For
3	Elect Gerald Hassell	Mgmt	For	For	For
4	Elect Edmund Kelly	Mgmt	For	Against	Against
5	Elect Robert Kelly	Mgmt	For	For	For
6	Elect Richard Kogan	Mgmt	For	Against	Against
7	Elect Michael Kowalski	Mgmt	For	For	For
8	Elect John Luke, Jr.	Mgmt	For	For	For
9	Elect Mark Nordenberg	Mgmt	For	For	For
10	Elect Catherine Rein	Mgmt	For	For	For
11	Elect William Richardson	Mgmt	For	For	For
12	Elect Samuel Scott III	Mgmt	For	Against	Against
13	Elect John Surma	Mgmt	For	For	For
14	Elect Wesley von Schack	Mgmt	For	Against	Against
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
18	Amendment to the Executive Incentive Compensation Plan	Mgmt	For	Against	Against
19	Ratification of Auditor	Mgmt	For	For	For
20	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For

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BB&T Corp.		Meeting Date		Meeting Status	
Ticker	Security ID:	04/26/2011		Voted	
BBT	CUSIP 054937107				
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Allison, IV	Mgmt	For	For	For
1.2	Elect Jennifer Banner	Mgmt	For	For	For
1.3	Elect K. David Boyer, Jr.	Mgmt	For	For	For
1.4	Elect Anna Cablik	Mgmt	For	For	For
1.5	Elect Ronald Deal	Mgmt	For	Withhold	Against
1.6	Elect J. Littleton Glover, Jr.	Mgmt	For	Withhold	Against
1.7	Elect Jane Helm	Mgmt	For	For	For
1.8	Elect John Howe, III	Mgmt	For	For	For
1.9	Elect Kelly King	Mgmt	For	For	For
1.10	Elect Valeria Lynch Lee	Mgmt	For	For	For
1.11	Elect J. Holmes Morrison	Mgmt	For	For	For
1.12	Elect Nido Qubein	Mgmt	For	Withhold	Against
1.13	Elect Thomas Skains	Mgmt	For	For	For
1.14	Elect Thomas Thompson	Mgmt	For	For	For
1.15	Elect Stephen Williams	Mgmt	For	Withhold	Against
2	Amendment to the short-term incentive plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
7	Shareholder Proposal Regarding	ShrHldr	Against	For	Against

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Majority Vote for Election of  
Directors

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Becton,  
Dickinson And  
Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
BDX	CUSIP 075887109	02/01/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Basil Anderson	Mgmt	For	For	For
1.2	Elect Henry Becton, Jr.	Mgmt	For	For	For
1.3	Elect Edward DeGraan	Mgmt	For	For	For
1.4	Elect Claire Fraser-Liggett	Mgmt	For	For	For
1.5	Elect Christopher Jones	Mgmt	For	For	For
1.6	Elect Marshall Larsen	Mgmt	For	For	For
1.7	Elect Edward Ludwig	Mgmt	For	For	For
1.8	Elect Adel Mahmoud	Mgmt	For	For	For
1.9	Elect Gary Mecklenburg	Mgmt	For	For	For
1.10	Elect Cathy Minehan	Mgmt	For	Withhold	Agains
1.11	Elect James Orr	Mgmt	For	For	For
1.12	Elect Willard Overlock, Jr.	Mgmt	For	For	For
1.13	Elect Bertram Scott	Mgmt	For	For	For
1.14	Elect Alfred Sommer	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	1 Year	N/A
5	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Agains
6	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Agains

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Best Buy Co. Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BBY	CUSIP 086516101	06/21/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Ronald James	Mgmt	For	For	For
1.2	Elect Sanjay Khosla	Mgmt	For	For	For

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1.3	Elect George Mikan III	Mgmt	For	For	For
1.4	Elect Matthew Paull	Mgmt	For	For	For
1.5	Elect Richard Schulze	Mgmt	For	For	For
1.6	Elect Hatim Tyabji	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Authorization of Board to Set Board Size	Mgmt	For	Against	Against
4	Amendment to the 2004 Omnibus Stock and Incentive Plan	Mgmt	For	For	For
5	Executive Short-term Incentive Plan	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
8	Shareholder Proposal Regarding Declassification of the Board	ShrHldr	Against	For	Against

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Biogen Idec inc

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Caroline Dorsa	Mgmt	For	For	For
2	Elect Stelios Papadopoulos	Mgmt	For	For	For
3	Elect George Scangos	Mgmt	For	For	For
4	Elect Lynn Schenk	Mgmt	For	For	For
5	Elect Alexander Denner	Mgmt	For	For	For
6	Elect Nancy Leaming	Mgmt	For	For	For
7	Elect Richard Mulligan	Mgmt	For	For	For
8	Elect Robert Pangia	Mgmt	For	For	For
9	Elect Brian Posner	Mgmt	For	For	For
10	Elect Eric Rowinsky	Mgmt	For	For	For
11	Elect Stephen Sherwin	Mgmt	For	For	For
12	Elect William Young	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Repeal of Classified Board	Mgmt	For	For	For



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Boeing Co.					
Ticker	Security ID:	Meeting Date	Meeting Status		
BA	CUSIP 097023105	05/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect John Bryson	Mgmt	For	For	For
2	Elect David Calhoun	Mgmt	For	For	For
3	Elect Arthur Collins, Jr.	Mgmt	For	For	For
4	Elect Linda Cook	Mgmt	For	For	For
5	Elect Kenneth Duberstein	Mgmt	For	For	For
6	Elect Edmund Giambastiani, Jr.	Mgmt	For	For	For
7	Elect Edward Liddy	Mgmt	For	For	For
8	Elect John McDonnell	Mgmt	For	For	For
9	Elect W. James McNeerney, Jr.	Mgmt	For	For	For
10	Elect Susan Schwab	Mgmt	For	For	For
11	Elect Ronald Williams	Mgmt	For	For	For
12	Elect Mike Zafirovski	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Independent Verification of Compliance with Labor and Human Rights Standards	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

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Bristol-Myers  
Squibb

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Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
BMJ	CUSIP 110122108	05/03/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Lamberto Andreotti	Mgmt	For	For	For
2	Elect Lewis Campbell	Mgmt	For	For	For
3	Elect James Cornelius	Mgmt	For	For	For
4	Elect Louis Freeh	Mgmt	For	Against	Against
5	Elect Laurie Glimcher	Mgmt	For	For	For
6	Elect Michael Grobstein	Mgmt	For	For	For
7	Elect Leif Johansson	Mgmt	For	For	For
8	Elect Alan Lacy	Mgmt	For	For	For
9	Elect Vicki Sato	Mgmt	For	For	For
10	Elect Elliott Sigal	Mgmt	For	For	For
11	Elect Togo West, Jr.	Mgmt	For	For	For
12	Elect R. Sanders Williams	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
16	Shareholder Proposal Regarding Disclosure of Executive Compensation	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
18	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHldr	Against	Against	For

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Capital One  
Financial  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
COF	CUSIP 14040H105	05/11/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Patrick Gross	Mgmt	For	Against	Against
2	Elect Ann Hackett	Mgmt	For	For	For
3	Elect Pierre Leroy	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For

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5	Repeal of Classified Board	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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 Cardinal Health  
 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CAH	CUSIP 14149Y108	11/03/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Colleen Arnold	Mgmt	For	For	For
2	Elect George Barrett	Mgmt	For	For	For
3	Elect Glenn Britt	Mgmt	For	For	For
4	Elect Carrie Cox	Mgmt	For	For	For
5	Elect Calvin Darden	Mgmt	For	For	For
6	Elect Bruce Downey	Mgmt	For	For	For
7	Elect John Finn	Mgmt	For	For	For
8	Elect Gregory Kenny	Mgmt	For	For	For
9	Elect James Mongan	Mgmt	For	For	For
10	Elect Richard Notebaert	Mgmt	For	For	For
11	Elect David Raisbeck	Mgmt	For	Against	Against
12	Elect Jean Spaulding	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to Code of Regulations	Mgmt	For	Against	Against
15	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHldr	Against	For	Against
16	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

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 Caterpillar Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
CAT	CUSIP 149123101	06/08/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Calhoun	Mgmt	For	Withhold	Against

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1.2	Elect Daniel Dickinson	Mgmt	For	For	For
1.3	Elect Eugene Fife	Mgmt	For	For	For
1.4	Elect Juan Gallardo	Mgmt	For	For	For
1.5	Elect David Goode	Mgmt	For	For	For
1.6	Elect Jesse Greene, Jr.	Mgmt	For	For	For
1.7	Elect Peter Magowan	Mgmt	For	For	For
1.8	Elect Dennis Muilenburg	Mgmt	For	For	For
1.9	Elect Douglas Oberhelman	Mgmt	For	For	For
1.10	Elect William Osborn	Mgmt	For	For	For
1.11	Elect Charles Powell	Mgmt	For	For	For
1.12	Elect Edward Rust, Jr.	Mgmt	For	For	For
1.13	Elect Susan Schwab	Mgmt	For	For	For
1.14	Elect Joshua Smith	Mgmt	For	For	For
1.15	Elect Miles White	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the Executive Short-Term Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
7	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHldr	Against	Against	For
8	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against
9	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
10	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
11	Shareholder Proposal Regarding Review of Human Rights Policies	ShrHldr	Against	Against	For
12	Shareholder Proposal Regarding Approval of Survivor Benefits (Golden Coffins)	ShrHldr	Against	For	Against

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Chevron Corp.

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Ticker	Security ID:	Meeting Date	Meeting Status		
CVX	CUSIP 166764100	05/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Linnet Deily	Mgmt	For	For	For
2	Elect Robert Denham	Mgmt	For	For	For
3	Elect Robert Eaton	Mgmt	For	For	For
4	Elect Chuck Hagel	Mgmt	For	For	For
5	Elect Enrique Hernandez, Jr.	Mgmt	For	For	For
6	Elect George Kirkland	Mgmt	For	For	For
7	Elect Donald Rice	Mgmt	For	For	For
8	Elect Kevin Sharer	Mgmt	For	For	For
9	Elect Charles Shoemate	Mgmt	For	For	For
10	Elect John Stumpf	Mgmt	For	For	For
11	Elect Ronald Sugar	Mgmt	For	For	For
12	Elect Carl Ware	Mgmt	For	Against	Against
13	Elect John Watson	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Establishment of Human Rights Committee	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Linking Executive Pay to Sustainability	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Country Selection Guidelines	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Financial Risks of Climate Change	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Report on Hydraulic Fracturing	ShrHldr	Against	For	Against
23	Shareholder Proposal Regarding Offshore Drilling Report	ShrHldr	Against	Against	For

Chubb Corp.

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Ticker	Security ID:	Meeting Date	Meeting Status		
CB	CUSIP 171232101	04/26/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Zoe Baird	Mgmt	For	For	For
2	Elect Sheila Burke	Mgmt	For	For	For
3	Elect James Cash, Jr.	Mgmt	For	For	For
4	Elect John Finnegan	Mgmt	For	For	For
5	Elect Lawrence Kellner	Mgmt	For	For	For
6	Elect Martin McGuinn	Mgmt	For	For	For
7	Elect Lawrence Small	Mgmt	For	For	For
8	Elect Jess Soderberg	Mgmt	For	For	For
9	Elect Daniel Somers	Mgmt	For	For	For
10	Elect James Zimmerman	Mgmt	For	For	For
11	Elect Alfred Zollar	Mgmt	For	For	For
12	2011 Annual Incentive Plan	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

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Cisco Systems Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
CSCO	CUSIP 17275R102	11/18/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Carol Bartz	Mgmt	For	For	For
2	Elect M. Michele Burns	Mgmt	For	For	For
3	Elect Michael Capellas	Mgmt	For	For	For
4	Elect Larry Carter	Mgmt	For	For	For
5	Elect John Chambers	Mgmt	For	For	For
6	Elect Brian Halla	Mgmt	For	For	For
7	Elect John Hennessy	Mgmt	For	Against	Against
8	Elect Richard Kovacevich	Mgmt	For	For	For
9	Elect Roderick McGearry	Mgmt	For	For	For
10	Elect Michael Powell	Mgmt	For	For	For
11	Elect Arun Sarin	Mgmt	For	For	For
12	Elect Steven West	Mgmt	For	For	For
13	Elect Jerry Yang	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Formation of Environmental Sustainability Committee	ShrHldr	Against	Against	For

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17	Shareholder Proposal Regarding Report on Internet Fragmentation	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Restricting Sales to China	ShrHldr	Against	Against	For

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Citigroup Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
C	CUSIP 172967101	04/21/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Alain Belda	Mgmt	For	Against	Against
2	Elect Timothy Collins	Mgmt	For	For	For
3	Elect Jerry Grundhofer	Mgmt	For	For	For
4	Elect Robert Joss	Mgmt	For	Against	Against
5	Elect Michael O'Neill	Mgmt	For	For	For
6	Elect Vikram Pandit	Mgmt	For	For	For
7	Elect Richard Parsons	Mgmt	For	Against	Against
8	Elect Lawrence Ricciardi	Mgmt	For	For	For
9	Elect Judith Rodin	Mgmt	For	Against	Against
10	Elect Robert Ryan	Mgmt	For	For	For
11	Elect Anthony Santomero	Mgmt	For	For	For
12	Elect Diana Taylor	Mgmt	For	For	For
13	Elect William Thompson, Jr.	Mgmt	For	For	For
14	Elect Ernesto Zedillo	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Amendment to the 2009 Stock Incentive Plan	Mgmt	For	For	For
17	2011 Executive Performance Plan	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	For	For
19	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
20	Reverse Stock Split Extension	Mgmt	For	For	For
21	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Risk Management Report	ShrHldr	Against	Against	For

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24	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
25	Shareholder Proposal Regarding Independent Review of Controls Related to Loans, Foreclosures and Securitizations	ShrHldr	Against	Against	For

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Coca Cola Company

Ticker	Security ID:	Meeting Date	Meeting Status		
KO	CUSIP 191216100	04/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Herbert Allen	Mgmt	For	Against	Against
2	Elect Ronald Allen	Mgmt	For	For	For
3	Elect Howard Buffett	Mgmt	For	For	For
4	Elect Barry Diller	Mgmt	For	Against	Against
5	Elect Evan Greenberg	Mgmt	For	For	For
6	Elect Alexis Herman	Mgmt	For	For	For
7	Elect Muhtar Kent	Mgmt	For	For	For
8	Elect Donald Keough	Mgmt	For	For	For
9	Elect Maria Lagomasino	Mgmt	For	For	For
10	Elect Donald McHenry	Mgmt	For	For	For
11	Elect Sam Nunn	Mgmt	For	For	For
12	Elect James Robinson III	Mgmt	For	For	For
13	Elect Peter Ueberroth	Mgmt	For	For	For
14	Elect Jacob Wallenberg	Mgmt	For	For	For
15	Elect James Williams	Mgmt	For	Against	Against
16	Ratification of Auditor	Mgmt	For	For	For
17	Amendment to the Performance Incentive Plan	Mgmt	For	For	For
18	Amendment to the 1989 Restricted Stock Award Plan	Mgmt	For	For	For
19	Advisory Vote on Executive Compensation	Mgmt	For	For	For
20	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
21	Shareholder Proposal Regarding Report on Bisphenol-A	ShrHldr	Against	Against	For

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Cognizant  
Technology  
Solutions  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
CTSH	CUSIP 192446102	06/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Robert Howe	Mgmt	For	For	For
2	Elect Robert Weissman	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Increase of Authorized Common Stock	Mgmt	For	For	For
6	Amendment to the Certificate of Incorporation to Reduce Supermajority Requirement	Mgmt	For	For	For
7	Amendment to the Bylaws to Reduce Supermajority Requirement	Mgmt	For	For	For
8	Ratification of Auditor	Mgmt	For	For	For

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Colgate-Palmolive  
Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
CL	CUSIP 194162103	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect John Cahill	Mgmt	For	For	For
2	Elect Ian Cook	Mgmt	For	For	For
3	Elect Helene Gayle	Mgmt	For	For	For
4	Elect Ellen Hancock	Mgmt	For	For	For
5	Elect Joseph Jimenez	Mgmt	For	For	For
6	Elect Richard Kogan	Mgmt	For	For	For
7	Elect Delano Lewis	Mgmt	For	For	For
8	Elect J. Pedro Reinhard	Mgmt	For	For	For
9	Elect Stephen Sadove	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive	Mgmt	2 Years	1 Year	Against

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Compensation

13	Shareholder Proposal Regarding the Right to Call a Special Meeting	ShrHldr	Against	Against	For
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Comcast Corp.

Ticker	Security ID:	Meeting Date	Meeting Status
CMCSA	CUSIP 20030N101	05/11/2011	Voted

Meeting Type	Country of Trade		
Annual	United States		

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
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1.1	Elect S. Decker Anstrom	Mgmt	For	For	For
1.2	Elect Kenneth Bacon	Mgmt	For	Withhold	Against
1.3	Elect Sheldon Bonovitz	Mgmt	For	For	For
1.4	Elect Edward Breen	Mgmt	For	For	For
1.5	Elect Joseph Collins	Mgmt	For	For	For
1.6	Elect J. Michael Cook	Mgmt	For	For	For
1.7	Elect Gerald Hassell	Mgmt	For	For	For
1.8	Elect Jeffrey Honickman	Mgmt	For	For	For
1.9	Elect Eduardo Mestre	Mgmt	For	For	For
1.10	Elect Brian Roberts	Mgmt	For	For	For
1.11	Elect Ralph Roberts	Mgmt	For	For	For
1.12	Elect Judith Rodin	Mgmt	For	Withhold	Against

2	Ratification of Auditor	Mgmt	For	For	For
3	2011 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amendment to the 2002 Restricted Stock Plan	Mgmt	For	For	For

5	Amendment to the 2003 Stock Option Plan	Mgmt	For	For	For
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6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
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7	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
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8	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against
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9	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
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Conoco Phillips

Ticker	Security ID:	Meeting Date	Meeting Status
COP	CUSIP 20825C104	05/11/2011	Voted

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Meeting Type Annual Issue No.	Country of Trade United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Richard Armitage	Mgmt	For	For	For
2	Elect Richard Auchinleck	Mgmt	For	For	For
3	Elect James Copeland, Jr.	Mgmt	For	For	For
4	Elect Kenneth Duberstein	Mgmt	For	For	For
5	Elect Ruth Harkin	Mgmt	For	For	For
6	Elect Harold McGraw III	Mgmt	For	For	For
7	Elect James Mulva	Mgmt	For	For	For
8	Elect Robert Niblock	Mgmt	For	For	For
9	Elect Harald Norvik	Mgmt	For	For	For
10	Elect William Reilly	Mgmt	For	For	For
11	Elect Victoria Tschinkel	Mgmt	For	For	For
12	Elect Kathryn Turner	Mgmt	For	For	For
13	Elect William Wade, Jr.	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	Against	Against
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	2011 Omnibus Stock and Performance Incentive Plan	Mgmt	For	For	For
18	Shareholder Proposal Regarding Adopting Gender Identity and Expression Anti-Bias Policy	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Safety Report	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Louisiana Wetlands	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Reporting and Reducing Greenhouse Gas Emissions	ShrHldr	Against	Against	For
24	Shareholder Proposal Regarding the Financial Risks of Climate Change	ShrHldr	Against	Against	For
25	Shareholder Proposal Regarding	ShrHldr	Against	Against	For

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Report on Oil Sands  
Operations

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Corning, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GLW	CUSIP 219350105	04/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect John Seely Brown	Mgmt	For	Against	Agains
2	Elect John Canning Jr.	Mgmt	For	For	For
3	Elect Gordon Gund	Mgmt	For	Against	Agains
4	Elect Kurt Landgraf	Mgmt	For	For	For
5	Elect H. Onno Ruding	Mgmt	For	For	For
6	Elect Glenn Tilton	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Agains

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Costco Wholesale  
Corp

Ticker	Security ID:	Meeting Date	Meeting Status		
COST	CUSIP 22160K105	01/27/2011	Unvoted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Susan Decker	Mgmt	For	N/A	N/A
1.2	Elect Richard Libenson	Mgmt	For	N/A	N/A
1.3	Elect John Meisenbach	Mgmt	For	N/A	N/A
1.4	Elect Charles Munger	Mgmt	For	N/A	N/A
2	Ratification of Auditor	Mgmt	For	N/A	N/A
3	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A
4	Say When on Pay	Mgmt	3 Years	N/A	Agains

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Covidien Plc

Ticker	Security ID:	Meeting Date	Meeting Status		
COV	CUSIP G2554F105	03/15/2011	Voted		

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Meeting Type Annual Issue No.	Country of Trade United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Craig Arnold	Mgmt	For	For	For
2	Elect Robert Brust	Mgmt	For	For	For
3	Elect John Connors, Jr.	Mgmt	For	For	For
4	Elect Christopher Coughlin	Mgmt	For	For	For
5	Elect Timothy Donahue	Mgmt	For	For	For
6	Elect Kathy Herbert	Mgmt	For	For	For
7	Elect Randall Hogan, III	Mgmt	For	For	For
8	Elect Richard Meelia	Mgmt	For	For	For
9	Elect Dennis Reilley	Mgmt	For	For	For
10	Elect Tadataka Yamada	Mgmt	For	For	For
11	Elect Joseph Zaccagnino	Mgmt	For	For	For
12	Appointment of Auditor and Authority to Set Fees	Mgmt	For	For	For
13	Reverse Stock Split Followed by a Forward Stock Split	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

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CSX Corp. Ticker CSX Meeting Type Annual Issue No.	Security ID: CUSIP 126408103 Country of Trade United States Description	Meeting Date 05/04/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Donna Alvarado	Mgmt	For	For	For	For	
2	Elect John Breaux	Mgmt	For	For	For	For	
3	Elect Pamela Carter	Mgmt	For	For	For	For	
4	Elect Steven Halverson	Mgmt	For	For	For	For	
5	Elect Edward Kelly, III	Mgmt	For	For	For	For	
6	Elect Gilbert Lamphere	Mgmt	For	For	For	For	
7	Elect John McPherson	Mgmt	For	For	For	For	
8	Elect Timothy O'Toole	Mgmt	For	For	For	For	
9	Elect David Ratcliffe	Mgmt	For	For	For	For	
10	Elect Donald Shepard	Mgmt	For	For	For	For	
11	Elect Michael Ward	Mgmt	For	For	For	For	
12	Elect J.C. Watts, Jr.	Mgmt	For	For	For	For	
13	Elect J. Steven Whisler	Mgmt	For	For	For	For	
14	Ratification of Auditor	Mgmt	For	For	For	For	
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For	
16	Frequency of Advisory Vote on	Mgmt	3 Years	1 Year	Against		

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Executive  
Compensation

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Cvs Caremark Corp

Ticker Security ID: Meeting Date Meeting Status  
CVS CUSIP 126650100 05/11/2011 Voted

Meeting Type Country of Trade  
Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Ag  
Mgmt

1	Elect Edwin Banks	Mgmt	For	For	For
2	Elect C. David Brown II	Mgmt	For	For	For
3	Elect David Dorman	Mgmt	For	For	For
4	Elect Anne Finucane	Mgmt	For	For	For
5	Elect Kristen Gibney Williams	Mgmt	For	For	For
6	Elect Marian Heard	Mgmt	For	For	For
7	Elect Larry Merlo	Mgmt	For	For	For
8	Elect Jean-Pierre Millon	Mgmt	For	For	For
9	Elect Terrence Murray	Mgmt	For	For	For
10	Elect C.A. Lance Piccolo	Mgmt	For	For	For
11	Elect Richard Swift	Mgmt	For	For	For
12	Elect Tony White	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For

15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
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16	Shareholder Proposal Regarding Political Contributions or Expenditure	ShrHldr	Against	For	Against
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17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
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Deere & Co.

Ticker Security ID: Meeting Date Meeting Status  
DE CUSIP 244199105 02/23/2011 Voted

Meeting Type Country of Trade  
Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Ag  
Mgmt

1	Elect Charles Holliday, Jr.	Mgmt	For	For	For
2	Elect Dipak Jain	Mgmt	For	For	For
3	Elect Joachim Milberg	Mgmt	For	For	For
4	Elect Richard Myers	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For

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6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For

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Dell Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
DELL	CUSIP 24702R101	07/15/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect James Breyer	Mgmt	For	Withhold	Against
1.2	Elect Donald Carty	Mgmt	For	For	For
1.3	Elect Michael Dell	Mgmt	For	For	For
1.4	Elect William Gray, III	Mgmt	For	For	For
1.5	Elect Gerard J. Kleistreelee	Mgmt	For	For	For
1.6	Elect Thomas Luce, III	Mgmt	For	For	For
1.7	Elect Klaus Luft	Mgmt	For	For	For
1.8	Elect Alex Mandl	Mgmt	For	For	For
1.9	Elect Shantanu Narayen	Mgmt	For	For	For
1.10	Elect H. Ross Perot, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Independent Board Chairman	Mgmt	Against	For	Against
6	Shareholder Proposal Regarding Right to Act by Written Consent	Mgmt	Against	For	Against
7	Shareholder Proposal Regarding Declaration of Dividends	Mgmt	Against	Against	For

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Dell Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
DELL	CUSIP 24702R101	08/12/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect James Breyer	Mgmt	For	For	For
1.2	Elect Donald Carty	Mgmt	For	For	For
1.3	Elect Michael Dell	Mgmt	For	For	For
1.4	Elect William Gray, III	Mgmt	For	For	For
1.5	Elect Judy Lewent	Mgmt	For	For	For
1.6	Elect Thomas Luce, III	Mgmt	For	For	For
1.7	Elect Klaus Luft	Mgmt	For	For	For
1.9	Elect Alex Mandl	Mgmt	For	For	For
1.10	Elect Shantanu Narayen	Mgmt	For	For	For
1.11	Elect Sam Nunn	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	For	For
3	Elimination of Supermajority Requirement	Mgmt	For	For	For

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4	Shareholder Proposal Regarding Reimbursement of Solicitation Expenses	ShrHldr	Against	For	Against
5	Shareholder Proposal Regarding Advisory Vote on Compensation (Say on Pay)	ShrHldr	Against	For	Against

DIRECTV

Ticker	Security ID:	Meeting Date	Meeting Status		
DTV	CUSIP 25490A101	04/28/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Dillon	Mgmt	For	For	For
1.2	Elect Samuel DiPiazza, Jr.	Mgmt	For	For	For
1.3	Elect Lorrie Norrington	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	Against	Against
3	Amendment to Dual Class Stock	Mgmt	For	For	For
4	Repeal of Classified Board	Mgmt	For	For	For
5	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
6	Limitation of Right to Call a Special Meeting	Mgmt	For	Against	Against
7	Adoption of Delaware as the Exclusive Forum for Certain Disputes	Mgmt	For	Against	Against
8	Advisory Vote on Executive Compensation	Mgmt	For	For	For
9	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Dominion Resources Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
D	CUSIP 25746U109	05/12/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt



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1	Elect William Barr	Mgmt	For	For	For
2	Elect Peter Brown	Mgmt	For	Against	Against
3	Elect George Davidson, Jr.	Mgmt	For	For	For
4	Elect Helen Dragas	Mgmt	For	For	For
5	Elect Thomas Farrell II	Mgmt	For	For	For
6	Elect John Harris	Mgmt	For	Against	Against
7	Elect Robert Jepson, Jr.	Mgmt	For	For	For
8	Elect Mark Kington	Mgmt	For	For	For
9	Elect Margaret McKenna	Mgmt	For	For	For
10	Elect Frank Royal	Mgmt	For	Against	Against
11	Elect Robert Spilman, Jr.	Mgmt	For	For	For
12	Elect David Wollard	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Mountaintop Removal Coal Mining	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Establishment of 20% Renewable Energy Goal	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Report on Coal Risk	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Nuclear Power Plant Construction	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
21	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
22	Shareholder Proposal Regarding Supplemental Executive Retirement Benefits	ShrHldr	Against	Against	For

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Dow 30 Enhanced  
Premium &  
Income Fund  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status
DPO	CUSIP 260537105	05/06/2011	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect Robert Bremner	Mgmt	For	For	For
1.3	Elect Jack Evans	Mgmt	For	For	For
1.4	Elect David Kundert	Mgmt	For	For	For
1.5	Elect Judith Stockdale	Mgmt	For	For	For
1.6	Elect Carole Stone	Mgmt	For	For	For
1.7	Elect Terence Toth	Mgmt	For	For	For
1.8	Elect Virginia Stringer	Mgmt	For	For	For
1.9	Elect William Hunter	Mgmt	For	For	For
1.10	Elect William Schneider	Mgmt	For	For	For

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Dow 30 Enhanced  
Premium &  
Income Fund  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
DPO	CUSIP 260537105	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect John Amboian	ShrHldr	For	Withhold	Agains
1.2	Elect Robert Bremner	ShrHldr	For	Withhold	Agains
1.3	Elect Jack Evans	ShrHldr	For	Withhold	Agains
1.4	Elect David Kundert	ShrHldr	For	Withhold	Agains
1.5	Elect Judith Stockdale	ShrHldr	For	Withhold	Agains
1.6	Elect Carole Stone	ShrHldr	For	Withhold	Agains
1.7	Elect Terence Toth	ShrHldr	For	Withhold	Agains
1.8	Elect Virginia Stringer	ShrHldr	For	Withhold	Agains
1.9	Elect William Hunter	ShrHldr	For	Withhold	Agains
1.10	Elect William Schneider	ShrHldr	For	Withhold	Agains

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Dow Chemical  
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DOW	CUSIP 260543103	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Arnold Allemang	Mgmt	For	For	For
2	Elect Jacqueline Barton	Mgmt	For	For	For
3	Elect James Bell	Mgmt	For	For	For
4	Elect Jeff Fettig	Mgmt	For	For	For
5	Elect Barbara Franklin	Mgmt	For	For	For
6	Elect Jennifer Granholm	Mgmt	For	For	For
7	Elect John Hess	Mgmt	For	For	For

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8	Elect Andrew Liveris	Mgmt	For	For	For
9	Elect Paul Polman	Mgmt	For	For	For
10	Elect Dennis Reilley	Mgmt	For	Against	Against
11	Elect James Ringler	Mgmt	For	For	For
12	Elect Ruth Shaw	Mgmt	For	Against	Against
13	Elect Paul Stern	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

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Duke Energy Corp.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
DUK	CUSIP 26441C105	05/05/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
1.1	Elect William Barnet III	Mgmt	For	For	For
1.2	Elect G. Alex Bernhardt, Sr.	Mgmt	For	For	For
1.3	Elect Michael Browning	Mgmt	For	For	For
1.4	Elect Daniel DiMicco	Mgmt	For	For	For
1.5	Elect John Forsgren	Mgmt	For	For	For
1.6	Elect Ann Maynard Gray	Mgmt	For	For	For
1.7	Elect James Hance, Jr.	Mgmt	For	For	For
1.8	Elect E. James Reinsch	Mgmt	For	For	For
1.9	Elect James Rhodes	Mgmt	For	For	For
1.10	Elect James Rogers	Mgmt	For	For	For
1.11	Elect Philip Sharp	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
6	Shareholder Proposal Regarding Report on Coal Risk	ShrHldr	Against	Against	For
7	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against

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Eaton Vance  
Risk-Managed  
Diversified  
Equity Income  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETJ	CUSIP 27829G106	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Benjamin Esty	Mgmt	For	For	For
1.2	Elect Thomas Faust, Jr.	Mgmt	For	For	For
1.3	Elect Allen Freedman	Mgmt	For	For	For

Eaton Vance  
Risk-Managed  
Diversified  
Equity Income  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETJ	CUSIP 27829G106	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Benjamin Esty	Mgmt	For	Withhold	Against
1.2	Elect Thomas Faust, Jr.	Mgmt	For	Withhold	Against
1.3	Elect Allen Freedman	Mgmt	For	Withhold	Against

Eaton Vance  
Tax-Managed  
Global  
Buy-Write  
Opportunities  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETW	CUSIP 27829C105	04/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Helen Peters	Mgmt	For	For	For
1.2	Elect Lynn Stout	Mgmt	For	For	For
1.3	Elect Ralph Verni	Mgmt	For	For	For

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Eaton Vance  
Tax-Managed  
Global  
Buy-Write  
Opportunities  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
ETW	CUSIP 27829C105	04/25/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Helen Peters	Mgmt	For	Withhold	Agains
1.2	Elect Lynn Stout	Mgmt	For	Withhold	Agains
1.3	Elect Ralph Verni	Mgmt	For	Withhold	Agains

Ticker	Security ID:	Meeting Date	Meeting Status		
EBAY	CUSIP 278642103	04/28/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Fred Anderson	Mgmt	For	For	For
2	Elect Edward Barnholt	Mgmt	For	For	For
3	Elect Scott Cook	Mgmt	For	For	For
4	Elect John Donahoe	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	Against	Agains
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Ratification of Auditor	Mgmt	For	For	For
8	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	Against	For	Agains

EI Du Pont De  
Nemours

Ticker	Security ID:	Meeting Date	Meeting Status		
DD	CUSIP 263534109	04/27/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Richard Brown	Mgmt	For	For	For

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2	Elect Robert Brown	Mgmt	For	For	For
3	Elect Bertrand Collomb	Mgmt	For	For	For
4	Elect Curtis Crawford	Mgmt	For	For	For
5	Elect Alexander Cutler	Mgmt	For	For	For
6	Elect Eleuthere Du Pont	Mgmt	For	For	For
7	Elect Marilyn Hewson	Mgmt	For	For	For
8	Elect Lois Juliber	Mgmt	For	For	For
9	Elect Ellen Kullman	Mgmt	For	For	For
10	Elect William Reilly	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to the Equity and Incentive Plan	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Genetically Engineered Organisms	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Comparison Between Executive and Employee Pay	ShrHldr	Against	Against	For

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ELI Lilly & Company

Ticker	Security ID:	Meeting Date	Meeting Status		
LLY	CUSIP 532457108	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1	Elect Michael Eskew	Mgmt	For	For	For
2	Elect Alfred Gilman	Mgmt	For	For	For
3	Elect Karen Horn	Mgmt	For	Against	Against
4	Elect John Lechleiter	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
8	Repeal of Classified Board	Mgmt	For	For	For
9	Elimination of Supermajority	Mgmt	For	For	For

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Requirements

10 Executive Officer Incentive Plan Mgmt For For For

EMC Corp.

Ticker Security ID: Meeting Date Meeting Status  
 EMC CUSIP 268648102 05/04/2011 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Ag Mgmt

1	Elect Michael Brown	Mgmt	For	For	For
2	Elect Randolph Cowen	Mgmt	For	For	For
3	Elect Michael Cronin	Mgmt	For	For	For
4	Elect Gail Deegan	Mgmt	For	For	For
5	Elect James DiStasio	Mgmt	For	For	For
6	Elect John Egan	Mgmt	For	For	For
7	Elect Edmund Kelly	Mgmt	For	For	For
8	Elect Windle Priem	Mgmt	For	For	For
9	Elect Paul Sagan	Mgmt	For	For	For
10	Elect David Strohm	Mgmt	For	For	For
11	Elect Joseph Tucci	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Amendment to the 2003 Stock Plan	Mgmt	For	For	For
14	Amendment to Right to Call a Special Meeting	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Emerson Electric Co.

Ticker Security ID: Meeting Date Meeting Status  
 EMR CUSIP 291011104 02/01/2011 Voted

Meeting Type Country of Trade  
 Annual United States

Issue No. Description Proponent Mgmt Rec Vote Cast For/Ag Mgmt

1.1	Elect David Farr	Mgmt	For	N/A	N/A
1.2	Elect Harriet Green	Mgmt	For	N/A	N/A
1.3	Elect Charles Peters	Mgmt	For	N/A	N/A
1.4	Elect Joseph Prueher	Mgmt	For	N/A	N/A
1.5	Elect Rozanne Ridgway	Mgmt	For	N/A	N/A

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2	Re-approval of the Performance Measures under the 2006 Incentive Shares Plan	Mgmt	For	N/A	N/A
3	2011 Stock Option Plan	Mgmt	For	N/A	N/A
4	Ratification of Auditor	Mgmt	For	N/A	N/A
5	Advisory Vote on Executive Compensation	Mgmt	For	N/A	N/A
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	N/A	Against
7	Shareholder Proposal Regarding Sustainability Report	ShrHldr	Against	N/A	N/A

Exelon Corp.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against
Ticker	Security ID:	Meeting Date		Meeting Status	
EXC	CUSIP 30161N101	05/03/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
1	Elect John Canning Jr.	Mgmt	For	For	For
2	Elect M. Walter D'Alessio	Mgmt	For	For	For
3	Elect Nicholas DeBenedictis	Mgmt	For	For	For
4	Elect Nelson Diaz	Mgmt	For	Against	Against
5	Elect Sue Ling Gin	Mgmt	For	For	For
6	Elect Rosemarie Greco	Mgmt	For	For	For
7	Elect Paul Joskow	Mgmt	For	For	For
8	Elect Richard Mies	Mgmt	For	For	For
9	Elect John Palms	Mgmt	For	For	For
10	Elect William Richardson	Mgmt	For	For	For
11	Elect Thomas Ridge	Mgmt	For	For	For
12	Elect John Rogers, Jr.	Mgmt	For	For	For
13	Elect John Rowe	Mgmt	For	For	For
14	Elect Stephen Steinour	Mgmt	For	For	For
15	Elect Donald Thompson	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
18	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Express Scripts, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
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ESRX	CUSIP 302182100	05/04/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Gary Benanav	Mgmt	For	For	For
2	Elect Maura Breen	Mgmt	For	For	For
3	Elect Nicholas LaHowchic	Mgmt	For	For	For
4	Elect Thomas Mac Mahon	Mgmt	For	For	For
5	Elect Frank Mergenthaler	Mgmt	For	For	For
6	Elect Woodrow Myers, Jr.	Mgmt	For	For	For
7	Elect John Parker, Jr.	Mgmt	For	For	For
8	Elect George Paz	Mgmt	For	For	For
9	Elect Samuel Skinner	Mgmt	For	For	For
10	Elect Seymour Sternberg	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to Bylaws to Permit Shareholders to Call a Special Meeting	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	2011 Long-Term Incentive Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For

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Exxon Mobil Corp.			Meeting Status		
Ticker	Security ID:	Meeting Date			
XOM	CUSIP 30231G102	05/25/2011			Voted
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Michael Boskin	Mgmt	For	For	For
1.2	Elect Peter Brabeck-Letmathe	Mgmt	For	For	For
1.3	Elect Larry Faulkner	Mgmt	For	For	For
1.4	Elect Jay Fishman	Mgmt	For	For	For
1.5	Elect Kenneth Frazier	Mgmt	For	For	For
1.6	Elect William George	Mgmt	For	For	For
1.7	Elect Marilyn Nelson	Mgmt	For	For	For
1.8	Elect Samuel Palmisano	Mgmt	For	For	For
1.9	Elect Steven Reinemund	Mgmt	For	For	For
1.10	Elect Rex Tillerson	Mgmt	For	For	For
1.11	Elect Edward Whitacre, Jr.	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against

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4	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
5	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against
6	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
7	Shareholder Proposal Regarding Sexual Orientation and Gender identity Anti-Bias Policy	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Human Right to Water	ShrHldr	Against	Against	For
9	Shareholder Proposal Regarding Report on Oil Sands Operations	ShrHldr	Against	Against	For
10	Shareholder Proposal Regarding Report on Hydraulic Fracturing	ShrHldr	Against	Against	For
11	Shareholder Proposal Regarding Report on Technology and Products to Become Environmentally Sustainable	ShrHldr	Against	Against	For
12	Shareholder Proposal Regarding Greenhouse Gas Emission Goals	ShrHldr	Against	Against	For

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 Family Dollar  
 Stores,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FDO	CUSIP 307000109	01/20/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Mark Bernstein	Mgmt	For	For	For
1.2	Elect Pamela Davies	Mgmt	For	For	For
1.3	Elect Sharon Decker	Mgmt	For	For	For
1.4	Elect Edward Dolby	Mgmt	For	For	For
1.5	Elect Glenn Eisenberg	Mgmt	For	For	For
1.6	Elect Howard Levine	Mgmt	For	For	For
1.7	Elect George Mahoney, Jr.	Mgmt	For	For	For

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1.8	Elect James Martin	Mgmt	For	For	For
1.9	Elect Harvey Morgan	Mgmt	For	For	For
1.10	Elect Dale Pond	Mgmt	For	For	For
2	Approval of Performance Measures under the 2006 Incentive Plan	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For

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 First Trust  
 Strategic High  
 Income Fund  
 II

Ticker	Security ID:	Meeting Date	Meeting Status		
FHY	CUSIP 337353106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	For	For

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 First Trust  
 Strategic High  
 Income Fund  
 II

Ticker	Security ID:	Meeting Date	Meeting Status		
FHY	CUSIP 337353106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	Against	Against

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 First Trust  
 Strategic High  
 Income Fund  
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	04/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Robert Keith	Mgmt	For	For	For

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 First Trust  
 Strategic High  
 Income Fund  
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	04/18/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Robert Keith	Mgmt	For	Against	Agains

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 First Trust  
 Strategic High  
 Income Fund  
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Special	United States				
Issue No.	Description				
1	Approval of a New Investment Management Agreement	Mgmt	For	For	For
2	Approval of a New Sub-Advisory Agreement	Mgmt	For	For	For

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 First Trust  
 Strategic High  
 Income Fund  
 III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Special	United States				
Issue No.	Description				
1	Approval of a New Investment Management Agreement	Mgmt	For	Against	Agains
2	Approval of a New Sub-Advisory	Mgmt	For	Against	Agains

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Agreement

First Trust  
Strategic High  
Income Fund  
III

Ticker	Security ID:	Meeting Date	Meeting Status		
FHO	CUSIP 33735C106	12/06/2010	Voted		
Meeting Type	Country of Trade				
Special	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Approval of a New Investment Management Agreement	Mgmt	For	Abstain	Agains
2	Approval of a New Sub-Advisory Agreement	Mgmt	For	Abstain	Agains

Firstenergy Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
FE	CUSIP 337932107	05/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Paul Addison	Mgmt	For	Withhold	Agains
1.2	Elect Anthony Alexander	Mgmt	For	Withhold	Agains
1.3	Elect Michael Anderson	Mgmt	For	Withhold	Agains
1.4	Elect Carol Cartwright	Mgmt	For	Withhold	Agains
1.5	Elect William Cottle	Mgmt	For	Withhold	Agains
1.6	Elect Robert Heisler, Jr.	Mgmt	For	Withhold	Agains
1.7	Elect Julia Johnson	Mgmt	For	For	For
1.8	Elect Ted Kleisner	Mgmt	For	For	For
1.9	Elect Ernest Novak, Jr.	Mgmt	For	Withhold	Agains
1.10	Elect Catherine Rein	Mgmt	For	Withhold	Agains
1.11	Elect George Smart	Mgmt	For	Withhold	Agains
1.12	Elect Wesley Taylor	Mgmt	For	Withhold	Agains
1.13	Elect Jesse Williams, Sr.	Mgmt	For	Withhold	Agains
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to Right to Call a Special Meeting	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
6	Shareholder Proposal Regarding	ShrHldr	Against	For	Agains

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	Report on Coal Combustion Waste				
7	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against
9	Shareholder Proposal Regarding Report on Coal Risk	ShrHldr	Against	For	Against

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Ford Motor Co.					
Ticker	Security ID:	Meeting Date	Meeting Status		
F	CUSIP 345370860	05/12/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Stephen Butler	Mgmt	For	For	For
2	Elect Kimberly Casiano	Mgmt	For	For	For
3	Elect Anthony Earley, Jr.	Mgmt	For	Against	Against
4	Elect Edsel Ford II	Mgmt	For	Against	Against
5	Elect William Ford, Jr.	Mgmt	For	For	For
6	Elect Richard Gephardt	Mgmt	For	For	For
7	Elect James Hance, Jr.	Mgmt	For	For	For
8	Elect Irvine Hockaday, Jr.	Mgmt	For	For	For
9	Elect Richard Manoogian	Mgmt	For	Against	Against
10	Elect Ellen Marram	Mgmt	For	For	For
11	Elect Alan Mulally	Mgmt	For	For	For
12	Elect Homer Neal	Mgmt	For	For	For
13	Elect Gerald Shaheen	Mgmt	For	For	For
14	Elect John Thornton	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding Publishing Political Contributions and Expenditure in Newspapers	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Recapitalization Plan	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

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Franklin  
Resources,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
BEN	CUSIP 354613101	03/15/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Samuel Armacost	Mgmt	For	For	For
2	Elect Charles Crocker	Mgmt	For	For	For
3	Elect Joseph Hardiman	Mgmt	For	For	For
4	Elect Charles Johnson	Mgmt	For	For	For
5	Elect Gregory Johnson	Mgmt	For	For	For
6	Elect Rupert Johnson, Jr.	Mgmt	For	For	For
7	Elect Mark Pigott	Mgmt	For	For	For
8	Elect Chutta Ratnathicam	Mgmt	For	For	For
9	Elect Peter Sacerdote	Mgmt	For	For	For
10	Elect Laura Stein	Mgmt	For	For	For
11	Elect Anne Tatlock	Mgmt	For	Against	Against
12	Elect Geoffrey Yang	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Amendment to the 2002 Universal Stock Incentive Plan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

Freeport-McMoran  
Copper & Gold  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FCX	CUSIP 35671D857	06/15/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Richard Adkerson	Mgmt	For	For	For
1.2	Elect Robert Allison, Jr.	Mgmt	For	Withhold	Against
1.3	Elect Robert Day	Mgmt	For	For	For
1.4	Elect Gerald Ford	Mgmt	For	For	For
1.5	Elect H. Devon Graham, Jr.	Mgmt	For	For	For
1.6	Elect Charles Krulak	Mgmt	For	For	For

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1.7	Elect Bobby Lackey	Mgmt	For	For	For
1.8	Elect Jon Madonna	Mgmt	For	For	For
1.9	Elect Dustan McCoy	Mgmt	For	For	For
1.10	Elect James Moffett	Mgmt	For	For	For
1.11	Elect B. M. Rankin, Jr.	Mgmt	For	Withhold	Against
1.12	Elect Stephen Siegele	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For

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Gap, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GPS	CUSIP 364760108	05/17/2011	Voted
Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Ag
			Mgmt

1.1	Elect Adrian Bellamy	Mgmt	For	For	For
1.2	Elect Domenico De Sole	Mgmt	For	For	For
1.3	Elect Robert Fisher	Mgmt	For	For	For
1.4	Elect William Fisher	Mgmt	For	For	For
1.5	Elect Bob Martin	Mgmt	For	For	For
1.6	Elect Jorge Montoya	Mgmt	For	For	For
1.7	Elect Glenn Murphy	Mgmt	For	For	For
1.8	Elect Mayo Shattuck III	Mgmt	For	For	For
1.9	Elect Katherine Tsang	Mgmt	For	For	For
1.10	Elect Kneeland Youngblood	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2006 Long-Term Incentive Plan	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

General American Investors Co., Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
GAM	CUSIP 368802104	04/13/2011	Voted



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Meeting Type	Country of Trade						
Annual	United States	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
		1.1	Elect Rodney Berens	Mgmt	For	For	For
		1.2	Elect Lewis Cullman	Mgmt	For	For	For
		1.3	Elect Spencer Davidson	Mgmt	For	For	For
		1.4	Elect Gerald Edelman	Mgmt	For	For	For
		1.5	Elect John Gordan, III	Mgmt	For	For	For
		1.6	Elect Betsy Gotbaum	Mgmt	For	For	For
		1.7	Elect Sidney Knafel	Mgmt	For	For	For
		1.8	Elect Daniel Neidich	Mgmt	For	For	For
		1.9	Elect D. Ellen Shuman	Mgmt	For	For	For
		2	Ratification of Auditor	Mgmt	For	For	For

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 General American  
 Investors Co.,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status				
GAM	CUSIP 368802104	04/13/2011	Voted				
Meeting Type	Country of Trade						
Annual	United States	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
		1.1	Elect Rodney Berens	Mgmt	For	Withhold	Agains
		1.2	Elect Lewis Cullman	Mgmt	For	Withhold	Agains
		1.3	Elect Spencer Davidson	Mgmt	For	Withhold	Agains
		1.4	Elect Gerald Edelman	Mgmt	For	Withhold	Agains
		1.5	Elect John Gordan, III	Mgmt	For	Withhold	Agains
		1.6	Elect Betsy Gotbaum	Mgmt	For	Withhold	Agains
		1.7	Elect Sidney Knafel	Mgmt	For	Withhold	Agains
		1.8	Elect Daniel Neidich	Mgmt	For	Withhold	Agains
		1.9	Elect D. Ellen Shuman	Mgmt	For	Withhold	Agains
		2	Ratification of Auditor	Mgmt	For	Abstain	Agains

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 General American  
 Investors Co.,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status				
GAM	CUSIP 368802104	04/13/2011	Voted				
Meeting Type	Country of Trade						
Annual	United States	Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
		1.1	Elect Rodney Berens	Mgmt	For	Withhold	Agains
		1.2	Elect Lewis Cullman	Mgmt	For	Withhold	Agains
		1.3	Elect Spencer Davidson	Mgmt	For	Withhold	Agains
		1.4	Elect Gerald Edelman	Mgmt	For	Withhold	Agains
		1.5	Elect John Gordan, III	Mgmt	For	Withhold	Agains
		1.6	Elect Betsy Gotbaum	Mgmt	For	Withhold	Agains
		1.7	Elect Sidney Knafel	Mgmt	For	Withhold	Agains

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1.8	Elect Daniel Neidich	Mgmt	For	Withhold	Against
1.9	Elect D. Ellen Shuman	Mgmt	For	Withhold	Against
2	Ratification of Auditor	Mgmt	For	Against	Against

General Dynamics Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
GD	CUSIP 369550108	05/04/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Mary Barra	Mgmt	For	For	For
2	Elect Nicholas Chabraja	Mgmt	For	For	For
3	Elect James Crown	Mgmt	For	Against	Against
4	Elect William Fricks	Mgmt	For	For	For
5	Elect Jay Johnson	Mgmt	For	For	For
6	Elect George Joulwan	Mgmt	For	For	For
7	Elect Paul Kaminski	Mgmt	For	For	For
8	Elect John Keane	Mgmt	For	For	For
9	Elect Lester Lyles	Mgmt	For	For	For
10	Elect William Osborn	Mgmt	For	For	For
11	Elect Robert Walmsley	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Shareholder Proposal Regarding Review of Human Rights Policies	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	Against	For

General Electric Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
GE	CUSIP 369604103	04/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect W. Geoffrey Beattie	Mgmt	For	For	For
2	Elect James Cash, Jr.	Mgmt	For	For	For
3	Elect Ann Fudge	Mgmt	For	For	For

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4	Elect Susan Hockfield	Mgmt	For	For	For
5	Elect Jeffrey Immelt	Mgmt	For	For	For
6	Elect Andrea Jung	Mgmt	For	For	For
7	Elect Alan Lafley	Mgmt	For	For	For
8	Elect Robert Lane	Mgmt	For	For	For
9	Elect Ralph Larsen	Mgmt	For	For	For
10	Elect Rochelle Lazarus	Mgmt	For	For	For
11	Elect James Mulva	Mgmt	For	For	For
12	Elect Sam Nunn	Mgmt	For	For	For
13	Elect Roger Penske	Mgmt	For	Against	Against
14	Elect Robert Swieringa	Mgmt	For	For	For
15	Elect James Tisch	Mgmt	For	For	For
16	Elect Douglas Warner III	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
19	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
20	Shareholder Proposal Cumulative Voting	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Restricting Executive Compensation	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Report on Financial Risks of Climate Change	ShrHldr	Against	Against	For
24	Shareholder Proposal Regarding Transparency in Animal Research	ShrHldr	Against	Against	For

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 Gilead Sciences,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status			
GILD	CUSIP 375558103	05/12/2011	Voted			
Meeting Type	Country of Trade					
Annual	United States					
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag	Mgmt
1.1	Elect John Cogan	Mgmt	For	For	For	
1.2	Elect Etienne Davignon	Mgmt	For	For	For	
1.3	Elect James Denny	Mgmt	For	For	For	
1.4	Elect Carla Hills	Mgmt	For	For	For	
1.5	Elect Kevin Lofton	Mgmt	For	For	For	
1.6	Elect John Madigan	Mgmt	For	For	For	

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1.7	Elect John Martin	Mgmt	For	For	For
1.8	Elect Gordon Moore	Mgmt	For	For	For
1.9	Elect Nicholas Moore	Mgmt	For	For	For
1.10	Elect Richard Whitley	Mgmt	For	Withhold	Against
1.11	Elect Gayle Wilson	Mgmt	For	For	For
1.12	Elect Per Wold-Olsen	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 162(m) Bonus Plan	Mgmt	For	For	For
4	Elimination of Supermajority Requirement	Mgmt	For	For	For
5	Right to Call a Special Meeting	Mgmt	For	For	For
6	Advisory Vote on Executive Compensation	Mgmt	For	For	For
7	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Goldman Sachs Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
GS	CUSIP 38141G104	05/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual Issue No.	Description				Mgmt
1	Elect Lloyd Blankfein	Mgmt	For	For	For
2	Elect John Bryan	Mgmt	For	For	For
3	Elect Gary Cohn	Mgmt	For	For	For
4	Elect Claes Dahlback	Mgmt	For	For	For
5	Elect Stephen Friedman	Mgmt	For	For	For
6	Elect William George	Mgmt	For	For	For
7	Elect James Johnson	Mgmt	For	For	For
8	Elect Lois Juliber	Mgmt	For	For	For
9	Elect Lakshmi Mittal	Mgmt	For	For	For
10	Elect James Schiro	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
16	Shareholder Proposal Regarding	ShrHldr	Against	Against	For

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Retention of Shares After Retirement					
17	Shareholder Proposal Regarding Report on Compensation	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Report on Climate Change	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

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Google Inc					
Ticker	Security ID:	Meeting Date	Meeting Status		
GOOG	CUSIP 38259P508	06/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Larry Page	Mgmt	For	For	For
1.2	Elect Sergey Brin	Mgmt	For	For	For
1.3	Elect Eric Schmidt	Mgmt	For	For	For
1.4	Elect L. John Doerr	Mgmt	For	For	For
1.5	Elect John Hennessy	Mgmt	For	Withhold	Against
1.6	Elect Ann Mather	Mgmt	For	For	For
1.7	Elect Paul Otellini	Mgmt	For	For	For
1.8	Elect K. Ram Shriram	Mgmt	For	For	For
1.9	Elect Shirley Tilghman	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to the 2004 Stock Plan	Mgmt	For	Against	Against
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
6	Shareholder Proposal Regarding Formation of Sustainability Committee	ShrHldr	Against	Against	For
7	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	Against	For	Against
8	Shareholder Proposal Regarding Conflict of Interest Report	ShrHldr	Against	Against	For

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Halliburton Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
HAL	CUSIP 406216101	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Alan Bennett	Mgmt	For	For	For
2	Elect James Boyd	Mgmt	For	For	For
3	Elect Milton Carroll	Mgmt	For	For	For
4	Elect Nance Dicciani	Mgmt	For	For	For
5	Elect S. Malcolm Gillis	Mgmt	For	Against	Against
6	Elect Abdallah Jum'ah	Mgmt	For	For	For
7	Elect David Lesar	Mgmt	For	For	For
8	Elect Robert Malone	Mgmt	For	For	For
9	Elect J. Landis Martin	Mgmt	For	Against	Against
10	Elect Debra Reed	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder Proposal Regarding Review of Human Rights Policies	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

Hewlett-Packard  
Co.  
(de)

Ticker	Security ID:	Meeting Date	Meeting Status		
HPQ	CUSIP 428236103	03/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Marc Andreessen	Mgmt	For	For	For
2	Elect Leo Apotheker	Mgmt	For	For	For
3	Elect Lawrence Babbio Jr.	Mgmt	For	Against	Against
4	Elect Sari Baldauf	Mgmt	For	For	For
5	Elect Shumeet Banerji	Mgmt	For	For	For
6	Elect Rajiv Gupta	Mgmt	For	For	For
7	Elect John Hammergren	Mgmt	For	For	For
8	Elect Raymond Lane	Mgmt	For	For	For
9	Elect Gary Reiner	Mgmt	For	For	For

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10	Elect Patricia Russo	Mgmt	For	For	For
11	Elect Dominique Senequier	Mgmt	For	For	For
12	Elect G. Kennedy Thompson	Mgmt	For	For	For
13	Elect Margaret Whitman	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	2011 Employee Stock Purchase Plan	Mgmt	For	For	For
18	Amendment to the 2005 Pay-for-Results Plan	Mgmt	For	Against	Against

Home Depot, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HD	CUSIP 437076102	06/02/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect F. Duane Ackerman	Mgmt	For	For	For
2	Elect Francis Blake	Mgmt	For	For	For
3	Elect Ari Bousbib	Mgmt	For	For	For
4	Elect Gregory Brenneman	Mgmt	For	For	For
5	Elect J. Frank Brown	Mgmt	For	For	For
6	Elect Albert Carey	Mgmt	For	For	For
7	Elect Armando Codina	Mgmt	For	Against	Against
8	Elect Bonnie Hill	Mgmt	For	For	For
9	Elect Karen Katen	Mgmt	For	For	For
10	Elect Ronald Sargent	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Restoration of Written Consent	Mgmt	For	For	For
15	SHAREHOLDER PROPOSAL REGARDING CUMULATIVE VOTING	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	Against	For
17	SHAREHOLDER PROPOSAL REGARDING EMPLOYMENT DIVERSITY REPORT	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding	ShrHldr	Against	Against	For

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Advisory Vote on Electioneering  
Expenditure

Hudson City  
Bancorp,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
HCBK	CUSIP 443683107	04/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Ronald Hermance, Jr.	Mgmt	For	For	For
2	Elect William Bardel	Mgmt	For	For	For
3	Elect Scott Belair	Mgmt	For	For	For
4	Elect Cornelius Golding	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	2011 Stock Incentive Plan	Mgmt	For	Against	Against
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
9	Shareholder Proposal Regarding Declassification of the Board	ShrHldr	Against	For	Against

Illinois Tool  
Works,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
ITW	CUSIP 452308109	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Susan Crown	Mgmt	For	For	For
2	Elect Don Davis, Jr.	Mgmt	For	For	For
3	Elect Robert McCormack	Mgmt	For	Against	Against
4	Elect Robert Morrison	Mgmt	For	For	For
5	Elect James Skinner	Mgmt	For	For	For
6	Elect David Smith, Jr.	Mgmt	For	For	For
7	Elect David Speer	Mgmt	For	For	For
8	Elect Pamela Strobel	Mgmt	For	For	For
9	Elect Kevin Warren	Mgmt	For	For	For
10	Elect Anre Williams	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For



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12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	2011 Cash Incentive Plan	Mgmt	For	For	For
15	Amendment to the 2011 Long-Term Incentive Plan	Mgmt	For	For	For

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Intel Corp.  
 Ticker INTC  
 Meeting Type Annual  
 Issue No.

Security ID: CUSIP 458140100  
 Country of Trade United States  
 Description

Meeting Date 05/19/2011  
 Meeting Status Voted

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Charlene Barshefsky	Mgmt	For	Against	Against
2	Elect Susan Decker	Mgmt	For	For	For
3	Elect John Donahoe	Mgmt	For	For	For
4	Elect Reed Hundt	Mgmt	For	For	For
5	Elect Paul Otellini	Mgmt	For	For	For
6	Elect James Plummer	Mgmt	For	For	For
7	Elect David Pottruck	Mgmt	For	For	For
8	Elect Jane Shaw	Mgmt	For	For	For
9	Elect Frank Yeary	Mgmt	For	For	For
10	Elect David Yoffie	Mgmt	For	Against	Against
11	Ratification of Auditor	Mgmt	For	For	For
12	Amendment to the 2006 Equity Incentive Plan	Mgmt	For	For	For
13	Amendment to the 2006 Stock Purchase Plan	Mgmt	For	For	For
14	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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International Business Machines Corp.

Ticker IBM

Security ID: CUSIP 459200101

Meeting Date 04/26/2011

Meeting Status Voted

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Meeting Type Annual Issue No.	Country of Trade United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Alain Belda	Mgmt	For	Against	Agains
2	Elect William Brody	Mgmt	For	For	For
3	Elect Kenneth Chenault	Mgmt	For	For	For
4	Elect Michael Eskew	Mgmt	For	Against	Agains
5	Elect Shirley Jackson	Mgmt	For	For	For
6	Elect Andrew Liveris	Mgmt	For	Against	Agains
7	Elect W. James McNerney, Jr.	Mgmt	For	For	For
8	Elect James Owens	Mgmt	For	For	For
9	Elect Samuel Palmisano	Mgmt	For	For	For
10	Elect Joan Spero	Mgmt	For	For	For
11	Elect Sidney Taurel	Mgmt	For	For	For
12	Elect Lorenzo Hector Zambrano Trevino	Mgmt	For	Against	Agains
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Agains
16	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For

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Invesco Van  
Kampen Bond  
Fund

Ticker VBF Meeting Type Annual Issue No.	Security ID: CUSIP 46132L107 Country of Trade United States Description	Meeting Date 06/17/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect David Arch	Mgmt	For	For	For	For	
1.2	Elect Howard Kerr	Mgmt	For	For	For	For	
1.3	Elect Jerry Choate	Mgmt	For	For	For	For	
1.4	Elect Suzanne Woolsey	Mgmt	For	For	For	For	

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Johnson & Johnson

Ticker	Security ID:	Meeting Date	Meeting Status		
JNJ	CUSIP 478160104	04/28/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Mary Coleman	Mgmt	For	Against	Against
2	Elect James Cullen	Mgmt	For	For	For
3	Elect Ian Davis	Mgmt	For	For	For
4	Elect Michael Johns	Mgmt	For	Against	Against
5	Elect Susan Lindquist	Mgmt	For	For	For
6	Elect Anne Mulcahy	Mgmt	For	Against	Against
7	Elect Leo Mullin	Mgmt	For	For	For
8	Elect William Perez	Mgmt	For	Against	Against
9	Elect Charles Prince	Mgmt	For	Against	Against
10	Elect David Satcher	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Pharmaceutical Price Restraints	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Policy on Health Status Discrimination	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Animal Welfare	ShrHldr	Against	Against	For

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JP Morgan Chase  
&  
Company

Ticker	Security ID:	Meeting Date	Meeting Status		
JPM	CUSIP 46625H100	05/17/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Crandall Bowles	Mgmt	For	For	For
2	Elect Stephen Burke	Mgmt	For	For	For
3	Elect David Cote	Mgmt	For	For	For
4	Elect James Crown	Mgmt	For	For	For
5	Elect James Dimon	Mgmt	For	For	For
6	Elect Ellen Futter	Mgmt	For	Against	Against
7	Elect William Gray, III	Mgmt	For	For	For

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8	Elect Laban Jackson, Jr.	Mgmt	For	For	For
9	Elect David Novak	Mgmt	For	Against	Against
10	Elect Lee Raymond	Mgmt	For	For	For
11	Elect William Weldon	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Amendment to the Long-Term Incentive Plan	Mgmt	For	For	For
16	Shareholder Proposal Regarding Affirmation of Political Nonpartisanship	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
18	Shareholder Proposal Regarding Mortgage Loan Servicing	ShrHldr	Against	Against	For
19	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Genocide-Free Investing	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding an Independent Lead Director	ShrHldr	Against	Against	For

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Kraft Foods Inc.		Meeting Date		Meeting Status	
Ticker	Security ID:	05/24/2011		Voted	
KFT	CUSIP 50075N104				
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Ajay Banga	Mgmt	For	For	For
2	Elect Myra Hart	Mgmt	For	Against	Against
3	Elect Peter Henry	Mgmt	For	For	For
4	Elect Lois Juliber	Mgmt	For	Against	Against
5	Elect Mark Ketchum	Mgmt	For	Against	Against
6	Elect Richard Lerner	Mgmt	For	Against	Against
7	Elect Mackey McDonald	Mgmt	For	Against	Against
8	Elect John Pope	Mgmt	For	For	For
9	Elect Fedric Reynolds	Mgmt	For	For	For
10	Elect Irene Rosenfeld	Mgmt	For	For	For

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11	Elect Jean-Francois van Boxmeer	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Amendment to the 2006 Stock Compensation Plan for Non-Employee Directors	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For

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 Liberty All-Star  
 Equity  
 Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
USA	CUSIP 530158104	04/14/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Thomas Brock	Mgmt	For	For	For
1.2	Elect George Gaspari	Mgmt	For	For	For

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 Lockheed Martin  
 Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
LMT	CUSIP 539830109	04/28/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Nolan Archibald	Mgmt	For	For	For
2	Elect Rosalind Brewer	Mgmt	For	For	For
3	Elect David Burritt	Mgmt	For	Against	Against
4	Elect James Ellis, Jr.	Mgmt	For	For	For
5	Elect Thomas Falk	Mgmt	For	For	For
6	Elect Gwendolyn King	Mgmt	For	For	For
7	Elect James Loy	Mgmt	For	Against	Against
8	Elect Douglas McCorkindale	Mgmt	For	Against	Against
9	Elect Joseph Ralston	Mgmt	For	Against	Against
10	Elect Anne Stevens	Mgmt	For	Against	Against
11	Elect Robert Stevens	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	2011 Incentive Performance Award Plan	Mgmt	For	For	For
14	Advisory Vote on Executive	Mgmt	For	Against	Against

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Compensation

15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

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Loews Corp.					
Ticker	Security ID:	Meeting Date		Meeting Status	
L	CUSIP 540424108	05/10/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Ann Berman	Mgmt	For	For	For
2	Elect Joseph Bower	Mgmt	For	Against	Against
3	Elect Charles Diker	Mgmt	For	For	For
4	Elect Jacob Frenkel	Mgmt	For	For	For
5	Elect Paul Fribourg	Mgmt	For	For	For
6	Elect Walter Harris	Mgmt	For	For	For
7	Elect Philip Laskawy	Mgmt	For	Against	Against
8	Elect Ken Miller	Mgmt	For	For	For
9	Elect Gloria Scott	Mgmt	For	For	For
10	Elect Andrew Tisch	Mgmt	For	For	For
11	Elect James Tisch	Mgmt	For	For	For
12	Elect Jonathan Tisch	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
15	Ratification of Auditor	Mgmt	For	For	For
16	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	Against	For

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Macquarie Global Infrastructure Total Return Fund Inc					
Ticker	Security ID:	Meeting Date		Meeting Status	
MGU	CUSIP 55608D101	06/23/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1.1	Elect Brad Frishberg	Mgmt	For	For	For
1.2	Elect Chris Mahai	Mgmt	For	For	For

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 Macquarie Global  
 Infrastructure  
 Total Return  
 Fund  
 Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MGU	CUSIP 55608D101	06/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Brad Frishberg	Mgmt	For	Withhold	Agains
1.2	Elect Chris Mahai	Mgmt	For	Withhold	Agains

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 Marathon Oil  
 Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MRO	CUSIP 565849106	04/27/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Gregory Boyce	Mgmt	For	For	For
2	Elect Pierre Brondeau	Mgmt	For	For	For
3	Elect Clarence Cazalot, Jr.	Mgmt	For	For	For
4	Elect David Daberko	Mgmt	For	Against	Agains
5	Elect William Davis, III	Mgmt	For	Against	Agains
6	Elect Shirley Jackson	Mgmt	For	For	For
7	Elect Philip Lader	Mgmt	For	Against	Agains
8	Elect Charles Lee	Mgmt	For	For	For
9	Elect Michael Phelps	Mgmt	For	Against	Agains
10	Elect Dennis Reilley	Mgmt	For	Against	Agains
11	Elect Seth Schofield	Mgmt	For	Against	Agains
12	Elect John Snow	Mgmt	For	Against	Agains
13	Elect Thomas Usher	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Amendment to the Right to Call a Special Meeting	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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18	Shareholder Proposal Regarding Safety Report	ShrHldr	Against	Against	For
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 Marsh & McLennan  
 Cos.,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status
MMC	CUSIP 571748102	05/19/2011	Voted

Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Ag
			Mgmt

1	Elect Zachary Carter	Mgmt	For	For	For
2	Elect Brian Duperreault	Mgmt	For	For	For
3	Elect Oscar Fanjul	Mgmt	For	Against	Against
4	Elect H. Edward Hanway	Mgmt	For	For	For
5	Elect Lord Ian Lang	Mgmt	For	Against	Against
6	Elect Steven Mills	Mgmt	For	For	For
7	Elect Bruce Nolop	Mgmt	For	For	For
8	Elect Marc Oken	Mgmt	For	For	For
9	Elect Morton Schapiro	Mgmt	For	Against	Against
10	Elect Adele Simmons	Mgmt	For	For	For
11	Elect Lloyd Yates	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	2011 Incentive and Stock Award Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

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 McDonald's Corp

Ticker	Security ID:	Meeting Date	Meeting Status
MCD	CUSIP 580135101	05/19/2011	Voted

Meeting Type	Country of Trade		
Annual	United States		
Issue No.	Description	Proponent	Mgmt Rec
			Vote Cast
			For/Ag
			Mgmt

1	Elect Susan Arnold	Mgmt	For	For	For
2	Elect Richard Lenny	Mgmt	For	For	For
3	Elect Cary McMillan	Mgmt	For	For	For
4	Elect Sheila Penrose	Mgmt	For	For	For
5	Elect James Skinner	Mgmt	For	For	For



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6	Ratification of Auditor	Mgmt	For	For	For
7	Advisory Vote on Executive Compensation	Mgmt	For	For	For
8	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
9	Elimination of Super-majority Requirement in Article Regarding Transactions with Interested Shareholders	Mgmt	For	For	For
10	Elimination of Supermajority Requirement in Article Regarding the Removal of Directors	Mgmt	For	For	For
11	Elimination of Supermajority Requirement in Article Regarding Shareholder Action	Mgmt	For	For	For
12	Shareholder Proposal Regarding Declassification of the Board	ShrHldr	Against	For	Against
13	Shareholder Proposal Regarding Controlled Atmosphere Killing	ShrHldr	Against	Against	For
14	Shareholder Proposal Regarding Report on Childhood Obesity	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Beverage Containers	ShrHldr	Against	Against	For

McKesson Corporation

Ticker	Security ID:	Meeting Date	Meeting Status		
MCK	CUSIP 58155Q103	07/28/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Andy Bryant	Mgmt	For	For	For
2	Elect Wayne Budd	Mgmt	For	For	For
3	Elect John Hambergren	Mgmt	For	For	For
4	Elect Alton Irby III	Mgmt	For	Abstain	Against
5	Elect M. Christine Jacobs	Mgmt	For	Abstain	Against
6	Elect Marie Knowles	Mgmt	For	For	For
7	Elect David Lawrence	Mgmt	For	Abstain	Against

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8	Elect Edward Mueller	Mgmt	For	Abstain	Against
9	Elect Jane Shaw	Mgmt	For	For	For
10	Reapproval of Performance Goals Under the 2005 Stock Plan	Mgmt	For	For	For
11	Reapproval of Performance Goals Under the 2005 Management Incentive Plan	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHldr	Against	For	Against
14	Shareholder Proposal Regarding Report of Ratio Between Executive and Employee Pay	ShrHldr	Against	Abstain	Against

Medtronic Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MDT	CUSIP 585055106	08/25/2010	Unvoted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Anderson	Mgmt	For	N/A	N/A
1.2	Elect David Calhoun	Mgmt	For	N/A	N/A
1.3	Elect Victor Dzau	Mgmt	For	N/A	N/A
1.4	Elect William Hawkins	Mgmt	For	N/A	N/A
1.5	Elect Shirley Jackson	Mgmt	For	N/A	N/A
1.6	Elect James Lenehan	Mgmt	For	N/A	N/A
1.7	Elect Denise O'Leary	Mgmt	For	N/A	N/A
1.8	Elect Kendall Powell	Mgmt	For	N/A	N/A
1.9	Elect Robert Pozen	Mgmt	For	N/A	N/A
1.10	Elect Jean-Pierre Rosso	Mgmt	For	N/A	N/A
1.11	Elect Jack Schuler	Mgmt	For	N/A	N/A
2	Ratification of Auditor	Mgmt	For	N/A	N/A

Merck & Co., Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MRK	CUSIP 58933Y105	05/24/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Leslie Brun	Mgmt	For	For	For
2	Elect Thomas Cech	Mgmt	For	For	For
3	Elect Richard Clark	Mgmt	For	For	For
4	Elect Kenneth Frazier	Mgmt	For	For	For
5	Elect Thomas Glocer	Mgmt	For	For	For
6	Elect Steven Goldstone	Mgmt	For	For	For

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7	Elect William Harrison, Jr.	Mgmt	For	For	For
8	Elect Harry Jacobson	Mgmt	For	For	For
9	Elect William Kelley	Mgmt	For	For	For
10	Elect C. Robert Kidder	Mgmt	For	For	For
11	Elect Rochelle Lazarus	Mgmt	For	For	For
12	Elect Carlos Represas	Mgmt	For	For	For
13	Elect Patricia Russo	Mgmt	For	For	For
14	Elect Thomas Shenk	Mgmt	For	For	For
15	Elect Anne Tatlock	Mgmt	For	For	For
16	Elect Craig Thompson	Mgmt	For	For	For
17	Elect Wendell Weeks	Mgmt	For	For	For
18	Elect Peter Wendell	Mgmt	For	For	For
19	Ratification of Auditor	Mgmt	For	For	For
20	Advisory Vote on Executive Compensation	Mgmt	For	For	For
21	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

Metlife Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
MET	CUSIP 59156R108	04/26/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1.1	Elect Steven Kandarian	Mgmt	For	For	For
1.2	Elect Sylvia Mathews Burwell	Mgmt	For	For	For
1.3	Elect Eduardo Castro-Wright	Mgmt	For	For	For
1.4	Elect Cheryl Gris	Mgmt	For	For	For
1.5	Elect Lulu Wang	Mgmt	For	For	For
2	Repeal of Classified Board	Mgmt	For	For	For
3	Ratification of Auditor	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Microsoft Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
MSFT	CUSIP 594918104	11/16/2010	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Steven Ballmer	Mgmt	For	For	For
2	Elect Dina Dublon	Mgmt	For	For	For
3	Elect William Gates III	Mgmt	For	For	For

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4	Elect Raymond Gilmartin	Mgmt	For	For	For
5	Elect Reed Hastings	Mgmt	For	For	For
6	Elect Maria Klawe	Mgmt	For	For	For
7	Elect David Marquardt	Mgmt	For	For	For
8	Elect Charles Noski	Mgmt	For	For	For
9	Elect Helmut Panke	Mgmt	For	For	For
10	Ratification of Auditor	Mgmt	For	For	For
11	Shareholder Proposal Regarding Formation of Environmental Sustainability Committee	ShrHldr	Against	Against	For

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Morgan Stanley

Ticker	Security ID:	Meeting Date	Meeting Status		
MPQ	CUSIP 617446448	05/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Roy Bostock	Mgmt	For	For	For
2	Elect Erskine Bowles	Mgmt	For	For	For
3	Elect Howard Davies	Mgmt	For	For	For
4	Elect James Gorman	Mgmt	For	For	For
5	Elect James Hance, Jr.	Mgmt	For	For	For
6	Elect C. Robert Kidder	Mgmt	For	For	For
7	Elect John Mack	Mgmt	For	For	For
8	Elect Donald Nicolaisen	Mgmt	For	For	For
9	Elect Hutham Olayan	Mgmt	For	For	For
10	Elect James Owens	Mgmt	For	For	For
11	Elect O. Griffith Sexton	Mgmt	For	For	For
12	Elect Masaaki Tanaka	Mgmt	For	For	For
13	Elect Laura Tyson	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Amendment to the 2007 Equity Incentive Compensation Plan	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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NextEra Energy  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status
NEE	CUSIP 65339F101	05/20/2011	Voted
Meeting Type	Country of Trade		

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Annual Issue No.	United States Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1.1	Elect Sherry Barrat	Mgmt	For	For	For
1.2	Elect Robert Beall II	Mgmt	For	For	For
1.3	Elect J. Hyatt Brown	Mgmt	For	For	For
1.4	Elect James Camaren	Mgmt	For	For	For
1.5	Elect Kenneth Dunn	Mgmt	For	For	For
1.6	Elect J. Brian Ferguson	Mgmt	For	For	For
1.7	Elect Lewis Hay III	Mgmt	For	For	For
1.8	Elect Toni Jennings	Mgmt	For	For	For
1.9	Elect Oliver Kingsley, Jr.	Mgmt	For	For	For
1.10	Elect Rudy Schupp	Mgmt	For	For	For
1.11	Elect William Swanson	Mgmt	For	For	For
1.12	Elect Michael Thaman	Mgmt	For	For	For
1.13	Elect Hansel Tookes II	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	2011 Long Term Incentive Plan	Mgmt	For	Against	Against
4	Advisory Vote on Executive Compensation	Mgmt	For	For	For
5	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Northrop Grumman Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
NOC	CUSIP 666807102	05/18/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Annual Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect Wesley Bush	Mgmt	For	For	For
2	Elect Lewis Coleman	Mgmt	For	Against	Against
3	Elect Victor Fazio	Mgmt	For	Against	Against
4	Elect Donald Felsing	Mgmt	For	Against	Against
5	Elect Stephen Frank	Mgmt	For	Against	Against
6	Elect Bruce Gordon	Mgmt	For	Against	Against
7	Elect Madeleine Kleiner	Mgmt	For	For	For
8	Elect Karl Krapek	Mgmt	For	Against	Against
9	Elect Richard Myers	Mgmt	For	Against	Against
10	Elect Aulana Peters	Mgmt	For	Against	Against
11	Elect Kevin Sharer	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	2011 Long-Term Incentive Stock Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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16	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

Nuveen  
Multi-Currency  
Short-Term  
Government  
Income  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JGT	CUSIP 67090N109	05/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect John Amboian	Mgmt	For	For	For
1.2	Elect David Kundert	Mgmt	For	For	For
1.3	Elect Terence Toth	Mgmt	For	For	For

Nuveen  
Multi-Currency  
Short-Term  
Government  
Income  
Fund

Ticker	Security ID:	Meeting Date	Meeting Status		
JGT	CUSIP 67090N109	05/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect John Amboian	Mgmt	For	Withhold	Against
1.2	Elect David Kundert	Mgmt	For	Withhold	Against
1.3	Elect Terence Toth	Mgmt	For	Withhold	Against

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Occidental  
Petroleum  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
OXY	CUSIP 674599105	05/06/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Spencer Abraham	Mgmt	For	For	For
2	Elect Howard Atkins	Mgmt	For	For	For
3	Elect Stephen Chazen	Mgmt	For	For	For
4	Elect Edward Djerejian	Mgmt	For	For	For
5	Elect John Feick	Mgmt	For	For	For
6	Elect Margaret Foran	Mgmt	For	For	For
7	Elect Carlos Gutierrez	Mgmt	For	For	For
8	Elect Ray Irani	Mgmt	For	For	For
9	Elect Avedick Poladian	Mgmt	For	For	For
10	Elect Rodolfo Segovia	Mgmt	For	For	For
11	Elect Aziz Syriani	Mgmt	For	For	For
12	Elect Rosemary Tomich	Mgmt	For	For	For
13	Elect Walter Weisman	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	1 Year	N/A
17	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Environmental Expertise on Board	ShrHldr	Against	Against	For

Pepsico Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PEP	CUSIP 713448108	05/04/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Shona Brown	Mgmt	For	For	For
2	Elect Ian Cook	Mgmt	For	For	For
3	Elect Dina Dublon	Mgmt	For	For	For
4	Elect Victor Dzau	Mgmt	For	For	For
5	Elect Ray Hunt	Mgmt	For	For	For
6	Elect Alberto Ibargen	Mgmt	For	For	For

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7	Elect Arthur Martinez	Mgmt	For	For	For
8	Elect Indra Nooyi	Mgmt	For	For	For
9	Elect Sharon Rockefeller	Mgmt	For	For	For
10	Elect James Schiro	Mgmt	For	For	For
11	Elect Lloyd Trotter	Mgmt	For	For	For
12	Elect Daniel Vasella	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
15	Ratification of Auditor	Mgmt	For	For	For
16	Adoption of Majority Vote for Election of Directors	Mgmt	For	For	For
17	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
18	Shareholder Proposal Regarding Reviewing Political Contributions and Expenditures	ShrHldr	Against	Against	For

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PG & E Corp. Ticker PCG Meeting Type Annual Issue No.	Security ID: CUSIP 69331C108 Country of Trade United States Description	Meeting Date 05/11/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect David Andrews			Mgmt	For	For	For
2	Elect Lewis Chew			Mgmt	For	For	For
3	Elect C. Lee Cox			Mgmt	For	For	For
4	Elect Peter Darbee			Mgmt	For	For	For
5	Elect Maryellen Herringer			Mgmt	For	Against	Against
6	Elect Roger Kimmel			Mgmt	For	For	For
7	Elect Richard Meserve			Mgmt	For	For	For
8	Elect Forrest Miller			Mgmt	For	For	For
9	Elect Rosendo Parra			Mgmt	For	For	For
10	Elect Barbara Rambo			Mgmt	For	For	For
11	Elect Barry Williams			Mgmt	For	For	For
12	Ratification of Auditor			Mgmt	For	For	For
13	ADVISORY VOTE ON EXECUTIVE COMPENSATION			Mgmt	For	For	For
14	Frequency of Advisory Vote on Executive Compensation			Mgmt	1 Year	1 Year	For
15	Shareholder Proposal Regarding Independent Board Chairman			ShrHldr	Against	For	Against



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16	Shareholder Proposal Regarding Removing Sexual Orientation from Anti-Bias Policy	ShrHldr	Against	Against	For
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Philip Morris  
International  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
PM	CUSIP 718172109	05/11/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Harold Brown	Mgmt	For	For	For
2	Elect Mathis Cabiallavetta	Mgmt	For	For	For
3	Elect Louis Camilleri	Mgmt	For	For	For
4	Elect J. Dudley Fishburn	Mgmt	For	For	For
5	Elect Jennifer Li	Mgmt	For	For	For
6	Elect Graham Mackay	Mgmt	For	For	For
7	Elect Sergio Marchionne	Mgmt	For	Against	Against
8	Elect Lucio Noto	Mgmt	For	For	For
9	Elect Carlos Slim Helu	Mgmt	For	For	For
10	Elect Stephen Wolf	Mgmt	For	For	For
11	Ratification of Auditor	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
14	Shareholder proposal Regarding Food Insecurity and Tobacco Use	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

PNC Financial  
Services  
Group

Ticker	Security ID:	Meeting Date	Meeting Status		
PNC	CUSIP 693475105	04/26/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1	Elect Richard Berndt	Mgmt	For	For	For
2	Elect Charles Bunch	Mgmt	For	For	For
3	Elect Paul Chellgren	Mgmt	For	For	For
4	Elect Kay James	Mgmt	For	For	For
5	Elect Richard Kelson	Mgmt	For	Against	Against
6	Elect Bruce Lindsay	Mgmt	For	For	For
7	Elect Anthony Massaro	Mgmt	For	For	For
8	Elect Jane Pepper	Mgmt	For	For	For
9	Elect James Rohr	Mgmt	For	Against	Against
10	Elect Donald Shepard	Mgmt	For	For	For
11	Elect Lorene Steffes	Mgmt	For	For	For
12	Elect Dennis Strigl	Mgmt	For	For	For
13	Elect Thomas Usher	Mgmt	For	For	For
14	Elect George Walls, Jr.	Mgmt	For	For	For
15	Elect Helge Wehmeier	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	Amendment to the 2006 Incentive Award Plan	Mgmt	For	For	For
18	Advisory Vote on Executive Compensation	Mgmt	For	For	For
19	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

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Praxair, Inc.					
Ticker	Security ID:	Meeting Date		Meeting Status	
PX	CUSIP 74005P104	04/26/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Stephen Angel	Mgmt	For	For	For
1.2	Elect Oscar Bernardes	Mgmt	For	For	For
1.3	Elect Nance Dicciani	Mgmt	For	For	For
1.4	Elect Edward Galante	Mgmt	For	For	For
1.5	Elect Claire Gargalli	Mgmt	For	For	For
1.6	Elect Ira Hall	Mgmt	For	For	For
1.7	Elect Raymond LeBoeuf	Mgmt	For	For	For
1.8	Elect Larry McVay	Mgmt	For	For	For
1.9	Elect Wayne Smith	Mgmt	For	For	For
1.10	Elect Robert Wood	Mgmt	For	For	For
2	Advisory Vote on Executive Compensation	Mgmt	For	For	For
3	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
4	Approval of Performance Goals Under the 162(m) Plan	Mgmt	For	For	For

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5	Amendment to the 2009 Long-Term Incentive Plan	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Price T.Rowe  
Assoc  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TROW	CUSIP 74144T108	04/14/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Edward C. Bernard	Mgmt	For	For	For
2	Elect James T. Brady	Mgmt	For	For	For
3	Elect J. Alfred Broaddus, Jr.	Mgmt	For	For	For
4	Elect Donald B. Hebb, Jr.	Mgmt	For	Against	Against
5	Elect James A.C. Kennedy	Mgmt	For	For	For
6	Elect Robert F. MacLellan	Mgmt	For	For	For
7	Elect Brian C. Rogers	Mgmt	For	For	For
8	Elect Dr. Alfred Sommer	Mgmt	For	For	For
9	Elect Dwight S. Taylor	Mgmt	For	For	For
10	Elect Anne Marie Whittemore	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Ratification of Auditor	Mgmt	For	For	For

Prudential  
Financial  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PRU	CUSIP 744320102	05/10/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Thomas Baltimore Jr.	Mgmt	For	For	For
2	Elect Gordon Bethune	Mgmt	For	For	For
3	Elect Gaston Caperton	Mgmt	For	For	For
4	Elect Gilbert Casellas	Mgmt	For	For	For
5	Elect James Cullen	Mgmt	For	Against	Against
6	Elect William Gray, III	Mgmt	For	For	For
7	Elect Mark Grier	Mgmt	For	For	For
8	Elect Constance Horner	Mgmt	For	For	For

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9	Elect Martina Hund-Mejean	Mgmt	For	For	For
10	Elect Karl Krapek	Mgmt	For	For	For
11	Elect Christine Poon	Mgmt	For	For	For
12	Elect John Strangfeld	Mgmt	For	For	For
13	Elect James Unruh	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Shareholder Proposal Regarding Simple Majority Vote	ShrHldr	For	For	For
18	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For

Public Service  
Enterprise  
Group  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
PEG	CUSIP 744573106	04/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Albert Gamper, Jr.	Mgmt	For	For	For
2	Elect Conrad Harper	Mgmt	For	For	For
3	Elect William Hickey	Mgmt	For	For	For
4	Elect Ralph Izzo	Mgmt	For	For	For
5	Elect Shirley Jackson	Mgmt	For	For	For
6	Elect David Lilley	Mgmt	For	For	For
7	Elect Thomas Renyi	Mgmt	For	For	For
8	Elect Hak Cheol Shin	Mgmt	For	For	For
9	Elect Richard Swift	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For

Qualcomm, Inc.  
Ticker  
QCOM

Security ID:  
CUSIP 747525103

Meeting Date  
03/08/2011

Meeting Status  
Voted

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Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Barbara Alexander	Mgmt	For	For	For
1.2	Elect Stephen Bennett	Mgmt	For	For	For
1.3	Elect Donald Cruickshank	Mgmt	For	For	For
1.4	Elect Raymond Dittamore	Mgmt	For	For	For
1.5	Elect Thomas Horton	Mgmt	For	For	For
1.6	Elect Irwin Jacobs	Mgmt	For	For	For
1.7	Elect Paul Jacobs	Mgmt	For	For	For
1.8	Elect Robert Kahn	Mgmt	For	For	For
1.9	Elect Sherry Lansing	Mgmt	For	For	For
1.10	Elect Duane Nelles	Mgmt	For	For	For
1.11	Elect Francisco Ros	Mgmt	For	For	For
1.12	Elect Brent Scowcroft	Mgmt	For	For	For
1.13	Elect Marc Stern	Mgmt	For	For	For
2	Amendment to the 2006 Long-term Incentive Plan	Mgmt	For	Against	Against
3	Amendment to the 2001 Employee Stock Purchase Plan	Mgmt	For	For	For
4	Ratification of Auditor	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
7	Shareholder Proposal Regarding Majority Vote for Election of Directors	ShrHldr	Against	For	Against

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Raytheon Co. Ticker	Security ID:	Meeting Date	Meeting Status		
RTN	CUSIP 755111507	05/26/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Vernon Clark	Mgmt	For	For	For
2	Elect John Deutch	Mgmt	For	Against	Against
3	Elect Stephen Hadley	Mgmt	For	For	For
4	Elect Frederic Poses	Mgmt	For	For	For
5	Elect Michael Ruetters	Mgmt	For	For	For
6	Elect Ronald Skates	Mgmt	For	Against	Against
7	Elect William Spivey	Mgmt	For	For	For
8	Elect Linda Stuntz	Mgmt	For	Against	Against
9	Elect William Swanson	Mgmt	For	For	For
10	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For

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11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against
14	Shareholder Proposal Regarding Retention of Shares After Retirement	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Lobbying Report	ShrHldr	Against	Against	For
16	Shareholder Proposal Regarding Supplemental Executive Retirement Plans	ShrHldr	Against	Against	For

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 Schlumberger  
 Ltd.  
 (Netherlands  
 Antilles)

Ticker	Security ID:	Meeting Date	Meeting Status		
SLB	CUSIP 806857108	04/06/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Philippe Camus	Mgmt	For	For	For
2	Elect Peter Currie	Mgmt	For	For	For
3	Elect Andrew Gould	Mgmt	For	For	For
4	Elect Tony Isaac	Mgmt	For	Against	Against
5	Elect K.V. Kamath	Mgmt	For	For	For
6	Elect Nikolay Kudryavtsev	Mgmt	For	For	For
7	Elect Adrian Lajous	Mgmt	For	For	For
8	Elect Michael Marks	Mgmt	For	For	For
9	Elect Elizabeth Moler	Mgmt	For	For	For
10	Elect Leo Reif	Mgmt	For	For	For
11	Elect Tore Sandvold	Mgmt	For	For	For
12	Elect Henri Seydoux	Mgmt	For	For	For
13	Elect Paal Kibsgaard	Mgmt	For	For	For
14	Elect Lubna Olayan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	2 Years	1 Year	Against
17	Increase of Authorized Common Stock	Mgmt	For	For	For
18	Amendments to Articles	Mgmt	For	For	For

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19	Approval of Financial Statements and Dividends	Mgmt	For	For	For
20	Ratification of Auditor	Mgmt	For	For	For

Simon Property Group, Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
SPG	CUSIP 828806109	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1	Elect Melvyn Bergstein	Mgmt	For	For	For
2	Elect Linda Bynoe	Mgmt	For	For	For
3	Elect Larry Glasscock	Mgmt	For	For	For
4	Elect Karen Horn	Mgmt	For	Against	Against
5	Elect Allan Hubbard	Mgmt	For	For	For
6	Elect Reuben Leibowitz	Mgmt	For	For	For
7	Elect Daniel Smith	Mgmt	For	For	For
8	Elect J. Albert Smith, Jr.	Mgmt	For	For	For
9	Advisory Vote on Executive Compensation	Mgmt	For	For	For
10	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
11	Ratification of Auditor	Mgmt	For	For	For

Southern Company

Ticker	Security ID:	Meeting Date	Meeting Status		
SO	CUSIP 842587107	05/25/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Issue No.	Description				
1.1	Elect Juanita Baranco	Mgmt	For	For	For
1.2	Elect Jon Boscia	Mgmt	For	For	For
1.3	Elect Henry Clark III	Mgmt	For	For	For
1.4	Elect Thomas Fanning	Mgmt	For	For	For
1.5	Elect H. William Habermeyer, Jr.	Mgmt	For	For	For
1.6	Elect Veronica Hagen	Mgmt	For	For	For
1.7	Elect Warren Hood, Jr.	Mgmt	For	For	For
1.8	Elect Donald James	Mgmt	For	Withhold	Against
1.9	Elect Dale Klein	Mgmt	For	For	For
1.10	Elect J. Neal Purcell	Mgmt	For	For	For
1.11	Elect William Smith, Jr.	Mgmt	For	For	For

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1.12	Elect Steven Specker	Mgmt	For	For	For
1.13	Elect Larry Thompson	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
4	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
5	2011 Omnibus Incentive Compensation Plan	Mgmt	For	For	For
6	Shareholder Proposal Regarding Report on Coal Combustion Waste	ShrHldr	Against	Against	For

Starbucks Corp.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Howard Schultz	Mgmt	For	For	For
2	Elect William Bradley	Mgmt	For	For	For
3	Elect Mellody Hobson	Mgmt	For	For	For
4	Elect Kevin Johnson	Mgmt	For	For	For
5	Elect Olden Lee	Mgmt	For	For	For
6	Elect Sheryl Sandberg	Mgmt	For	For	For
7	Elect James Shennan, Jr.	Mgmt	For	For	For
8	Elect Javier Teruel	Mgmt	For	For	For
9	Elect Myron Ullman III	Mgmt	For	For	For
10	Elect Craig Weatherup	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Approval of Revised Performance Criteria Under the 2005 Long-Term Equity Incentive Plan	Mgmt	For	For	For
14	Amendment to the 2005 Long-Term Equity Incentive Plan	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For
16	SHAREHOLDER PROPOSAL REGARDING RECYCLING STRATEGY FOR BEVERAGE CONTAINERS	ShrHldr	Against	Against	For



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State Street  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
STT	CUSIP 857477103	05/18/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Kennett Burnes	Mgmt	For	For	For
2	Elect Peter Coym	Mgmt	For	For	For
3	Elect Patrick de Saint-Aignan	Mgmt	For	For	For
4	Elect Amelia Fawcett	Mgmt	For	For	For
5	Elect David Gruber	Mgmt	For	For	For
6	Elect Linda Hill	Mgmt	For	For	For
7	Elect Joseph Hooley	Mgmt	For	For	For
8	Elect Robert Kaplan	Mgmt	For	For	For
9	Elect Charles LaMantia	Mgmt	For	For	For
10	Elect Richard Sergel	Mgmt	For	For	For
11	Elect Ronald Skates	Mgmt	For	For	For
12	Elect Gregory Summe	Mgmt	For	Against	Against
13	Elect Robert Weissman	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	2011 Senior Executive Annual Incentive Plan	Mgmt	For	For	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against

Stryker Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
SYK	CUSIP 863667101	04/26/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Howard Cox, Jr.	Mgmt	For	For	For
1.2	Elect Srikant Datar	Mgmt	For	For	For
1.3	Elect Roch Doliveux	Mgmt	For	For	For
1.4	Elect Louis Francesconi	Mgmt	For	Withhold	Against
1.5	Elect Allan Golston	Mgmt	For	For	For
1.6	Elect Howard Lance	Mgmt	For	For	For
1.7	Elect Stephen MacMillan	Mgmt	For	For	For

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1.8	Elect William Parfet	Mgmt	For	Withhold	Against
1.9	Elect Ronda Stryker	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	2011 Long-Term Incentive Plan	Mgmt	For	For	For
4	2011 Performance Incentive Award Plan	Mgmt	For	For	For
5	Advisory Vote on Executive Compensation	Mgmt	For	For	For
6	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
7	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

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SunAmerica  
Focused Alpha  
Large-Cap Fund,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 867038101	05/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Richard Grant	Mgmt	For	Withhold	Against
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Against
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Against
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	Against	For

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SunAmerica  
Focused Alpha  
Large-Cap Fund,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 867038101	05/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1.1	Elect Richard Grant	Mgmt	For	For	For
1.2	Elect Stephen Gutman	Mgmt	For	For	For
1.3	Elect Peter Harbeck	Mgmt	For	For	For
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	For	Against

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Agreements

SunAmerica  
 Focused Alpha  
 Large-Cap Fund,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
FGI	CUSIP 8.67038e+008	05/19/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Richard Grant	Mgmt	For	Withhold	Agains
1.2	Elect Stephen Gutman	Mgmt	For	Withhold	Agains
1.3	Elect Peter Harbeck	Mgmt	For	Withhold	Agains
2	Shareholder Proposal Regarding Termination of Management and Advisory Agreements	ShrHldr	Against	Abstain	N/A

Ticker	Security ID:	Meeting Date	Meeting Status		
SYX	CUSIP 871829107	11/12/2010	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect John Cassaday	Mgmt	For	Against	Agains
2	Elect Manuel Fernandez	Mgmt	For	Against	Agains
3	Elect Hans-Joachim Korber	Mgmt	For	For	For
4	Elect Jackie Ward	Mgmt	For	Against	Agains
5	Amendment to the 1974 Employees' Stock Purchase Plan	Mgmt	For	For	For
6	Ratification of Auditor	Mgmt	For	For	For

Ticker	Security ID:	Meeting Date	Meeting Status		
TGT	CUSIP 87612E106	06/08/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt

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1	Elect Roxanne Austin	Mgmt	For	For	For
2	Elect Calvin Darden	Mgmt	For	For	For
3	Elect Mary Dillon	Mgmt	For	For	For
4	Elect James Johnson	Mgmt	For	For	For
5	Elect Mary Minnick	Mgmt	For	For	For
6	Elect Anne Mulcahy	Mgmt	For	Against	Against
7	Elect Derica Rice	Mgmt	For	For	For
8	Elect Stephen Sanger	Mgmt	For	For	For
9	Elect Gregg Steinhafel	Mgmt	For	For	For
10	Elect John Stumpf	Mgmt	For	For	For
11	Elect Solomon Trujillo	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	2011 Long-Term Incentive Plan	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Compensation Benchmarking	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Report on Electronic Waste	ShrHldr	Against	Against	For

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 Texas  
 Instruments  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TXN	CUSIP 882508104	04/21/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Ralph Babb, Jr.	Mgmt	For	For	For
2	Elect Daniel Carp	Mgmt	For	For	For
3	Elect Carrie Cox	Mgmt	For	For	For
4	Elect Stephen MacMillan	Mgmt	For	For	For
5	Elect Pamela Patsley	Mgmt	For	For	For
6	Elect Robert Sanchez	Mgmt	For	For	For
7	Elect Wayne Sanders	Mgmt	For	For	For
8	Elect Ruth Simmons	Mgmt	For	Against	Against
9	Elect Richard Templeton	Mgmt	For	For	For
10	Elect Christine Whitman	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
13	Ratification of Auditor	Mgmt	For	For	For

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 The Gabelli  
 Healthcare &  
 Wellness  
 Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
GRX	CUSIP 36246K103	05/16/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Mario Gabelli	Mgmt	For	For	For
1.2	Elect Vincent Enright	Mgmt	For	For	For
1.3	Elect Anthonie van Ekris	Mgmt	For	For	For

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 The Gabelli  
 Healthcare &  
 Wellness  
 Trust

Ticker	Security ID:	Meeting Date	Meeting Status		
GRX	CUSIP 36246K103	05/16/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Mario Gabelli	Mgmt	For	Withhold	Agains
1.2	Elect Vincent Enright	Mgmt	For	Withhold	Agains
1.3	Elect Anthonie van Ekris	Mgmt	For	Withhold	Agains

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 The Walt Disney  
 Company

Ticker	Security ID:	Meeting Date	Meeting Status		
DIS	CUSIP 254687106	03/23/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Susan Arnold	Mgmt	For	Against	Agains
2	Elect John Bryson	Mgmt	For	For	For
3	Elect John Chen	Mgmt	For	Against	Agains
4	Elect Judith Estrin	Mgmt	For	For	For
5	Elect Robert Iger	Mgmt	For	For	For
6	Elect Steven Jobs	Mgmt	For	Against	Agains
7	Elect Fred Langhammer	Mgmt	For	Against	Agains
8	Elect Aylwin Lewis	Mgmt	For	Against	Agains
9	Elect Monica Lozano	Mgmt	For	For	For

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10	Elect Robert Matschullat	Mgmt	For	For	For
11	Elect John Pepper, Jr.	Mgmt	For	Against	Against
12	Elect Sheryl Sandberg	Mgmt	For	For	For
13	Elect Orin Smith	Mgmt	For	Against	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	2011 Stock Incentive Plan	Mgmt	For	Against	Against
16	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
18	Shareholder Proposal Regarding One Test Performance Assessment	ShrHldr	Against	Against	For

Time Warner Cable Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TWC	CUSIP 88732J207	05/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Against
Annual	United States				
Issue No.	Description				
1	Elect Carole Black	Mgmt	For	For	For
2	Elect Glenn Britt	Mgmt	For	For	For
3	Elect Thomas Castro	Mgmt	For	For	For
4	Elect David Chang	Mgmt	For	For	For
5	Elect James Copeland, Jr.	Mgmt	For	For	For
6	Elect Peter Haje	Mgmt	For	Against	Against
7	Elect Donna James	Mgmt	For	For	For
8	Elect Don Logan	Mgmt	For	For	For
9	Elect N.J. Nicholas, Jr.	Mgmt	For	For	For
10	Elect Wayne Pace	Mgmt	For	For	For
11	Elect Edward Shirley	Mgmt	For	For	For
12	Elect John Sununu	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	2011 Stock Incentive Plan	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For

Time Warner Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
TWX	CUSIP 887317303	05/20/2011	Voted		

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Meeting Type Annual Issue No.	Country of Trade United States Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect James Barksdale	Mgmt	For	For	For
2	Elect William Barr	Mgmt	For	For	For
3	Elect Jeffrey Bewkes	Mgmt	For	For	For
4	Elect Stephen Bollenbach	Mgmt	For	For	For
5	Elect Frank Caufield	Mgmt	For	For	For
6	Elect Robert Clark	Mgmt	For	Against	Against
7	Elect Mathias Dopfner	Mgmt	For	For	For
8	Elect Jessica Einhorn	Mgmt	For	For	For
9	Elect Fred Hassan	Mgmt	For	For	For
10	Elect Michael Miles	Mgmt	For	Against	Against
11	Elect Kenneth Novack	Mgmt	For	Against	Against
12	Elect Paul Wachter	Mgmt	For	For	For
13	Elect Deborah Wright	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
17	Elimination of Absolute Majority Voting Requirement	Mgmt	For	For	For
18	Shareholder Proposal Regarding Right to Act by Written Consent	ShrHldr	Against	For	Against

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TJX Companies,  
Inc.

Ticker TJX Meeting Type Annual Issue No.	Security ID: CUSIP 872540109 Country of Trade United States Description	Meeting Date 06/14/2011	Meeting Status Voted	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Jose Alvarez	Mgmt	For	For	For	For	
2	Elect Alan Bennett	Mgmt	For	For	For	For	
3	Elect Bernard Cammarata	Mgmt	For	For	For	For	
4	Elect David Ching	Mgmt	For	For	For	For	
5	Elect Michael Hines	Mgmt	For	For	For	For	
6	Elect Amy Lane	Mgmt	For	For	For	For	
7	Elect Carol Meyrowitz	Mgmt	For	For	For	For	
8	Elect John O'Brien	Mgmt	For	For	For	For	
9	Elect Willow Shire	Mgmt	For	For	For	For	
10	Ratification of Auditor	Mgmt	For	For	For	For	
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For	For	

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12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
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Travelers Companies Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
TRV	CUSIP 89417E109	05/26/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Alan Beller	Mgmt	For	For	For
2	Elect John Dasburg	Mgmt	For	For	For
3	Elect Janet Dolan	Mgmt	For	For	For
4	Elect Kenneth Duberstein	Mgmt	For	For	For
5	Elect Jay Fishman	Mgmt	For	For	For
6	Elect Lawrence Graev	Mgmt	For	For	For
7	Elect Patricia Higgins	Mgmt	For	For	For
8	Elect Thomas Hodgson	Mgmt	For	For	For
9	Elect Cleve Killingsworth, Jr.	Mgmt	For	For	For
10	Elect Donald Shepard	Mgmt	For	For	For
11	Elect Laurie Thomsen	Mgmt	For	For	For
12	Ratification of Auditor	Mgmt	For	For	For
13	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
14	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against

Tri-Continental Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TY	CUSIP 895436103	04/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Patricia Flynn	Mgmt	For	Against	Against
1.2	Elect Stephen Lewis, Jr.	Mgmt	For	Against	Against
1.3	Elect Catherine James Paglia	Mgmt	For	Against	Against
2	Ratification of Auditor	Mgmt	For	Against	Against
3	Amendment to Investment Objective	Mgmt	For	Against	Against



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Tri-Continental  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TY	CUSIP 895436103	04/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Patricia Flynn	Mgmt	For	Against	Agains
1.2	Elect Stephen Lewis, Jr.	Mgmt	For	Against	Agains
1.3	Elect Catherine James Paglia	Mgmt	For	Against	Agains
2	Ratification of Auditor	Mgmt	For	Abstain	Agains
3	Amendment to Investment Objective	Mgmt	For	Abstain	Agains

Tri-Continental  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
TY	CUSIP 895436103	04/14/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1.1	Elect Patricia Flynn	Mgmt	For	For	For
1.2	Elect Stephen Lewis, Jr.	Mgmt	For	For	For
1.3	Elect Catherine James Paglia	Mgmt	For	For	For
2	Ratification of Auditor	Mgmt	For	For	For
3	Amendment to Investment Objective	Mgmt	For	For	For

Union Pacific  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
UNP	CUSIP 907818108	05/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Andrew Card, Jr.	Mgmt	For	For	For
2	Elect Erroll Davis, Jr.	Mgmt	For	For	For
3	Elect Thomas Donohue	Mgmt	For	For	For
4	Elect Archie Dunham	Mgmt	For	For	For
5	Elect Judith Richards Hope	Mgmt	For	For	For
6	Elect Charles Krulak	Mgmt	For	For	For
7	Elect Michael McCarthy	Mgmt	For	For	For
8	Elect Michael McConnell	Mgmt	For	For	For
9	Elect Thomas McLarty III	Mgmt	For	For	For
10	Elect Steven Rogel	Mgmt	For	For	For
11	Elect Jose Villarreal	Mgmt	For	Against	Agains
12	Elect James Young	Mgmt	For	For	For

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13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Actions adversely affecting preferred stock	Mgmt	For	For	For
17	Removal of directors	Mgmt	For	For	For
18	Changing the authorized amount of capital stock.	Mgmt	For	For	For
19	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

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 United Parcel  
 Service,  
 Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
UPS	CUSIP 911312106	05/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect F. Duane Ackerman	Mgmt	For	Against	Against
2	Elect Michael Burns	Mgmt	For	For	For
3	Elect D. Scott Davis	Mgmt	For	For	For
4	Elect Stuart Eizenstat	Mgmt	For	For	For
5	Elect Michael Eskew	Mgmt	For	For	For
6	Elect William Johnson	Mgmt	For	For	For
7	Elect Ann Livermore	Mgmt	For	For	For
8	Elect Rudy Markham	Mgmt	For	For	For
9	Elect Clark Randt, Jr.	Mgmt	For	For	For
10	Elect John Thompson	Mgmt	For	For	For
11	Elect Carol Tome	Mgmt	For	For	For
12	Advisory Vote on Executive Compensation	Mgmt	For	For	For
13	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
14	Ratification of Auditor	Mgmt	For	For	For
15	Transaction of Other Business	Mgmt	For	Against	Against

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United  
Technologies  
Corp.

Ticker	Security ID:	Meeting Date	Meeting Status		
UTX	CUSIP 913017109	04/13/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect Louis Chenevert	Mgmt	For	For	For
2	Elect John Faraci	Mgmt	For	For	For
3	Elect Jean-Pierre Garnier	Mgmt	For	For	For
4	Elect Jamie Gorelick	Mgmt	For	For	For
5	Elect Edward Kangas	Mgmt	For	For	For
6	Elect Ellen Kullman	Mgmt	For	For	For
7	Elect Charles Lee	Mgmt	For	For	For
8	Elect Richard McCormick	Mgmt	For	For	For
9	Elect Harold McGraw III	Mgmt	For	For	For
10	Elect Richard Myers	Mgmt	For	For	For
11	Elect H. Patrick Swygert	Mgmt	For	For	For
12	Elect Andre Villeneuve	Mgmt	For	For	For
13	Elect Christine Whitman	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Amendment to the 2005 Long-Term Incentive Plan	Mgmt	For	For	For
16	Advisory Vote on Executive Compensation	Mgmt	For	For	For
17	Frequency of Advisory Vote on Executive Compensation	Mgmt	N/A	3 Years	N/A
18	Shareholder Proposal Regarding Retention of Shares	ShrHldr	Against	For	Against

Unitedhealth  
Group  
Inc

Ticker	Security ID:	Meeting Date	Meeting Status		
UNH	CUSIP 91324P102	05/23/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag
Annual	United States				Mgmt
Issue No.	Description				
1	Elect William Ballard, Jr.	Mgmt	For	Against	Against
2	Elect Richard Burke	Mgmt	For	Against	Against
3	Elect Robert Darretta	Mgmt	For	For	For
4	Elect Stephen Hemsley	Mgmt	For	For	For
5	Elect Michele Hooper	Mgmt	For	For	For
6	Elect Rodger Lawson	Mgmt	For	For	For

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7	Elect Douglas Leatherdale	Mgmt	For	Against	Against
8	Elect Glenn Renwick	Mgmt	For	For	For
9	Elect Kenneth Shine	Mgmt	For	For	For
10	Elect Gail Wilensky	Mgmt	For	Against	Against
11	Advisory Vote on Executive Compensation	Mgmt	For	For	For
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	2011 Incentive Stock Plan	Mgmt	For	For	For
14	Amendment to the 1993 Employee Stock Purchase Plan	Mgmt	For	For	For
15	Ratification of Auditor	Mgmt	For	For	For

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US Bancorp Ticker	Security ID:	Meeting Date	Meeting Status		
USB	CUSIP 902973304	04/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect Douglas Baker, Jr.	Mgmt	For	For	For
2	Elect Y. Marc Belton	Mgmt	For	For	For
3	Elect Victoria Buyniski Gluckman	Mgmt	For	For	For
4	Elect Arthur Collins, Jr.	Mgmt	For	For	For
5	Elect Richard Davis	Mgmt	For	For	For
6	Elect Joel Johnson	Mgmt	For	For	For
7	Elect Olivia Kirtley	Mgmt	For	For	For
8	Elect Jerry Levin	Mgmt	For	For	For
9	Elect David O'Maley	Mgmt	For	For	For
10	Elect O'Dell Owens	Mgmt	For	For	For
11	Elect Richard Reiten	Mgmt	For	For	For
12	Elect Craig Schnuck	Mgmt	For	For	For
13	Elect Patrick Stokes	Mgmt	For	For	For
14	Ratification of Auditor	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	3 Years	1 Year	Against
17	Shareholder Proposal Regarding Advisory Vote on Director Compensation	ShrHldr	Against	Against	For

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Verizon  
Communications  
Inc

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Ticker	Security ID:	Meeting Date	Meeting Status		
VZ	CUSIP 92343V104	05/05/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Richard Carrion	Mgmt	For	For	For
2	Elect M. Frances Keeth	Mgmt	For	For	For
3	Elect Robert Lane	Mgmt	For	For	For
4	Elect Lowell McAdam	Mgmt	For	For	For
5	Elect Sandra Moose	Mgmt	For	For	For
6	Elect Joseph Neubauer	Mgmt	For	Against	Against
7	Elect Donald Nicolaisen	Mgmt	For	For	For
8	Elect Clarence Otis, Jr.	Mgmt	For	For	For
9	Elect Hugh Price	Mgmt	For	For	For
10	Elect Ivan Seidenberg	Mgmt	For	For	For
11	Elect Rodney Slater	Mgmt	For	Against	Against
12	Elect John Snow	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Shareholder Proposal Regarding Disclosure of Prior Government Service	ShrHldr	Against	Against	For
17	Shareholder Proposal Regarding Performance-Based Equity Compensation	ShrHldr	Against	Against	For
18	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against
19	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against

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Wal-Mart Stores,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WMT	CUSIP 931142103	06/03/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Aida Alvarez	Mgmt	For	For	For
2	Elect James Breyer	Mgmt	For	For	For
3	Elect M. Michele Burns	Mgmt	For	For	For
4	Elect James Cash, Jr.	Mgmt	For	For	For

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5	Elect Roger Corbett	Mgmt	For	For	For
6	Elect Douglas Daft	Mgmt	For	For	For
7	Elect Michael Duke	Mgmt	For	For	For
8	Elect Gregory Penner	Mgmt	For	For	For
9	Elect Steven Reinemund	Mgmt	For	For	For
10	Elect H. Lee Scott, Jr.	Mgmt	For	For	For
11	Elect Arne Sorenson	Mgmt	For	For	For
12	Elect Jim Walton	Mgmt	For	For	For
13	Elect S. Robson Walton	Mgmt	For	For	For
14	Elect Christopher Williams	Mgmt	For	For	For
15	Elect Linda Wolf	Mgmt	For	For	For
16	Ratification of Auditor	Mgmt	For	For	For
17	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Mgmt	For	For	For
18	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
19	Shareholder Proposal Regarding Adopting Gender Identity Anti-Bias Policy	ShrHldr	Against	Against	For
20	Shareholder Proposal Regarding Political Contributions and Expenditure Report	ShrHldr	Against	For	Against
21	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
22	Shareholder Proposal Regarding Supplier Sustainability Reports	ShrHldr	Against	Against	For
23	Shareholder Proposal Regarding Climate Change Risk Report	ShrHldr	Against	Against	For

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Waste  
Management,  
Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
WM	CUSIP 94106L109	05/13/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
1	Elect Pastora Cafferty	Mgmt	For	For	For
2	Elect Frank Clark, Jr.	Mgmt	For	For	For
3	Elect Patrick Gross	Mgmt	For	Against	Against
4	Elect John Pope	Mgmt	For	For	For
5	Elect W. Robert Reum	Mgmt	For	For	For
6	Elect Steven Rothmeier	Mgmt	For	For	For
7	Elect David Steiner	Mgmt	For	For	For

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8	Elect Thomas Weidemeyer	Mgmt	For	For	For
9	Ratification of Auditor	Mgmt	For	For	For
10	Advisory Vote on Executive Compensation	Mgmt	For	For	For
11	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
12	Right to Call a Special Meeting	Mgmt	For	For	For

Wellpoint Inc.

Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Ticker	Security ID:	Meeting Date		Meeting Status	
WLP	CUSIP 94973V107	05/17/2011		Voted	
Meeting Type	Country of Trade				
Annual	United States				
1	Elect Angela Braly	Mgmt	For	For	For
2	Elect Warren Jobe	Mgmt	For	For	For
3	Elect William Mays	Mgmt	For	For	For
4	Elect William Ryan	Mgmt	For	For	For
5	Ratification of Auditor	Mgmt	For	For	For
6	Elimination of Supermajority Requirement for Restrictions on Ownership and Transfer of Stock, Voting Rights of Shares and Number of Directors	Mgmt	For	For	For
7	Elimination of Supermajority Requirement for Certain Business Combinations	Mgmt	For	For	For
8	Amendments Removing Certain Restrictions on Ownership of Shares	Mgmt	For	For	For
9	Amendments Deleting Certain Obsolete Provisions	Mgmt	For	For	For
10	Amendments Deleting Certain Obsolete Provisions and Making Conforming Changes	Mgmt	For	For	For
11	Advisory Vote on Executive Compensation	Mgmt	For	Against	Against
12	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
13	Shareholder Proposal Regarding Conversion to Nonprofit Status	ShrHldr	Against	Against	For

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14	Shareholder Proposal Regarding Reincorporation	ShrHldr	Against	Against	For
15	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	For	Against

Wells Fargo & Co.

Ticker	Security ID:	Meeting Date	Meeting Status		
WFC	CUSIP 949746101	05/03/2011	Voted		
Meeting Type	Country of Trade				
Annual	United States				
Issue No.	Description	Proponent	Mgmt Rec	Vote Cast	For/Against Mgmt
1	Elect John Baker II	Mgmt	For	Against	Against
2	Elect John Chen	Mgmt	For	For	For
3	Elect Lloyd Dean	Mgmt	For	For	For
4	Elect Susan Engel	Mgmt	For	For	For
5	Elect Enrique Hernandez, Jr.	Mgmt	For	For	For
6	Elect Donald James	Mgmt	For	Against	Against
7	Elect Mackey McDonald	Mgmt	For	Against	Against
8	Elect Cynthia Milligan	Mgmt	For	Against	Against
9	Elect Nicholas Moore	Mgmt	For	For	For
10	Elect Philip Quigley	Mgmt	For	Against	Against
11	Elect Judith Runstad	Mgmt	For	For	For
12	Elect Stephen Sanger	Mgmt	For	For	For
13	Elect John Stumpf	Mgmt	For	For	For
14	Elect Susan Swenson	Mgmt	For	For	For
15	Advisory Vote on Executive Compensation	Mgmt	For	For	For
16	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
17	Ratification of Auditor	Mgmt	For	For	For
18	Shareholder Proposal Regarding Right to Call a Special Meeting	ShrHldr	Against	For	Against
19	Shareholder Proposal Regarding Cumulative Voting	ShrHldr	Against	For	Against
20	Shareholder Proposal Regarding Independent Board Chairman	ShrHldr	Against	Against	For
21	Shareholder Proposal Regarding Advisory Vote on Director Compensation	ShrHldr	Against	Against	For
22	Shareholder Proposal Regarding Independent Review of Controls Related to Loans, Foreclosures and Securitizations	ShrHldr	Against	Against	For



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Yum Brands Inc.

Ticker	Security ID:	Meeting Date	Meeting Status		
YUM	CUSIP 988498101	05/19/2011	Voted		
Meeting Type	Country of Trade	Proponent	Mgmt Rec	Vote Cast	For/Ag Mgmt
Annual	United States				
Issue No.	Description				
1	Elect David Dorman	Mgmt	For	For	For
2	Elect Massimo Ferragamo	Mgmt	For	For	For
3	Elect J. David Grissom	Mgmt	For	For	For
4	Elect Bonnie Hill	Mgmt	For	For	For
5	Elect Robert Holland, Jr.	Mgmt	For	For	For
6	Elect Kenneth Langone	Mgmt	For	For	For
7	Elect Jonathan Linen	Mgmt	For	For	For
8	Elect Thomas Nelson	Mgmt	For	For	For
9	Elect David Novak	Mgmt	For	For	For
10	Elect Thomas Ryan	Mgmt	For	For	For
11	Elect Jing-Shyh Su	Mgmt	For	For	For
12	Elect Robert Walter	Mgmt	For	For	For
13	Ratification of Auditor	Mgmt	For	For	For
14	Advisory Vote on Executive Compensation	Mgmt	For	For	For
15	Frequency of Advisory Vote on Executive Compensation	Mgmt	1 Year	1 Year	For
16	Right to Call a Special Meeting	Mgmt	For	For	For