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PACIFIC MERCANTILE BANCORP

Form 3/A April 01, 2015

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

Common Stock - Restricted

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement PACIFIC MERCANTILE BANCORP [PMBC] Dow Charles (Month/Day/Year) 03/06/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 949 SOUTH COAST DRIVE, 03/26/2015 (Check all applicable) 3RD FLOOR (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting EVP/ Chief Technology Officer Person COSTA MESA, CAÂ 92626 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

D

Â

(I) (Instr. 5)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Derivative Security	Security: Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Common Stock Option	02/10/2007	02/10/2016	Common Stock	5,000	\$ 18	D	Â
Common Stock Option	10/17/2007	10/17/2016	Common Stock	5,000	\$ 16.22	D	Â
Common Stock Option	10/28/2009	10/28/2018	Common Stock	5,000	\$ 3.48	D	Â
Common Stock Option	03/16/2011	03/16/2020	Common Stock	11,000	\$ 2.97	D	Â
Common Stock Option	11/15/2011	11/15/2020	Common Stock	12,500	\$ 3.51	D	Â
Common Stock Option	01/26/2013	01/26/2022	Common Stock	4,000	\$ 3.74	D	Â
Common Stock Option	10/09/2013	10/09/2022	Common Stock	5,000	\$ 6.47	D	Â
Common Stock Option	04/17/2014	04/17/2023	Common Stock	10,000	\$ 6.07	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Dow Charles 949 SOUTH COAST DRIVE, 3RD FLOOR COSTA MESA, CA 92626	Â	Â	EVP/ Chief Technology Officer	Â	

Signatures

/s/ Robert E.
Sjogren

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Reporting Owners 2