

GLATFELTER P H CO
Form 3
January 09, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Hess Timothy R | | (Month/Day/Year) | GLATFELTER P H CO [GLT] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 01/06/2017 | | |
| 96 SOUTH GEORGE STREET,Â SUITE 500 | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| | | | Senior VP, Bus. Unit Pres-SPBU | |
| YORK,Â PAÂ 17401 | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock, Par Value \$.01 | 8,220 | D | Â |
| Common Stock, Par Value \$.01 | 377 | I | Held in 401k plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|--|------------------|-----------------|--------------------------------|----------------------------|---------------------|---|---|
| Performance Stock Award ⁽¹⁾ | 03/15/2018 | 03/15/2018 | Common Stock, Par Value \$0.01 | 2,940 | \$ ⁽²⁾ | D | Â |
| Performance Stock Award ⁽¹⁾ | 03/15/2019 | 03/15/2019 | Common Stock, Par Value \$0.01 | 5,356 | \$ ⁽²⁾ | D | Â |
| Stock Only Stock Appreciation Rights | 03/03/2013 | 03/03/2020 | Common Stock, Par Value \$0.01 | 17,600 | \$ 13.95 | D | Â |
| Stock Only Stock Appreciation Rights | 03/03/2014 | 03/03/2021 | Common Stock, Par Value \$0.01 | 12,380 | \$ 12.56 | D | Â |
| Stock Only Stock Appreciation Rights | 03/06/2015 | 03/06/2022 | Common Stock, Par Value \$0.01 | 11,930 | \$ 15.61 | D | Â |
| Stock Only Stock Appreciation Rights | 03/05/2016 | 03/05/2023 | Common Stock, Par Value \$0.01 | 13,300 | \$ 18.36 | D | Â |
| Stock Only Stock Appreciation Rights | 02/26/2017 | 02/26/2024 | Common Stock, Par Value \$0.01 | 9,520 | \$ 29.89 | D | Â |
| Stock Only Stock Appreciation Rights | 02/26/2018 | 02/26/2025 | Common Stock, Par Value \$0.01 | 14,920 | \$ 24.94 | D | Â |
| Stock Only Stock Appreciation Rights | 02/25/2019 | 02/25/2026 | Common Stock, Par Value \$0.01 | 23,370 | \$ 17.27 | D | Â |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Hess Timothy R
96 SOUTH GEORGE STREET ^ ^ ^ Senior VP, Bus. Unit Pres-SPBU ^
SUITE 500
YORK, PA 17401

Signatures

Linda M. Levans 01/09/2017
by POA

__Signature of Reporting Date
Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) PSA Award amount reflects a target number. Actual payout will range from 0% to 200% of target subject to attainment of performance goals.
- (2) Not applicable to this transaction

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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