## Edgar Filing: Ruegger Philip T III - Form 4

| Ruegger Phi<br>Form 4<br>April 03, 20  | •   |  |   |              |                             |         |              |   |  |   |  |  |
|--|---|--|---|--------------|-----------------------------|---------|--------------|---|--|---|--|--|
| FORM   | <b>4</b> UNITED   | STATES   |   |              |                             |         | NGE C        | OMMISSION   | OMB  | PROVAL<br>3235-0287   |  |  |
| Check th<br>if no long<br>subject to<br>Section 1<br>Form 4 of<br>Form 5<br>obligatio<br>may conto<br>See Instr<br>1(b). | ger<br>b<br>16.<br>br<br>Filed pu<br>ns<br>tinue.<br>Section 17 | <b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF</b><br><b>SECURITIES</b><br>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section |   |              |                             |         |              | Number:<br>Expires:<br>Estimated a<br>burden hour<br>response   | January 31,<br>2005<br>d average<br>ours per                               |   |  |  |
| (Print or Type ]   | Responses)  |  |   |              |                             |         |              |   |  |   |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Ruegger Philip T III   |   |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>TRAVELERS COMPANIES, INC.<br>[TRV] |              |                             |         | 0            | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |   |  |  |
| (Last) (First) (Middle)<br>THE TRAVELERS COMPANIES,<br>INC., 385 WASHINGTON STREET                                       |   |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>03/30/2018                           |              |                             |         |              | _X_ Director10% Owner<br>Officer (give titleOther (specify<br>below) below)   |  |   |  |  |
| (Street)   |   |  | 4. If Amendment, Date Original<br>Filed(Month/Day/Year)                                     |              |                             |         |              | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person                                       |  |   |  |  |
| ST. PAUL,  | MN 55102  |  |   |              |                             |         |              | Form filed by Mo<br>Person  | ore than One Re  | porting   |  |  |
| (City)   | (State)   | (Zip)  | Tab   | le I - Non-l | Derivative                  | Secur   | ities Acqu   | iired, Disposed of,   | or Beneficial  | y Owned   |  |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Dat<br>(Month/Day/Year)                          |  | Date, if  | Code         | omr Disposo<br>(Instr. 3, 4 | ed of ( | (D)          | <ul> <li>5. Amount of<br/>Securities<br/>Beneficially<br/>Owned<br/>Following<br/>Reported<br/>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul> | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock  | 03/30/2018  |  |   | А            | 234.05<br>(1)               | А       | \$<br>138.86 | 28,564.537<br>(2)   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     |                    | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---------------------------------------|--|---------------------|--------------------|---|--|---|--|
|   |   |   | Code V                                | 4, and 5)  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |

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## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| Reporting O when runne / runness   | Director      | 10% Owner | Officer | Other |  |  |  |
| Ruegger Philip T III<br>THE TRAVELERS COMPANIES, INC.<br>385 WASHINGTON STREET<br>ST. PAUL, MN 55102 | Х             |           |         |       |  |  |  |
| Signatures   |               |           |         |       |  |  |  |
| /s/ Wendy C. Skjerven, by power of attorney  | 04            | 4/03/2018 |         |       |  |  |  |
| **Signature of Reporting Person  |               | Date      |         |       |  |  |  |
| Explanation of Pospons   | 2001          |           |         |       |  |  |  |

## Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These are deferred common stock units received in lieu of cash compensation pursuant to the Company's Deferred Compensation Plan for Non-Employee Directors. The deferred common stock units will be converted into shares of Company common stock on a one-for-one

- (1) basis upon distribution. Distribution of shares of common stock occurs, at the election of the director, either in a lump sum or in annual installments beginning at least six months following termination of his or her service as a director pursuant to the Company's Deferred Compensation Plan for Non-Employee Directors.
- Includes the deferred common stock units granted under one or more of the Company's directors' compensation plans. Also includes (2) 53.804 deferred common stock units acquired on March 30, 2018 pursuant to the dividend reinvestment features of those plans, which
- will be distributed as described in footnote 1 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.