

Raff Robert H Jr
Form 3
April 30, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Raff Robert H Jr | | (Month/Day/Year) | STANLEY BLACK & DECKER, INC. [SWK] | |
| (Last) | (First) | 04/19/2018 | | |
| 1000 STANLEY DRIVE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| NEW BRITAIN,Â CTÂ 06053 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | President, Stanley Security | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock <u>(1)</u> | 16,633 | D | Â |
| Common Stock <u>(2)</u> | 775.2698 | I | Through Computershare under ESPP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

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| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|---|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Stock Option (Right to Buy) | Â (3) | 12/05/2023 | Common Stock | 1,875 | \$ 79.7 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 12/05/2024 | Common Stock | 3,750 | \$ 95.18 | D | Â |
| Stock Option (Right to Buy) | Â (5) | 12/04/2025 | Common Stock | 5,625 | \$ 109.245 | D | Â |
| Stock Option (Right to Buy) | Â (6) | 12/02/2026 | Common Stock | 7,500 | \$ 118.66 | D | Â |
| Stock Option (Right to Buy) | Â (7) | 12/07/2027 | Common Stock | 7,500 | \$ 168.78 | D | Â |
| Interest in Employer Stock Fund (Supplemental Plan) (8) | Â (9) | Â (9) | Common Stock | 326.8753 | \$ (9) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Raff Robert H Jr 1000 STANLEY DRIVE NEW BRITAIN, CT 06053 | Â | Â | Â President, Stanley Security | Â |

Signatures

/s/ Robert H. Raff, Jr. 04/27/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) 13,612 of the shares directly held are restricted stock units, of which 536 shares vest on 12/05/2018, 5,000 shares vest on 10/15/2019, 5,000 shares vest on 10/15/2020, 469 shares vest on 12/04/2018, 469 shares vest on 12/04/2019, 408 shares vest on 12/02/2018, 407 shares vest on 12/02/2019, 408 shares on 12/02/2020, 228 shares vest on 12/07/2018, 229 shares vest on 12/07/19, 229 shares vest on 12/07/2020 and 229 shares vest on 12/07/2021.
 - (2) Aggregate number of shares held in ESPP as of the last day of the calendar month that ended at least 10 days prior to the date of this report, including shares acquired or disposed of on various dates since balance was last reported. Because interest in Plan is denominated in cash, fluctuation in share price since the balance was last reported may have resulted in either an increase or decrease in associated number of shares.
 - (3) Currently exercisable.
 - (4) 1,875 shares currently exercisable, 1,875 shares will become exercisable on 12/05/2018.

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- (5) 1,875 shares currently exercisable, 1,875 shares will become exercisable on 12/04/2018, 1,875 shares will become exercisable on 12/04/2019.
- (6) 1,875 shares currently exercisable, 1875 shares will become exercisable on 12/02/2018, 1875 shares will become exercisable on 12/02/2019, 1,875 shares will become exercisable on 12/02/2020.
- (7) The option will become exercisable in four equal annual installments beginning on December 7, 2018.
- (8) Represents shares notionally held for the reporting person under the Company's Supplemental Plan.
- (9) Exempt

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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