

Tripeny R Tony  
Form 4  
August 03, 2018

# FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Tripeny R Tony

(Last) (First) (Middle)

ONE RIVERFRONT PLAZA

(Street)

CORNING, NY 14831

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CORNING INC /NY [GLW]

3. Date of Earliest Transaction (Month/Day/Year)  
08/02/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

Senior Vice President and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	08/02/2018		M		8,333 A \$ 17.82	D	
Common Stock	08/02/2018		M		8,333 A \$ 19.56	D	
Common Stock	08/02/2018		M		8,334 A \$ 18.16	D	
Common Stock	08/02/2018		M		7,720 A \$ 19.19	D	
Common Stock	08/02/2018		M		6,529 A \$ 22.69	D	

Edgar Filing: Tripeny R Tony - Form 4

Common Stock	08/02/2018	M	6,725	A	\$ 22.03	55,033	D	
Common Stock	08/02/2018	M	14,379	A	\$ 13.04	69,412	D	
Common Stock	08/02/2018	M	7,306	A	\$ 12.9	76,718	D	
Common Stock	08/02/2018	S	67,659	D	\$ 33	9,059	D	
Common Stock						39,880	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 17.82	08/02/2018		M	8,333	12/02/2010 12/01/2019	Common Stock	8,333	
Stock Options (Right to Buy)	\$ 19.56	08/02/2018		M	8,333	01/04/2011 01/03/2020	Common Stock	8,333	
Stock Options (Right to Buy)	\$ 18.16	08/02/2018		M	8,334	02/01/2011 01/31/2020	Common Stock	8,334	
Stock Options (Right to Buy)	\$ 19.19	08/02/2018		M	7,720	01/03/2012 01/02/2021	Common Stock	7,720	

Stock Options (Right to Buy)	\$ 22.69	08/02/2018	M	6,529	02/01/2012	01/31/2021	Common Stock	6,529
Stock Options (Right to Buy)	\$ 22.03	08/02/2018	M	6,725	03/01/2012	02/28/2021	Common Stock	6,725
Stock Options (Right to Buy)	\$ 13.04	08/02/2018	M	14,379	01/03/2015	01/02/2022	Common Stock	14,379
Stock Options (Right to Buy)	\$ 12.9	08/02/2018	M	7,306	02/01/2015	01/31/2022	Common Stock	7,306

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Tripeny R Tony ONE RIVERFRONT PLAZA CORNING, NY 14831			Senior Vice President and CFO	

## Signatures

Linda E. Jolly, Power of Attorney  
08/03/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.