

RANKIN VICTOIRE G  
Form 5/A  
September 10, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading Symbol  
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a Group

5875 LANDERBROOK DRIVE

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)  
02/14/2018

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4)  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|--|
| Class A Common Stock            | 12/14/2017                           | Â  | G                              | 9,060 D   | \$ 0 175,278   | I  | Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP |

|                            |   |   |   |   |   |   |        |   |  |
|----------------------------|---|---|---|---|---|---|--------|---|--|
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 9,600  | I | spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren <u>(1)</u>     |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 14,752 | I | Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse <u>(1)</u> |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 80,660 | I | spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr <u>(1)</u>               |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 10,271 | I | Spouse's proportionate limited partnership interest in shares held by RA1 <u>(1)</u>                 |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 951    | I | Spouses's proportionate limited parternship interest in shares held by AMR RAI <u>(1)</u>            |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 19     | I | Spouse's proporationate partnership interest in RAIIV <u>(1)</u>                                     |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 1,087  | I | Spouses proportionate limited partnership interest in shares held by Rankin                          |

|                            |   |   |   |   |   |   |        |   |   |
|----------------------------|---|---|---|---|---|---|--------|---|---|
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 130    | I | Associates IV,<br>L.P<br><br>Spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates VI        |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 179    | I | Represents<br>spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Associates V                        |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 1,975  | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>Rankin<br>Management,<br>Inc. ("RMI") <sup>(1)</sup>        |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 45     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAV held by<br>Rankin<br>Management,<br>Inc.                |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 44     | I | spouse's<br>proportionate<br>interest in<br>shares held by<br>RAVI held by<br>Rankin<br>Management,<br>Inc.               |
| Class A<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | 21,286 | I | spouse serves<br>as Trustee of<br>Trusts for the<br>benefit of the<br>Estate of<br>Alfred M.<br>Rankin, Sr <sup>(1)</sup> |
| Class A<br>Common          | Â | Â | Â | Â | Â | Â | 42,666 | I | Spouse is a<br>Trustee of a   |

| Stock                |   |   |   |   |   |   |        |   | Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1 <sup>(1)</sup>                          |
|----------------------|---|---|---|---|---|---|--------|---|---|
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 26,119 | I | Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest in RAI <sup>(1)</sup> |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 46,182 | I | Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 <sup>(1)</sup> |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130    | I | Spouse's brother's proportionate interest in shares held by Rankin Associates VI                                  |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 19     | I | Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin <sup>(1)</sup>                                  |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 88,689 | I | Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5                 |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 5,051  | I | Spouse serves as trustee of Trust fbo Clara LT Rankin   |

|                      |   |   |   |   |   |   |        |   |  |
|----------------------|---|---|---|---|---|---|--------|---|--|
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 13,778 | I | representing interest in shares held by RA6<br>Spouse serves as trustee for the GSTs for the benefit of Clara Williams |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 13,778 | I | Spouse serves as trustee for GSTs for the benefit of Helen Butler  |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 130    | I | Reporting person's proportionate interest in shares held by Rankin Associates VI                                       |
| Class A Common Stock | Â | Â | Â | Â | Â | Â | 21,006 | I | serves as Trustee of a Trust for the benefit of Victoire G. Rankin   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Class B Common Stock                       | Â  | 12/14/2017                           | Â  | G                              | (A) Â 10,560 (D)  | Date Exercisable Â (2) Expiration Date Â (2)             | Class A Common Stock  | 10,560                        |

|                            |   |   |   |   |   |   |       |       |                            |        |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 9,600  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 14,160 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 17,556 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 18,373 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 951    |
| Class B<br>Common          | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common          | 31     |

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| Stock                      |   |   |   |   |   |   |       |       | Stock                      |        |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 1,721  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 1,975  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 21,286 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 76,330 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 26,119 |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 73,166 |

|                            |   |   |   |   |   |   |       |       |                            |        |
|----------------------------|---|---|---|---|---|---|-------|-------|----------------------------|--------|
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 747    |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 6,889  |
| Class B<br>Common<br>Stock | Â | Â | Â | Â | Â | Â | Â (2) | Â (2) | Class A<br>Common<br>Stock | 21,006 |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |                   |
|---|---------------|-----------|---------|-------------------|
|   | Director      | 10% Owner | Officer | Other             |
| RANKIN VICTOIRE G<br>5875 LANDERBROOK DRIVE<br>MAYFIELD HEIGHTS, OH 44124 | Â             | Â         | Â       | Member of a Group |

## Signatures

/s/ Suzanne S. Taylor,  
attorney-in-fact

09/09/2018

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reporting Person disclaims beneficial ownership of all such shares.

(2) N/A

(3) BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial



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ownership of all such shares.

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