Contango ORE, Inc. Form 4 October 19, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gillen Donald

(First)

(Street)

(Middle)

5. Relationship of Reporting Person(s) to Issuer

Symbol

Contango ORE, Inc. [CTGO]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

Filed(Month/Day/Year)

08/11/2011

Director Officer (give title \_X\_\_ 10% Owner \_ Other (specify

21 CAPILANO DRIVE

6. Individual or Joint/Group Filing(Check

below)

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SASKATOON, A9 S7K 4A4

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/08/2011		P	32	A	\$ 13.25	327,186	D		
Common Stock	10/13/2011		P	1,067	A	\$ 14	328,253	I	Kinderock Resources Ltd.	
Common Stock	10/13/2011		P	88	A	\$ 14	328,341	I	Kinderock Resources Ltd.	
Common Stock	10/14/2011		P	1,030	A	\$ 14	329,371	I	Kinderock Resources Ltd.	

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Common Stock	10/17/2011	P	815	A	\$ 14	330,186	I	Kinderock Resources Ltd.
Common Stock	10/18/2011	P	6	A	\$ 13	330,192	D	
Common Stock	10/19/2011	P	245	A	\$ 13	330,437	D	
Common Stock	10/19/2011	P	520	A	\$ 13	330,957	D	
Common Stock	10/20/2011	P	69	A	\$ 13	331,026	D	
Common Stock	10/25/2011	P	190	A	\$ 13.556	331,216	D	
Common Stock	10/26/2011	P	862	A	\$ 13.986	332,078	D	
Common Stock	10/27/2011	P	34	A	\$ 14	332,112	D	
Common Stock	10/28/2011	P	25	A	\$ 14	332,137	D	
Common Stock	11/04/2011	P	91	A	\$ 14	332,228	D	
Common Stock	11/14/2011	P	174	A	\$ 14.5	332,402	D	
Common Stock	11/25/2011	P	174	A	\$ 14.712	332,576	D	
Common Stock	12/06/2011	P	1,303	A	\$ 15	333,879	D	
Common Stock	12/06/2011	P	23	A	\$ 15	333,902	D	
Common Stock	12/23/2011	P	500	A	\$ 15	334,402	I	Kinderock Resources Ltd.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ear)	(Instr. 3 and	4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Warrant	\$ 10	03/22/2013		P	125,000	09/22/2013	03/22/2018	Common Stock	125,000
Warrant	\$ 10	03/22/2013		P	50,000	09/22/2013	03/22/2018	Common Stock	50,000
Warrant	\$ 10	03/22/2013		P	75,000	09/22/2013	03/22/2018	Common Stock	75,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Gillen Donald							
21 CAPILANO DRIVE		X					
SASKATOON, A9 S7K 4A4							

# **Signatures**

Donald Gillen 10/19/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

The reporting person Acquired an aggregate of 250,000 Units at a price per Unit of \$12.00. Each Unit entitles the holder to or Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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