Raptor Pharmaceutical Corp Form 4 March 19, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

**OMB** Number:

5. Relationship of Reporting Person(s) to

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

STARR CHRISTOPHER M		5	Symbol Plant of A Control of The Plant of Th					Issuer			
			Raptor Pharmaceutical Corp [RPTP]					(Check all applicable)			
(Last)	(First) (		3. Date of Earliest Transaction (Month/Day/Year)				_	X Director 10% Owner			
C/O RAPT		03/17/2014				_	_X_ Officer (give title Other (specify				
PHARMACEUTICAL CORP., 5							b	below) below) Chief Executive Officer			
HAMILTO	ON LANDING, SI	UITE						Cinci E	iccuity office	<b>C1</b>	
160											
	(Street)	4	4. If Amendment, Date Original				6	6. Individual or Joint/Group Filing(Check			
	F						Applicable Line)				
							X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
NOVATO		- F									
(City)	(State)	(Zip)	Tob	da I. Nan	Donivativa	Soon	ritios Aggui	red, Disposed of,	or Donoficial	ly Owned	
1.Title of Security	1.Title of 2. Transaction Date 2A. Deeme Security (Month/Day/Year) Execution 1			1 \				5. Amount of Securities	6. Ownership	7. Nature of Indirect	
(Instr. 3)	any	ate, ii	Code (Instr. 3, 4 and 5)						Beneficial		
` ′		(Month/Day/	/Year)	(Instr. 8)				Owned	` '	Ownership	
								Following Reported	(Instr. 4)		
						(A)		Transaction(s)	(I) (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(======================================		
Common				Code v		(D)	File				
Stock	$03/17/2014\underline{^{(1)}}$			M	14,316	A	\$ 2.97	713,686	D		
Common	03/17/2014(1)			M	5,000	A	\$ 3.54	718,686	D		
Stock											
Common							\$				
Stock	$03/17/2014_{\underline{(1)}}$			S	19,316	D	10.7853	699,370	D		
Stock							(4)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock options (right to buy)	\$ 2.97	03/17/2014(1)		M	14,316	<u>(2)</u>	10/11/2020	Common Stock	14,316
Stock options (right to buy)	\$ 3.54	03/17/2014(1)		M	5,000	(3)	11/21/2020	Common Stock	5,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

STARR CHRISTOPHER M C/O RAPTOR PHARMACEUTICAL CORP. 5 HAMILTON LANDING, SUITE 160 NOVATO, CA 94949

X

Chief Executive Officer

### **Signatures**

/s/ Mark Jones, Raptor Pharmaceutical Corp., Attorney-in-Fact

Date

03/19/2014

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction made pursuant to a 10b5-1 trading plan adopted by the Reporting Person on August 13, 2013.
- (2) Stock options vest starting on September 1, 2010, 6/48ths on February 28, 2011 and 1/48th per month thereafter.

Reporting Owners 2

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- (3) Fully vested and exercisable.
- This transaction was executed in multiple trades at prices ranging from \$10.48 to 11.39. The price reported above reflects the weighted (4) average sale price. The reporting person hereby undertakes to provide, upon request, to the SEC staff, the issuer or a security holder of the
- issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.