

ASTRO MED INC /NEW/
Form 4
June 05, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Mancyak Erik J.

(Last) (First) (Middle)
54 CLEARVIEW DRIVE
(Street)

WEST KINGSTON, RI 02892

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ASTRO MED INC /NEW/ [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)
06/03/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)
Controller

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock	06/03/2014		M		625 A \$ 7.9316	3,043	D
Common Stock	06/03/2014		M		1,200 A \$ 11.895	4,243	D
Common Stock	06/03/2014		M		600 A \$ 8.95	4,843	D
Common Stock	06/03/2014		M		3,000 A \$ 6.29	7,843	D
Common Stock	06/03/2014		M		3,200 A \$ 6.22	11,043	D

Edgar Filing: ASTRO MED INC /NEW/ - Form 4

Common Stock	06/03/2014	M	3,200	A	\$ 7.36	14,243	D	
Common Stock	06/03/2014	M	1,500	A	\$ 8.35	15,743	D	
Common Stock	06/03/2014	S	8,825	D	\$ 14	6,918	D	
Common Stock						460	I	Held in Employee Stock Ownership Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Stock Option (Right to Purchase)	\$ 7.9316	06/03/2014		M	625	03/20/2007 03/20/2016	Common Stock	625	
Stock Option (Right to Purchase)	\$ 11.895	06/03/2014		M	1,200	03/26/2008 03/26/2017	Common Stock	1,200	
Stock Option (Right to Purchase)	\$ 8.95	06/03/2014		M	600	04/01/2009 04/01/2018	Common Stock	600	
Stock Option	\$ 6.29	06/03/2014		M	3,000	01/26/2010 01/26/2019	Common Stock	3,000	

(Right to Purchase)

Stock Option (Right to Purchase)	\$ 6.22	06/03/2014	M	3,200	03/18/2010	03/18/2019	Common Stock	3,200
----------------------------------	---------	------------	---	-------	------------	------------	--------------	-------

Stock Option (Right to Purchase)	\$ 7.36	06/03/2014	M	3,200	03/15/2011	03/15/2020	Common Stock	3,200
----------------------------------	---------	------------	---	-------	------------	------------	--------------	-------

Stock Option (Right to Purchase)	\$ 8.35	06/03/2014	M	1,500	03/29/2013	03/29/2022	Common Stock	1,500
----------------------------------	---------	------------	---	-------	------------	------------	--------------	-------

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Mancyak Erik J. 54 CLEARVIEW DRIVE WEST KINGSTON, RI 02892			Controller	

Signatures

Margaret D. Farrell (Attorney-in-fact for Erik J. Mancyak) 06/05/2014

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.