Edgar Filing: LSI INDUSTRIES INC - Form 4

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Form 4	I RIES INC										
November 0	ΠΛ	STATES	S SECUR	ITIES A	ND EXO	CHA	NGE (COMMISSION		PPROVAL	
~				hington,					Number:	3235-0287	
Check th if no lon; subject to Section 1 Form 4 c Form 5		SECUR	ITIES			NERSHIP OF	Expires: Estimated a burden hou response	rs per			
obligatio may con See Instr 1(b).	tinue. Section 17(a) of the		ility Hold	ing Con	ipany	Act of	e Act of 1934, f 1935 or Section 40	n		
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Wells Dennis W.			2. Issuer Name and Ticker or Trading Symbol LSI INDUSTRIES INC [LYTS]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (I	Middle)	3. Date of Earliest Transaction (Chec					k all applicable	e)		
10000 ALLIANCE ROAD			(Month/Day/Year) 10/28/2016					X Director 10% Owner X Officer (give title Other (specify below) below) CEO and President			
				ndment, Dat th/Day/Year)	-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
CINCINNA	АП, ОП 43242							Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ties Acc	uired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any		emed on Date, if 'Day/Year)	3. Transactic Code (Instr. 8)	 4. Securities Acquired ction(A) or Disposed of (D) 8) (Instr. 3, 4 and 5) (A) 			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Shares (1)	10/28/2016			А	292	А	\$ 8.86	20,256	D		
Common Shares								28,885	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pri Deriv Secur (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy (3)	\$ 5.96					(2)	10/01/2024	Common Shares	100,000	
Option to Buy (3)	\$ 6.81					(2)	11/20/2024	Common Shares	75,000	
Option to Buy (3)	\$ 6.55					(2)	01/02/2025	Common Shares	39,923	
Option to Buy (3)	\$ 9.39					(2)	07/01/2025	Common Shares	45,000	
Option to Buy (3)	\$ 11.06					(2)	07/01/2026	Common Shares	60,000	
Option to Buy (3)	\$ 9.39					(4)	07/01/2025	Common Shares	90,000	

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Wells Dennis W. 10000 ALLIANCE ROAD CINCINNATI, OH 45242	X CEO and President								
Signatures									
/s/ F. Mark Reuter as Attorney- Wells		11/01/2016							
**Signature of Report		Date							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common Shares held in the LSI Industries Inc. Non-Qualified Deferred Compensation Plan.
- (2) The options vest at a rate of 25% per year beginning on the first anniversary of the grant date.
- (3) These holdings have been previously reported on Form 4.

On July 1, 2015, the Reporting Person was granted an option to purchase 90,000 shares of common stock. The option vests in three equal
(4) annual installments based on the Issuer's satisfaction of certain performance criteria for the fiscal year ending June 30, 2016. On September 8, 2016, it was determined that the performance criteria were met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.