Edgar Filing: LSI INDUSTRIES INC - Form 4

LSI INDUST	RIES INC										
Form 4											
January 05, 2	017										
FORM	4									PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this				0 /					Expires:	January 31	
subject to				GES IN BENEFICIAL OWNERSHIP O SECURITIES					Expires. 2005 Estimated average burden hours per response 0.5		
Form 5 obligation may conti <i>See</i> Instru 1(b). (Print or Type R	nue. Section 17(a ction) of the Pu	ublic Uti		ing Com	ipany	Act of	e Act of 1934, f 1935 or Sectio 40	n		
KREIDER GARY P Symbo			Symbol	Issuer Name and Ticker or Trading nbol I INDUSTRIES INC [LYTS]				5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (M	iddle)	3 Date of	Farliest Tra	nsaction		-	(Chec	k all applicable	e)	
(M			3. Date of Earliest Transaction (Month/Day/Year) 01/03/2017					X_ Director 10% Owner Officer (give title Other (specify below)			
			Amendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
NEW RICH	MOND, OH 4515	7						Form filed by M Person	Nore than One Re	eporting	
(City)	(State) (2	Zip)	Table	I - Non-Do	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed Ionth/Day/Year) Execution D any (Month/Day		n Date, if Transaction(A) or Disposed of Code (D)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
0				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Shares	01/03/2017			А	1,320	А	\$ 9.85	10,549	D		
Common Shares								10,000	Ι	By IRA	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy (2)	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500	
Option to Buy (2)	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500	
Option to Buy (2)	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500	
Option to Buy (2)	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500	
Option to Buy (2)	\$ 8.4					<u>(1)</u>	08/21/2019	Common Shares	3,500	
Option to Buy (2)	\$ 7.2					<u>(1)</u>	11/19/2019	Common Shares	1,500	
Option to Buy (2)	\$ 5.21					<u>(1)</u>	08/19/2020	Common Shares	2,500	
Option to Buy (2)	\$ 8.92					<u>(1)</u>	11/18/2020	Common Shares	1,500	
Option to Buy (2)	\$ 6.05					<u>(1)</u>	11/17/2021	Common Shares	1,500	
Option to Buy (2)	\$ 6.58					<u>(1)</u>	08/15/2022	Common Shares	2,500	
Option to Buy	\$ 6.28					<u>(1)</u>	11/15/2022	Common Shares	1,500	

(2)

Reporting Owners

Reporting Owner Name / Address	Relationships							
reporting o mar runner runner	Director	10% Owner	Officer	Other				
KREIDER GARY P 1356-1 US 52 NEW RICHMOND, OH 45157	Х							
Signatures								
/s/ F. Mark Reuter, Attorney-in- Kreider	01/05/2017							
<u>**</u> Signature of Reporting		Date						
Explanation of Day	non							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.
- (2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.