Edgar Filing: LSI INDUSTRIES INC - Form 4

LSI INDUST	TRIES INC											
Form 4												
July 06, 2017	7											
FORM	14									OMB AI	PPROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287				
Check this box										Expires:	January 31,	
if no long subject to		EMENT (OF CHAN	GES IN BENEFICIAL OW					NERSHIP OF	Estimated a	2005	
0	Section 16.				RITII	ES				burden hours per		
Form 4 or	r									response		
Form 5 obligatior	• • • • • • • • • • • • • • • • • • • •	•						•	e Act of 1934,			
may conti				•	•		• •		f 1935 or Section	n		
See Instru		30(h) of the In	vestmen	t Com	npany	/ Act	of 194	40			
1(b).												
(Print or Type R	(esponses)											
(i init of Type i	(coponises)											
1. Name and Address of Reporting Person [*] _ 2. Issuer Name and Ticker or Trading 5. Relationshi							5. Relationship of	of Reporting Person(s) to				
OGARA WILFRED T Symbol				Traine und Tieker of Training					Issuer	1 0		
			LSI INE	DUSTRI	ES IN	JC [L	YTS	1				
(Last)				Earliest T		-			(Check all applicable)			
(Last)	(1 1131)	(ivitadic)			Tansac	tion			X Director	10%	Owner	
			07/03/20	h/Day/Year) 3/2017					Officer (give titleOther (specify			
DRIVE, SU			01103120	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					below)	below)		
ŕ	(Street)		4 If Ame	ndment D	ate Ori	iginal			6 Individual or Ic	vint/Group Filir	or (Check	
				Amendment, Date Original d(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)			
			1 mod(mon	ui, Duj, 10					_X_ Form filed by C	One Reporting Pe	erson	
CINCINNA	TI, OH 45242	2							Form filed by M Person	fore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-	Deriva	ative S	ecuri	ties Acc	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	e of 2. Transaction Date 2A. Deem									6. Ownership		
Security	(Month/Day/Ye		ion Date, if		Transaction(A) or Disposed of					Form: Direct		
(Instr. 3)		any (Month	/Day/Year)	Code (Instr. 8)	Code (D) (Instr. 8) (Instr. 3, 4 and 5)			5)	· · ·	(D) or Indirect (I)	Beneficial Ownership	
					(insurv) (insurv) (und 5)				Following	(Instr. 4)	(Instr. 4)	
							(A)		Reported			
							or		Transaction(s) (Instr. 3 and 4)			
				Code	V Am	nount	(D)	Price	(insu: 5 and 4)			
Common Shares	07/03/2017			А	1,4	425	А	\$ 9.12	34,287	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price Derivat Securit (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy (2)	\$ 19.76					<u>(1)</u>	08/24/2017	Common Shares	2,500	
Option to Buy (2)	\$ 19.68					<u>(1)</u>	11/15/2017	Common Shares	1,500	
Option to Buy (2)	\$ 8.98					<u>(1)</u>	08/22/2018	Common Shares	2,500	
Option to Buy (2)	\$ 4.6					<u>(1)</u>	11/20/2018	Common Shares	1,500	
Option to Buy (2)	\$ 8.4					<u>(1)</u>	08/21/2019	Common Shares	3,500	
Option to Buy (2)	\$ 7.2					<u>(1)</u>	11/19/2019	Common Shares	1,500	
Option to Buy (2)	\$ 5.21					<u>(1)</u>	08/19/2020	Common Shares	2,500	
Option to Buy (2)	\$ 8.92					<u>(1)</u>	11/18/2020	Common Shares	1,500	
Option to Buy (2)	\$ 6.68					<u>(1)</u>	11/17/2021	Common Shares	1,500	
Option to Buy (2)	\$ 6.58					<u>(1)</u>	08/15/2022	Common Shares	2,500	
Option to Buy	\$ 6.28					<u>(1)</u>	11/15/2022	Common Shares	1,500	

(2)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

OGARA WILFRED T 8180 CORPORATE PARK DRIVE SUITE 301 CINCINNATI, OH 45242

Signatures

/s/ F. Mark Reuter, Attorney-in-Fact for Wilfred T. O'Gara

**Signature of Reporting Person

07/06/2017 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

X

- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.

(2) These holdings have been previously reported on Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.