BASSWOOD CAPITAL MANAGEMENT, L.L.C.

Form 4 January 23, 2018

FORM 4

## **OMB APPROVAL**

**OMB** 

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

Number: January 31, Expires: 2005

3235-0287

if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BASSWOOD CAPITAL** MANAGEMENT, L.L.C.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Regional Management Corp. [RM]

(Check all applicable)

645 MADISON AVENUE, 10TH

(Street)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ 10% Owner Director \_ Other (specify Officer (give title below)

FLOOR,

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

01/19/2018

Applicable Line)

Form filed by One Reporting Person X Form filed by More than One Reporting

NEW YORK, NY 10022

(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$0.10 per share ("Common Stock")	01/19/2018		Code V	Amount 4,163	(D)	Price \$ 27.84	329,992	I	See footnotes (1) (2)
Common Stock	01/22/2018		S	21,799	D	\$ 27.5	308,193	I	See footnotes (1) (2)
Common Stock							124,930	I	See footnotes (1) (3)

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Common Stock	25,984	I	See footnotes (1) (4)
Common Stock	78,548	I	See footnotes (1) (5)
Common Stock	27,525	I	See footnotes (1) (6)
Common Stock	256,635	I	See footnotes (1) (7)
Common Stock	690,180	I	See footnotes (1) (8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
	·				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable	Date		Number	
									of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022

X

Reporting Owners 2

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LINDENBAUM MATTHEW A

C/O BASSWOOD CAPITAL MANAGEMENT L.L.C.

X

645 MADISON AVENUE 10TH FLOOR NEW YORK, NY 10022

LINDENBAUM BENNETT D BASSWOOD CAPITAL MANAGEMENT, L.L.C. 645 MADISON AVENUE, 10TH FLOOR NEW YORK, NY 10022

X

## **Signatures**

BASSWOOD CAPITAL MANAGEMENT, L.L.C., By: /s/ Matthew Lindenbaum

01/23/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Notes are included on Exhibit 99.1 hereto.
- (2) Notes are included on Exhibit 99.1 hereto.
- (3) Notes are included on Exhibit 99.1 hereto.
- (4) Notes are included on Exhibit 99.1 hereto.
- (5) Notes are included on Exhibit 99.1 hereto.
- (6) Notes are included on Exhibit 99.1 hereto.
- (7) Notes are included on Exhibit 99.1 hereto.
- (8) Notes are included on Exhibit 99.1 hereto.

#### **Remarks:**

**Exhibit List:** 

Exhibit 99.1 - Explanation of Responses

Exhibit 99.2 - Joint Filer Information

Exhibit 99.3 - Joint Filers' Signatures

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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