KREIDER GARY P

Form 4 April 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Ad KREIDER G | • | orting Person * | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | |
|-----------------------------|----------|-----------------|--|---|--|--|
| (Last) | (First) | (Middle) | LSI INDUSTRIES INC [LYTS] 3. Date of Earliest Transaction | | | |
| 1356-1 US 52 | 2 | | (Month/Day/Year) 04/02/2018 | _X_ Director 10% Owner Officer (give title Other (specify below) | | |
| | (Street) | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | |
| NEW RICHN | MOND, OH | | | Form filed by More than One Reporting Person | | |

| (City) | (State) (| (Zip) Table | e I - Non-D | erivative S | Securi | ities Acq | quired, Disposed | of, or Beneficial | lly Owned |
|--------------------------------------|---|---|---|---|--------|---------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Shares | 04/02/2018 | | Code V A | Amount 1,669 | (D) | Price \$ 7.79 | 23,782 | D | |
| Common Shares | | | | | | | 10,000 | I | By IRA |
| Common Shares | | | | | | | 2,000 | I | By an inherited IRA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|--|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Buy | \$ 8.98 | | | | | <u>(1)</u> | 08/22/2018 | Common Shares | 2,500 |
| Option to Buy | \$ 4.6 | | | | | <u>(1)</u> | 11/20/2018 | Common Shares | 1,500 |
| Option to Buy | \$ 8.4 | | | | | (1) | 08/21/2019 | Common Shares | 3,500 |
| Option to Buy | \$ 7.2 | | | | | <u>(1)</u> | 11/19/2019 | Common Shares | 1,500 |
| Option to Buy | \$ 5.21 | | | | | <u>(1)</u> | 08/19/2020 | Common Shares | 2,500 |
| Option to Buy | \$ 8.92 | | | | | <u>(1)</u> | 11/18/2020 | Common Shares | 1,500 |
| Option to Buy | \$ 6.05 | | | | | <u>(1)</u> | 11/17/2021 | Common Shares | 1,500 |
| Option to Buy | \$ 6.58 | | | | | <u>(1)</u> | 08/15/2022 | Common Shares | 2,500 |
| Option to Buy | \$ 6.28 | | | | | <u>(1)</u> | 11/15/2022 | Common Shares | 1,500 |

8. Price Derivat Securit (Instr. 5

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

KREIDER GARY P 1356-1 US 52

X

NEW RICHMOND, OH 45157 **Signatures**

/s/ F. Mark Reuter, Attorney-in-Fact for Gary P. Kreider

04/04/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified stock options granted pursuant to the Company's 2003 Equity Compensation Plan. The options vest at a rate of 25% at the conclusion of each 90 day period following the date of grant.
- (2) These holdings have been previously reported on Form 4.

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Reporting Owners 3