ADAMS JOHN B JR Form 5

May 14, 2018

Restricted Stock

and Restricted

Stock Units

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 $13,224^{(2)}$ 

#### **OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer ADAMS JOHN B JR Symbol UNIVERSAL CORP /VA/ [UVV] (Check all applicable) (First) (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (Month/Day/Year) \_X\_ Director 10% Owner Officer (give title Other (specify 03/31/2018 below) below) 9201 FOREST HILL AVENUE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) RICHMOND, VAÂ 23235 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 3. 7. Nature of 1. Title of Security 4. Securities 5. Amount Indirect (Instr. 3) (Month/Day/Year) Execution Date, if Transaction Acquired (A) or of Securities Ownership Code Disposed of (D) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at Direct (D) Ownership end of or Indirect (Instr. 4) Issuer's (I) Fiscal Year (Instr. 4) (A) (Instr. 3 and or Amount (D) Price Common Stock-Dividend 03/31/2018 Â Â \$ (1) 4,887 I 506 D Reinvestment Plan (1) Common Stock Â Â Â Â D Â 0

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#### Edgar Filing: ADAMS JOHN B JR - Form 5

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

of D

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	
	Derivative	Conversion	(Month/Day/Year)	•	Transaction	Number	Expiration D		Amou		Derivative	
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	, ,	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative		Securities	(Instr. 5)			
		Derivative				Securities			(Instr. 3 a	3 and 4)		
		Security				Acquired	red					
						(A) or						
						Disposed						
						of (D)						
						(Instr. 3,						
						4, and 5)						
						,						
										Amount		
							Date Exercisable	Expiration Date	Title	or		
										Number		
										of		
						(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

ADAMS JOHN B JR

9201 FOREST HILL AVENUE Â X Â Â

RICHMOND, VAÂ 23235

#### **Signatures**

John B. Adams, Jr. by Preston D. Wigner, Power of Attorney

05/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares acquired from 4/1/17 though 3/31/18 in the dividend reinvestment plan.

8,950 restricted stock shares were granted for service as a director. Transfer of shares is prohibited and the shares may be forfeited to the Company unless certain specified conditions are met. Also includes 4,050 restricted stock units and 224 dividend equivalent units earned on the restricted stock units. The restricted stock units vest of the third anniversary of the award date, however, payment will be delayed until certain restrictions are met.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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