

DGSE COMPANIES INC  
 Form 3  
 November 05, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol |  |
| Â LOPEZ NABIL J.                          |         | (Month/Day/Year)                     | DGSE COMPANIES INC [DGSE]                          |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| 15850 DALLAS PARKWAY,Â SUITE 140          |         | 11/05/2015                           | (Check all applicable)                             |  |
| (Street)                                  |         |                                      | <input checked="" type="checkbox"/> Director       | <input type="checkbox"/> 10% Owner                                     |
| DALLAS,Â TXÂ 75248                        |         |                                      | <input checked="" type="checkbox"/> Officer        | <input type="checkbox"/> Other   |
| (City)                                    | (State) | (Zip)                                | (give title below)                                 | (specify below)  |
|   |         |                                      | Chief Financial Officer                            | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   |         |                                      |  | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      |  | <input type="checkbox"/> Form filed by More than One Reporting Person  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 1,000   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  |  | Title   |  |  |   |

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|                        | Date Exercisable | Expiration Date |              | Amount or Number of Shares |        | or Indirect (I) (Instr. 5) |
|------------------------|------------------|-----------------|--------------|----------------------------|--------|----------------------------|
| Restricted Stock Units | Â (1)            | Â (2)           | Common Stock | 1,000                      | \$ (3) | D Â                        |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                           |       |
|---|---------------|-----------|---------------------------|-------|
|   | Director      | 10% Owner | Officer                   | Other |
| LOPEZ NABIL J.<br>15850 DALLAS PARKWAY<br>SUITE 140<br>DALLAS, TX 75248 | Â X           | Â         | Â Chief Financial Officer | Â     |

## Signatures

/s/ Nabil J. Lopez  
11/05/2015  
Date

\*\*Signature of Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One half (or 1,000) of the Restricted Stock Units ("RSU") have vested and been issued pursuant to the terms of the Restricted Stock Unit Award Agreement, dated January 23, 2014, between the Issuer and the Reporting Person (the "RSU Award Agreement"). The remaining
- (1) half of the RSUs vest and will be exercisable on each subsequent anniversary of the date of grant until 100 percent of the RSU's have vested, subject to the Reporting Person's continued status as an employee on each such date and other terms and conditions of set forth in the RSU Award Agreement.
  - (2) Upon termination of service of the Reporting Person to the Issuer (the "Common Stock"), other than by reason of death or disability, any RSUs that have not vested will be forfeited and the award of such units shall terminate.
  - (3) Each RSU is convertible into one share of common stock, par value \$0.01, of the Common Stock without additional payment pursuant to the RSU Award Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.