Edgar Filing: Hortonworks, Inc. - Form SC 13G/A

Form SC 13G/A February 14, 2017
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
Schedule 13G
INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULES 13d-1 (b), (c) AND (d) AND AMENDMENTS THERETO FILED PURSUANT TO 13d-2 (b)
(Amendment No. 2)*
Hortonworks, Inc.
(Name of Issuer)
Common Stock
(Title of Class of Securities)
440894103
(CUSIP Number)
December 31, 2016
(Date of Event Which Requires Filing of this Statement)
Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Edgar Filing: Hortonworks, Inc Form SC 13G/A
"Rule 13d-1(b)
"Rule 13d-1(c)
x Rule 13d-1(d)
*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.
The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).
(Continued on following pages)
Page 1 of 24 Pages
Exhibit Index Contained on Page 23

Benchmark Capital Partners VI, L.P. ("BCP VI")

CUSIP NO. 440894103 13 G Page 2 of 24

1 NAME OF REPORTING PERSON

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION Delaware **SOLE VOTING POWER** 249,877 shares, except that Benchmark Capital Management Co. VI, L.L.C. ("BCMC VI"), the general partner of BCP VI, may be deemed to have sole power to vote these shares, and 5 Alexandre Balkanski ("Balkanski"), Matthew R. Cohler ("Cohler"), Bruce W. Dunlevie ("Dunlevie"), Peter Fenton ("Fenton"), J. William Gurley ("Gurley"), Kevin R. Harvey ("Harvey"), Robert C. Kagle ("Kagle"), Mitchell H. Lasky ("Lasky") and Steven M. Spurlock ("Spurlock"), the members of BCMC NUMBER OF VI, may be deemed to have shared power to vote these shares. BENEFICIALLY 6 SHARED VOTING POWER See response to row 5. OWNED BY SOLE DISPOSITIVE POWER **EACH** 249,877 shares, except that BCMC VI, the general partner of BCP VI, may be deemed to have **REPORTING** 7 sole power to dispose of these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, **PERSON** Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to WITH dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 249,877 REPORTING PERSON 10_CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.4% 12 TYPE OF REPORTING PERSON

PN

Benchmark Founders' Fund VI, L.P. ("BFF VI")

PN

CUSIP NO. 440894103 13 G Page 3 of 24

1 NAME OF REPORTING PERSON

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY ⁴CITIZENSHIP OR PLACE OF ORGANIZATION Delaware SOLE VOTING POWER 15,628 shares, except that BCMC VI, the general partner of BFF VI, may be deemed to have 5 sole power to vote these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to vote these shares. NUMBER OF SHARED VOTING POWER **SHARES** See response to row 5. BENEFICIALLY SOLE DISPOSITIVE POWER OWNED BY 15,628 shares, except that BCMC VI, the general partner of BFF VI, may be deemed to have **EACH** REPORTING 7 sole power to dispose of these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to **PERSON WITH** dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 15,628 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.0% 12 TYPE OF REPORTING PERSON

Benchmark Founders' Fund VI-B, L.P. ("BFF VI-B")

PN

CUSIP NO. 440894103 13 G Page 4 of 24

1 NAME OF REPORTING PERSON

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY ⁴CITIZENSHIP OR PLACE OF ORGANIZATION Delaware SOLE VOTING POWER 10,255 shares, except that BCMC VI, the general partner of BFF VI-B, may be deemed to have 5 sole power to vote these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to vote these shares. NUMBER OF SHARED VOTING POWER **SHARES** See response to row 5. BENEFICIALLY SOLE DISPOSITIVE POWER OWNED BY **EACH** 10,255 shares, except that BCMC VI, the general partner of BFF VI-B, may be deemed to have REPORTING 7 sole power to dispose of these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to **PERSON WITH** dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 10,255 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.0% 12 TYPE OF REPORTING PERSON

CUSIP NO. 440894103 13 G Page 5 of 24

1 NAME OF REPORTING PERSON Benchmark Capital Management Co. VI, L.L.C. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X **3SEC USE ONLY** 4 CITIZENSHIP OR PLACE OF ORGANIZATION Delaware **SOLE VOTING POWER** 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the 5 benefit of persons associated with BCMC VI. BCMC VI, the general partner of BCP VI, BFF VI and BFF VI-B, may be deemed to have sole power to vote these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to vote these shares. NUMBER OF **SHARES** SHARED VOTING POWER See response to row 5. **BENEFICIALLY OWNED BY** SOLE DISPOSITIVE POWER 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **EACH** REPORTING BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the **PERSON** 7 benefit of persons associated with BCMC VI. BCMC VI, the general partner of BCP VI, BFF VI **WITH** and BFF VI-B, may be deemed to have sole power to dispose of these shares, and Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock, the members of BCMC VI, may be deemed to have shared power to dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 302,697 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.5% YPE OF REPORTING PERSON

OO

Benchmark Capital Partners VII, L.P. ("BCP VII")

PN

CUSIP NO. 440894103 13 G Page 6 of 24

1 NAME OF REPORTING PERSON

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY CITIZENSHIP OR PLACE OF ORGANIZATION Delaware SOLE VOTING POWER 5,036,211 shares, except that Benchmark Capital Management Co. VII, L.L.C. ("BCMC VII"), the 5 general partner of BCP VII, may be deemed to have sole power to vote these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock, the members of BCMC VII, may be deemed to have shared power to vote these shares. NUMBER OF SHARED VOTING POWER **SHARES** See response to row 5. BENEFICIALLY SOLE DISPOSITIVE POWER OWNED BY **EACH** 5,036,211 shares, except that BCMC VII, the general partner of BCP VII, may be deemed to REPORTING 7 have sole power to dispose of these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock, the members of BCMC VII, may be deemed to have shared power to dispose of **PERSON** these shares. **WITH** 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 5,036,211 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 8.2% 12 TYPE OF REPORTING PERSON

Benchmark Founders' Fund VII, L.P. ("BFF VII")

CUSIP NO. 440894103 13 G Page 7 of 24

1 NAME OF REPORTING PERSON

CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY ⁴CITIZENSHIP OR PLACE OF ORGANIZATION Delaware **SOLE VOTING POWER** 5 559,315 shares, except that BCMC VII, the general partner of BFF VII, may be deemed to have sole power to vote these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock, the members of BCMC VII, may be deemed to have shared power to vote these shares. NUMBER OF 6 SHARED VOTING POWER **SHARES** See response to row 5. **BENEFICIALLY** SOLE DISPOSITIVE POWER **OWNED BY** 559,315 shares, except that BCMC VII, the general partner of BFF VII, may be deemed to have **EACH** 7 sole power to dispose of these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and **REPORTING** Spurlock, the members of BCMC VII, may be deemed to have shared power to dispose of these **PERSON** shares. WITH 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 559,315 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES 11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.9% 12 TYPE OF REPORTING PERSON PN

CUSIP NO. 440894103 13 G Page 8 of 24

	DRTING PERSON Benchmark Founders' Fund PPROPRIATE BOX IF A MEMBER OF A GROUP*	
(a) " (b) 3 SEC USE ONLY 4 CITIZENSHIP O Delaware	x R PLACE OF ORGANIZATION	
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH	SOLE VOTING POWER 741,277 shares, except that BCMC VII, the general have sole power to vote these shares, and Cohler, I Spurlock, the members of BCMC VII, may be deed SHARED VOTING POWER See response to row 5. SOLE DISPOSITIVE POWER 741,277 shares, except that BCMC VII, the general Thave sole power to dispose of these shares, and Colon and Spurlock, the members of BCMC VII, may be these shares. 8 SHARED DISPOSITIVE POWER See response to row 7.	Dunlevie, Fenton, Gurley, Harvey, Lasky and med to have shared power to vote these shares I partner of BFF VII-B, may be deemed to hler, Dunlevie, Fenton, Gurley, Harvey, Lasky
REPORTING P. CHECK BOX II EXCLUDES CH	AMOUNT BENEFICIALLY OWNED BY EACH ERSON F THE AGGREGATE AMOUNT IN ROW (9) ERTAIN SHARES CLASS REPRESENTED BY AMOUNT IN ROW 9	741,277 1.2%
TYPE OF REPO		PN

CUSIP NO. 440894103 13 G Page 9 of 24

1 NAME OF REPORTING PERSON

Benchmark Capital Management Co. VII, L.L.C. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X **3SEC USE ONLY** 4 CITIZENSHIP OR PLACE OF ORGANIZATION Delaware SOLE VOTING POWER 6,336,803 shares, of which 5,036,211 are directly owned by BCP VII, 559,315 are directly 5 owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VII, the general partner of BCP VII, BFF VII and BFF VII-B, may be deemed to have sole power to vote these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock, the members of BCMC VII, may be deemed to have shared power to vote these shares. NUMBER OF SHARED VOTING POWER **SHARES BENEFICIALLY** See response to row 5. OWNED BY SOLE DISPOSITIVE POWER 6,336,803 shares, of which 5,036,211 are directly owned by BCP VII, 559,315 are directly **EACH** 7 owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VII, the general **REPORTING** partner of BCP VII, BFF VII and BFF VII-B, may be deemed to have sole power to dispose of **PERSON** these shares, and Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock, the members of WITH BCMC VII, may be deemed to have shared power to dispose of these shares. 8 SHARED DISPOSITIVE POWER See response to row 7. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,336,803 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.3% 12 TYPE OF REPORTING PERSON

00

CUSIP NO. 440894103 13 G Page 10 of 24

1 NAME OF REPORTING PERSON Alexandre Balkanski CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by ₆BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the benefit of persons associated with BCMC VI. BCMC VI is the general partner of BCP VI, BFF NUMBER OF VI and BFF VI-B, and Balkanski, a member of BCMC VI, may be deemed to have shared power **SHARES** BENEFICIALLY to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH REPORTING** SHARED DISPOSITIVE POWER **PERSON** 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by 8BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the WITH benefit of persons associated with BCMC VI. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and Balkanski, a member of BCMC VI, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 302,697 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.5% 12 TYPE OF REPORTING PERSON

CUSIP NO. 440894103 13 G Page 11 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Matthew R. Cohler CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Cohler, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING **PERSON** 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit **WITH** of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Cohler, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 12 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Bruce W. Dunlevie CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Dunlevie, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING **PERSON** 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **WITH** BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit g of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Dunlevie, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 13 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Peter Fenton CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 16,309 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF **SHARES** VII, BFF VII and BFF VII-B, and Fenton, a member of BCMC VI and BCMC VII, may be BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 16,309 shares **EACH** SHARED DISPOSITIVE POWER REPORTING **PERSON** 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **WITH** BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Fenton, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,655,809 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 14 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON J. William Gurley CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Gurley, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **PERSON** BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit **WITH** of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Gurley, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 15 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Kevin R. Harvey CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X **3SEC USE ONLY** 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Harvey, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **PERSON** BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit **WITH** g of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Harvey, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 16 of 24

1 NAME OF REPORTING PERSON Robert C. Kagle CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by ₆BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the benefit of persons associated with BCMC VI. BCMC VI is the general partner of BCP VI, BFF NUMBER OF VI and BFF VI-B, and Kagle, a member of BCMC VI, may be deemed to have shared power to **SHARES** vote these shares. **BENEFICIALLY** 7 SOLE DISPOSITIVE POWER OWNED BY 0 shares **EACH** SHARED DISPOSITIVE POWER **REPORTING** 302,697 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **PERSON** 8BFF VI, 10,255 are directly owned by BFF VI-B and 26,937 are held in nominee form for the WITH benefit of persons associated with BCMC VI. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and Kagle, a member of BCMC VI, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 302,697 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) **EXCLUDES CERTAIN SHARES** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 0.5% 12 TYPE OF REPORTING PERSON

CUSIP NO. 440894103 13 G Page 17 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Mitchell H. Lasky CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X 3 SEC USE ONLY 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Lasky, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING **PERSON** 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit **WITH** of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Lasky, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 18 of 24

12 TYPE OF REPORTING PERSON

1 NAME OF REPORTING PERSON Steven M. Spurlock CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP* 2 (a) (b) X **3SEC USE ONLY** 4 CITIZENSHIP OR PLACE OF ORGANIZATION U.S. Citizen SOLE VOTING POWER 0 shares SHARED VOTING POWER 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP NUMBER OF VII, BFF VII and BFF VII-B, and Spurlock, a member of BCMC VI and BCMC VII, may be **SHARES** BENEFICIALLY deemed to have shared power to vote these shares. 7 SOLE DISPOSITIVE POWER **OWNED BY** 0 shares **EACH** SHARED DISPOSITIVE POWER REPORTING 6,639,500 shares, of which 249,877 are directly owned by BCP VI, 15,628 are directly owned by **PERSON** BFF VI, 10,255 are directly owned by BFF VI-B, 26,937 are held in nominee form for the benefit **WITH** g of persons associated with BCMC VI, 5,036,211 are directly owned by BCP VII, 559,315 are directly owned by BFF VII and 741,277 are directly owned by BFF VII-B. BCMC VI is the general partner of BCP VI, BFF VI and BFF VI-B, and BCMC VII is the general partner of BCP VII, BFF VII and BFF VII-B, and Spurlock, a member of BCMC VI and BCMC VII, may be deemed to have shared power to dispose of these shares. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH 6,639,500 REPORTING PERSON CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9 10.8%

CUSIP NO. 440894103 13 G Page 19 of 24

This Amendment No. 2 amends and restates in its entirety the Schedule 13G previously filed by Benchmark Capital Partners VI, L.P., a Delaware limited partnership ("BCP VI"), Benchmark Founders' Fund VI, L.P., a Delaware limited partnership ("BFF VI"), Benchmark Founders' Fund VI-B, L.P., a Delaware limited partnership ("BFF VI-B"), Benchmark Capital Management Co. VI, L.L.C., a Delaware limited liability company ("BCMC VI"), Benchmark Capital Partners VII, L.P., a Delaware limited partnership ("BFF VII"), Benchmark Founders' Fund VI-B, L.P., a Delaware limited partnership ("BFF VII-B"), Benchmark Capital Management Co. VII, L.L.C., a Delaware limited liability company ("BCMC VII"), and Alexandre Balkanski ("Balkanski"), Matthew R. Cohler ("Cohler"), Bruce W. Dunlevie ("Dunlevie"), Peter Fenton ("Fenton"), J. William Gurley ("Gurley"), Kevin R. Harvey ("Harvey"), Robert C. Kagle ("Kagle"), Mitchell H. Lasky ("Lasky") and Steven M. Spurlock ("Spurlock") (together with all prior and current amendments thereto, this "Schedule 13G").

ITEM 1(A). NAME OF ISSUER

Hortonworks, Inc.

ITEM

1(B). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES

5470 Great America Parkway Santa Clara, California 95054

ITEM

2(A). NAME OF PERSONS FILING

This Statement is filed BCP VI, BFF VI, BFF VI-B, BCMC VI, BCP VII, BFF VII-B, BCMC VII, Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock. The foregoing entities and individuals are collectively referred to as the "Reporting Persons."

BCMC VI, the general partner of BCP VI, BFF VI and BFF VI-B, may be deemed to have sole power to vote and sole power to dispose of shares of the issuer directly owned by BCP VI, BFF VI and BFF VI-B.

BCMC VII, the general partner of BCP VII, BFF VII and BFF VII-B, may be deemed to have sole power to vote and sole power to dispose of shares of the issuer directly owned by BCP VII, BFF VII and BFF VII-B.

Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock are members of BCMC VI and may be deemed to have shared power to vote and shared power to dispose of shares of the issuer directly owned by BCP VI, BFF VI and BFF VI-B.

Cohler, Dunlevie, Fenton, Gurley, Harvey, Lasky and Spurlock are members of BCMC VII and may be deemed to have shared power to vote and shared power to dispose of shares of the issuer directly owned by BCP VII, BFF VII and BFF VII-B.

ITEM 2(B).

ADDRESS OF PRINCIPAL BUSINESS OFFICE

The address for each reporting person is:

Benchmark Capital 2965 Woodside Road Woodside, California 94062

ITEM

2(C). CITIZENSHIP

BCP VI, BFF VI, BFF VI-B, BCP VII, BFF VII and BFF VII-B are Delaware limited partnerships. BCMC VI and BCMC VII are Delaware limited liability companies. Balkanski, Cohler, Dunlevie, Fenton, Gurley, Harvey, Kagle, Lasky and Spurlock are United States Citizens.

CUSIP NO. 440894103 13 G Page 20 of 24

ITEM 2(D) and (E). TITLE OF CLASS OF SECURITIES AND CUSIP NUMBER

Common Stock CUSIP # 440894103

ITEM 3. <u>Not Applicable</u>.

ITEM 4. <u>OWNERSHIP</u>

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

The following information with respect to the ownership of the Common Stock of the issuer by the persons filing this Statement is provided as of December 31, 2016 (based on 61,429,406 shares of Common Stock of the issuer outstanding as of December 31, 2016 as reported by the issuer to the Reporting Persons).

(a) Amount beneficially owned:

See Row 9 of cover page for each Reporting Person.

(b) Percent of Class:

See Row 11 of cover page for each Reporting Person.

(c) Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

See Row 5 of cover page for each Reporting Person.

(ii) Shared power to vote or to direct the vote:

See Row 6 of cover page for each Reporting Person.

(iii) Sole power to dispose or to direct the disposition of:

See Row 7 of cover page for each Reporting Person.

(iv) Shared power to dispose or to direct the disposition of:

See Row 8 of cover page for each Reporting Person.

5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

Not applicable.

ITEM 6 OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

Under certain circumstances set forth in the limited partnership agreements of BCP VI, BFF VI and BFF VI-B, and the limited liability company agreement of BCMC VI, the general and limited partners or members, as the case may be, of each of such entities may be deemed to have the right to receive dividends from, or the proceeds from, the sale of shares of the issuer owned by each such entity of which they are a partner or member, as the case may be.

Under certain circumstances set forth in the limited partnership agreements of BCP VII, BFF VII and BFF VII-B, and the limited liability company agreement of BCMC VII, the general and limited partners or members, as the case may be, of each of such entities may be deemed to have the right to receive dividends from, or the proceeds from, the sale of shares of the issuer owned by each such entity of which they are a partner or member, as the case may be.

CUSIP NO. 440894103 13 G Page 21 of 24

IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE **ITEM**

7. SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

Not applicable.

ITEM

8.

IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

Not applicable.

ITEM

9.

NOTICE OF DISSOLUTION OF GROUP

Not applicable.

ITEM

CERTIFICATION 10.

Not applicable.

CUSIP NO. 440894103 13 G Page 22 of 24

SIGNATURES

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 10, 2017

BENCHMARK CAPITAL PARTNERS VI, L.P., a Delaware Limited Partnership

BENCHMARK FOUNDERS' FUND VI, L.P., a Delaware Limited Partnership

BENCHMARK FOUNDERS' FUND VI-B, L.P., a Delaware Limited Partnership

BENCHMARK CAPITAL MANAGEMENT CO. VI, L.L.C., a Delaware Limited Liability Company

By:/s/ Steven M. Spurlock Steven M. Spurlock Managing Member

BENCHMARK CAPITAL PARTNERS VII, L.P., a Delaware Limited Partnership BENCHMARK FOUNDERS' FUND VII, L.P., a Delaware Limited Partnership

BENCHMARK FOUNDERS' FUND VII-B, L.P., a Delaware Limited Partnership

BENCHMARK CAPITAL MANAGEMENT CO. VII, L.L.C., a Delaware Limited Liability Company

By:/s/ Steven M. Spurlock Steven M. Spurlock Managing Member

ALEXANDRE
BALKANSKI
MATTHEW R. COHLER
BRUCE W. DUNLEVIE
PETER FENTON
J. WILLIAM GURLEY
KEVIN R. HARVEY
ROBERT C. KAGLE
MITCHELL H. LASKY
STEVEN M. SPURLOCK

By:/s/ Steven M. Spurlock Steven M. Spurlock Attorney-in-Fact CUSIP NO. 440894103 13 G Page 23 of 24

EXHIBIT INDEX

Found on Sequentially

Exhibit Numbered Page

Exhibit A: Agreement of Joint Filing 24

Edgar Filing: Hortonworks, Inc. - Form SC 13G/A

CUSIP NO. 440894103 13 G Page 24 of 24

exhibit A

Agreement of Joint Filing

The Reporting Persons agree that a single Schedule 13G (or any amendment thereto) relating to the Common Stock of Hortonworks, Inc. shall be filed on behalf of each Reporting Person. Note that copies of the applicable Agreement of Joint Filings are already on file with the appropriate agencies.