

CATHAY GENERAL BANCORP  
Form 8-K  
October 17, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of**

**The Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): October 17, 2018**

**CATHAY GENERAL BANCORP**

**(Exact name of registrant as specified in its charter)**

Delaware                                001-31830                                95-4274680  
(State or other jurisdiction                                (Commission File Number                                (IRS Employer  
of incorporation)                                Identification No.)

777 North Broadway, Los Angeles, California 90012  
(Address of principal executive offices)                                (Zip Code)

Registrant's telephone number, including area code: (213) 625-4700

Not Applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the Registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the

Exchange Act. "

**Item 2.02 Results of Operations and Financial Condition.**

On October 17, 2018, Cathay General Bancorp announced, in a press release, its financial results for the quarter ended September 30, 2018. That press release is attached hereto as Exhibit 99.1.

The foregoing information and the attached exhibit are intended to be furnished only and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that Section, nor shall it be deemed incorporated by reference into any filing under the Securities Act of 1933.

**Item 9.01**

**Financial Statements and Exhibits.**

*(d) Exhibits*

99.1 Press Release of Cathay General Bancorp dated October 17, 2018

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CATHAY GENERAL  
BANCORP

Date: October 17, 2018

By: /s/ Heng W. Chen  
Heng W. Chen  
Executive Vice President and  
Chief Financial Officer

**EXHIBIT INDEX**

Number Exhibit

99.1    Press Release of Cathay General Bancorp dated October 17, 2018