

New Mountain Finance Corp

Form 8-K

November 08, 2016

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

---

**FORM 8-K**

---

**CURRENT REPORT**

**Pursuant to section 13 or 15(d) of the  
Securities Exchange Act of 1934**

**Date of Report (Date of Earliest Event Reported): November 8, 2016 (November 8, 2016)**

---

**New Mountain Finance Corporation**

**(Exact name of registrant as specified in its charter)**

---

**Delaware**

**814-00832**

**27-2978010**

**(State or other jurisdiction of**

**(Commission**

**(IRS Employer**

**incorporation or organization)**

**File Number)**

**Identification Number)**

**787 7<sup>th</sup> Avenue, 48<sup>th</sup> Floor, New York, NY 10019**

**(Address of principal executive offices)**

---

**Registrant's telephone number, including area code (212) 720-0300**

---

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

---

---

**Item 2.02. Results of Operations and Financial Condition**

On November 8, 2016, New Mountain Finance Corporation (the “Company”) issued a press release announcing financial results for its quarter ended September 30, 2016. The press release is included as Exhibit 99.1 to this Form 8-K. Additionally, on November 8, 2016, the Company made available on its website, [www.newmountainfinance.com](http://www.newmountainfinance.com), supplemental investor information with respect to the earnings release.

The information disclosed under this Item 2.02, including Exhibit 99.1 hereto, is being furnished and shall not be deemed “filed” for purposes of Section 18 of the Securities Exchange Act of 1934 and shall not be deemed incorporated by reference into any filing made under the Securities Act of 1933, except as expressly set forth by specific reference in such filing.

**Item 9.01. Financial Statements and Exhibits.**

d) Exhibits.

Exhibit

Number	Description
99.1	Press Release, dated November 8, 2016

---

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this Current Report on Form 8-K to be signed on its behalf by the undersigned hereunto duly authorized.

NEW MOUNTAIN FINANCE  
CORPORATION

Date: November 8, 2016

By: /s/ Karrie J. Jerry  
Name: Karrie J. Jerry  
Title: Corporate Secretary