Morgan Douglas J Form 3/A May 20, 2009

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

A Morgan Douglas J

7600 S. RAINBOW

BLVD., #1129

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/30/2009

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

SCM MICROSYSTEMS INC [SCMM]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 05/07/2009

(Check all applicable)

(Street)

10% Owner \_X\_\_ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

LAS VEGAS, NVÂ 89139

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership

Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date

Expiration

Title

Amount or Security Number of Shares

Security: Direct (D) or Indirect

(I)

Exercisable Date

(Instr. 5)

Warrants to purchase Common Stock 04/30/2012 04/30/2014 Common 9,923  $\frac{(1)}{\text{Stock}}$  \$ 3.03 D  $\hat{A}$ 

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Morgan Douglas J
7600 S. RAINBOW BLVD.
#1129

LAS VEGAS, NVÂ 89139

# **Signatures**

/s/ Douglas J.
Morgan

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is being filed to include an additional warrant to purchase 9,923 shares of SCM Microsystems, Inc. common stock for an exercise price of \$3.03 per share which is beneficially owned by the reporting person, that was not reported on the original Form 3.
  - The securities reported herein were acquired pursuant to a merger between Hirsch Electronics Corporation and Deer Acquisition, Inc., a wholly-owned subsidiary of SCM Microsystems, Inc., in which each outstanding warrant to purchase shares of Hirsch Electronics
- (2) Corporation was converted at the effective time of the merger into the right to receive a warrant to purchase shares of SCM Mircosystems, Inc. common stock with a five year term, exercisable for two years following the third anniversary of the effective time of the merger. The number of shares and the per share exercise price was determined by applying a conversion ratio to the warrants to purchase shares of Hirsch Electronics Corporation as provided for in the merger agreement. The merger closed on April 30, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2