#### THOMPSON WILLIAM S

Form 4

February 10, 2010

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number:

**OMB APPROVAL** 

January 31, Expires: 2005

Estimated average burden hours per

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* THOMPSON WILLIAM S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CITIGROUP INC [C]

3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year) CITIGROUP INC., CORPORATE

(Middle)

(Zip)

LAW DEPT., 425 PARK AVENUE.

(State)

(First)

02/08/2010

X\_ Director 10% Owner Officer (give title Other (specify

below)

2ND FLOOR

(City)

(Last)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

I

NEW YORK, NY 10043

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

or Code V Amount (D) Price

Common Stock

79,938.4 D

Common Stock

By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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129,118

SEC 1474 (9-02)

# Edgar Filing: THOMPSON WILLIAM S - Form 4

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code		Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of 8 Underlying Securities I (Instr. 3 and 4) S	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Tangible Dividend Enhanced Common Stock (T-DECS)	<u>(2)</u>	02/08/2010		Р		1,200		(3)	12/15/2012	Common Stock	<u>(4)</u>
T-DECS	(2)	02/08/2010		P		200		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		200		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		100		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		100		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		200		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		200		(3)	12/15/2012	Common Stock	<u>(4)</u>
T-DECS	<u>(2)</u>	02/08/2010		P		500		(3)	12/15/2012	Common Stock	<u>(4)</u>
T-DECS	<u>(2)</u>	02/08/2010		P		700		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		400		(3)	12/15/2012	Common Stock	<u>(4)</u>
T-DECS	(2)	02/08/2010		P		100		(3)	12/15/2012	Common Stock	<u>(4)</u> \$
T-DECS	<u>(2)</u>	02/08/2010		P		100		(3)	12/15/2012	Common Stock	<u>(4)</u>
T-DECS	<u>(2)</u>	02/08/2010		P		900		(3)	12/15/2012		<u>(4)</u>

Common Stock

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

THOMPSON WILLIAM S CITIGROUP INC., CORPORATE LAW DEPT. 425 PARK AVENUE, 2ND FLOOR NEW YORK, NY 10043



#### **Signatures**

William S. Thompson by Joseph B. Wollard, Attorney-in-Fact

02/10/2010

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Each T-DECS is a unit comprised of a prepaid stock purchase contract and a junior subordinated amortizing note due December 15, 2012.
- (1) Additional information about the Issuer's T-DECS may be found at http://www.sec.gov/Archives/edgar/data/831001/000095012309071482/y81071fwp.htm.
- (2) Each T-DECS unit is convertible into the Issuer's common stock at a minimum price of \$3.15 per share and a maximum price of \$3.94 per share.
- (3) Each T-DECS unit may be converted into shares of the Issuer's common stock at any time prior to December 15, 2012.
- Based on the Reporting Person's aggregate purchase of 4,900 T-DECS units as reported on this Form 4, the Reporting Person has the right to acquire a minimum of 124,365 shares, and a maximum of 155,555 shares, of the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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