Edgar Filing: FAN JOHN C C - Form 4

| FAN JOHN Form 4 | СС | | | | | | | | | | |
|--|---------------|--------------------------------|------------------------|--|--------------------|---|---------|---|--|------------------------|--|
| May 03, 201 | 0 | | | | | | | | | | |
| FORM | | | | | | | | | OMB AF | PPROVAL | |
| | UNITE | D STATES | | | ND EXC D.C. 205 | | GE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check this box if no longer subject to Section 16. | | | | CHANGES IN BENEFICIAL OWNERSHI SECURITIES | | | | | Expires: | January 31, 2005 | |
| | | | | | | | | NERSHIP OF | Estimated a burden hou | iverage | |
| | Form 4 or | | | | | | | | response 0.5 | | |
| Form 5 obligatio may cont <i>See</i> Instr 1(b). | ns Section 1 | 7(a) of the | Public U | tility Hold | | pany A | Act of | e Act of 1934, 1935 or Section 0 | n | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| FAN JOHN C C Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | | 3. Date of Earliest Transaction | | | | (Check all applicable) | | | |
| (Last) | (First) | (Middle) | 3. Date of (Month/E | | ansaction | | | X Director | 10% | Owner | |
| | I CORPORATI | | 05/03/2 | - | | | | X Officer (give below) Presi | title Other below) ident and CEO | er (specify | |
| | 4. If Ame | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | | | |
| Filed(Mon TAUNTON, MA 02780-7331 | | | | Month/Day/Year) | | | | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| TAUNTON | , MA 02780-73 | 551 | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | ecuriti | es Acqu | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year) | | | on Date, if | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) | | | | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Indirect Beneficial | |
| | | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | |
| Common Stock | 04/29/2010 | | | A <u>(1)</u> | 175,000 | A | \$0 | 1,810,909 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | ate | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| FAN JOHN C C C/O KOPIN CORPORATION 200 JOHN HANCOCK ROAD TAUNTON, MA 02780-7331 | Х | | President and CEO | | | | | |
| Signatures | | | | | | | | |
| /s/ John Concannon, as Attorney-in-fact | | 05/03/201 | 0 | | | | | |
| <pre>**Signature of Reporting Person</pre> | | Date | | | | | | |
| Evalenation of Dev | | | | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock award shall vest with respect to 50% on December 10, 2012 and 50% on December 10, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.