

PUTNAM JOHN STEPHEN
Form 5
October 04, 2002

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION Washington, D.C.
20549**

FORM 5

Check this box if no longer
subject to Section 16. Form 4 or
Form 5 obligations may continue.
See Instruction 1(b). Form 3
Holdings Reported Form 4
Transactions Reported

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)
of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment
Company Act of 1940

(Print or Type
Responses)

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol

6. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

Putnam John Stephen Raymond James Financial (RJF)
(Last) (First) (Middle) 3. IRS or Social Security Number of Reporting Person (Voluntary) 4. Statement for Month/Year
880 Carillon Parkway
(Street)
St. Petersburg FL 33716
(City) (State) (ZIP)

Director 10% Owner
 Officer Other
(give (specify
title below) below)

President and COO of RJFS
7. Individual or Joint/Group Filing (Check
Applicable Line)

Form filed by One Reporting Person
Form filed by More than One Reporting
Person

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)(I)	6. Owner- ship Form: Direct (D) or Indirect (Instr. 4)	7. Nature of Indirect Beneficial Owner- ship (Instr. 4)
Raymond James Financial, Inc. (RJF) RJF Common Stock RJF Common Stock				Amount (D) Price 132,046 12,474	D I	ESOP

No unreported
activity

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

(Over)
SEC 2270
(9-96)

FORM 5 Table II — Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities (Instr. 4)	10. Owner-ship Form of Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Ownership: Beneficial (Instr. 4)
Employee Stock Option (Right to buy)				(A)	Current	Common stock	6,300	22.1667		
Employee Stock Option (Right to buy)				(A)	11/18/02	Common stock	2,700	22.1667		
Employee Stock Option (Right to buy)				(A)	11/18/02	Common stock	1,800	20.0625		
Employee Stock Option (Right to buy)				(A)	11/18/03-11/18/04	Common stock	4,200	20.0625		
Employee Stock Option (Right to buy)				(A)	11/28/04	Common stock	4,036	32.0000		
Employee Stock Option				(A)	11/28/04-11/28/06	Common stock	5,964	32.0000	25,000	D

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(Right to buy)							
Call Options (obligation to sell)			Common stock	38,750	-	1	D
	8/12/03	08/12/03					
Put Options (right to buy)			Common stock	38,750	-	1	D
	8/12/03	08/12/03					

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ J. STEPHEN PUTNAM
 **Signature of Reporting Person

October 4, 2002
 Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient. see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.