

First Foundation Inc.
Form 8-K
November 03, 2014

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of

The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 3, 2014

FIRST FOUNDATION INC.

(Exact name of registrant as specified in its charter)

California

**(State or other jurisdiction
of incorporation)**

0-55090

**(Commission
File Number)**

20-8639702

**(IRS Employer
Identification No.)**

18101 Von Karman Avenue, Suite 700, Irvine, California

92612

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code: (949) 202-4160

N/A

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 7.01 Regulation FD Disclosure

On November 3, 2014 First Foundation Inc. issued a press release reporting the commencement of trading of its shares of common stock on the NASDAQ Global Stock Market, under the ticker symbol FFWM .

In accordance with General Instruction B.2 of Form 8-K, the information contained in this Current Report on Form 8-K, including Exhibit 99.1, are being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, or otherwise subject to the liabilities of that Section, and such information and that Exhibit shall not be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended.

Item 9.01 Financial Statements and Exhibits

(d) Exhibits. The following exhibit is being furnished with this Current Report on Form 8-K.

Exhibit

No.	Description of Exhibit
99.1	Press Release issued November 3, 2014 announcing the commencement of trading of the Company s shares of common stock on the NASDAQ Global Stock Market under the trading symbol FFWM .

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

FIRST FOUNDATION INC.

Date: November 3, 2014

By: /s/ JOHN M. MICHEL
John M. Michel
Executive Vice President & Chief Financial Officer

S-1

INDEX TO EXHIBITS

Exhibit

No.	Description of Exhibit
99.1	Press Release issued November 3, 2014 announcing the commencement of trading of the Company's shares of common stock on the NASDAQ Global Stock Market under the trading symbol "FFWM".

E-1