

UMB FINANCIAL CORP  
Form 8-K  
April 26, 2016

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**Form 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d)**

**of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported): 4/26/2016**

**UMB FINANCIAL CORPORATION**

**(Exact name of registrant as specified in its charter)**

**Commission File Number: 000-04887**

**MO**  
**(State or other jurisdiction**  
  
**of incorporation)**

**43-0903811**  
**(IRS Employer**  
  
**Identification No.)**

Edgar Filing: UMB FINANCIAL CORP - Form 8-K

**1010 Grand Blvd., Kansas City, MO 64106**

**(Address of principal executive offices, including zip code)**

**(816) 860-7000**

**(Registrant's telephone number, including area code)**

**(Former name or former address, if changed since last report)**

**Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:**

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)**
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)**
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))**
- .. Pre-commencement communications pursuant to Rule 13c-4(c) under the Exchange Act (17 CFR 240.13e-4(c))**

## **Item 2.02 Results of Operations and Financial Condition**

On April 26, 2016, UMB Financial Corporation (the Company) issued a press release announcing the financial results for the Company for the quarter ended March 31, 2016. A copy of the press release is attached as Exhibit 99.1 and the information is hereby incorporated by reference herein.

The information contained under Item 2.02 of this Current Report on Form 8-K, including Exhibit 99.1 hereto, is being furnished and shall not be deemed filed with the Securities and Exchange Commission (the SEC) for the purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the Exchange Act), or otherwise subject to the liabilities of that section, and are not incorporated by reference into any filing of the Company under the Securities Act of 1933, as amended (the Securities Act), or the Exchange Act, whether made before or after the date hereof, except as shall be expressly set forth by specific reference to this Current Report on Form 8-K in such a filing.

## **Item 5.02 Departure of Directors or Certain Officers; Election of Director; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers**

Scott A. Stengel, Executive Vice President, General Counsel and Secretary of the Company, has announced that he will resign from his positions at UMB Financial Corporation, effective April 28, 2016. John C. Pauls, Sr. Vice President and Legal Counsel, will assume the duties of acting General Counsel while the Company searches for a new General Counsel.

## **Item 7.01 Regulation FD Disclosure**

The information provided under Item 7.01 of this Current Report on Form 8-K, including Exhibits 99.2 and 99.3 hereto, is being furnished and is not deemed to be filed with the SEC for the purposes of Section 18 of the Exchange Act, or otherwise subject to the liabilities of that section, and are not incorporated by reference into any filing of the Company under the Securities Act or the Exchange Act, whether made before or after the date hereof, except as shall be expressly set forth by specific reference to this Current Report on Form 8-K in such a filing.

The Company is furnishing a copy of materials that will be used in the presentation delivered by the Company's representatives at the 2016 Annual Meeting of Shareholders that will be held at 9:00 a.m. (CDT) in the Company's auditorium. A copy of the materials is attached as Exhibit 99.2 and will be available on the Company's website at [www.umb.com](http://www.umb.com). The materials are dated April 26, 2016, and the Company disclaims any obligation to correct or update any of the materials in the future.

The Company is also furnishing a copy of materials that will be used in the Company's shareholder conference call on April 27, 2016, at 8:30 a.m. (CDT). A copy of the materials is attached as Exhibit 99.3 and will be available on the Company's website at [www.umb.com](http://www.umb.com). The materials are dated April 26, 2016, and the Company disclaims any obligation to correct or update any of the materials in the future.

## **Item 9.01 Financial Statements and Exhibits**

- Exhibit 99.1 Press release announcing first quarter financial results.
- Exhibit 99.2 Presentation for 2016 Annual Meeting of Shareholders.
- Exhibit 99.3 Presentation for Conference Call.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**UMB FINANCIAL CORPORATION**

By: /s/ Michael D. Hagedorn  
Michael D. Hagedorn

Vice Chairman and

Acting Chief Financial Officer

Date: April 26, 2016