

Hortonworks, Inc.
Form 8-K
May 30, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 23, 2018

Hortonworks, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction

of incorporation)

001-36780
(Commission

File Number)
5470 Great America Parkway

37-1634325
(IRS Employer

Identification No.)

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Santa Clara, California 95054

(Address of principal executive offices)

(408) 916-4121

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders.

On May 23, 2018, Hortonworks, Inc. (Hortonworks) held its 2018 Annual Meeting of Stockholders. The following is a brief description of each matter submitted to a vote at the Annual Meeting, as well as the number of votes cast for and against and the number of abstentions and broker non-votes with respect to each matter. For more information about these proposals, please refer to Hortonworks' definitive proxy statement filed with the U.S. Securities and Exchange Commission on April 24, 2018.

Proposal No. 1: Election of Directors

The stockholders elected Robert Bearden and Kevin Klausmeyer as Class I directors to hold office until the 2021 Annual Meeting of Stockholders or until their successors are duly elected and qualified, subject to their earlier death, resignation or removal.

Nominee	For	Withheld	Broker Non-Votes
Robert Bearden	46,642,905	4,330,481	15,576,922
Kevin Klausmeyer	33,517,952	17,455,434	15,576,922

Proposal No. 2: Ratification of Selection of Independent Registered Public Accounting Firm

The stockholders ratified the appointment of Deloitte & Touche LLP as Hortonworks' independent registered public accounting firm for the fiscal year ending December 31, 2018, with 66,450,342 shares voting in favor, 12,475 shares against and 87,491 shares abstaining.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

HORTONWORKS, INC.

Dated: May 30, 2018

By: /s/ Scott Davidson
Scott Davidson

Chief Operating Officer and Chief Financial Officer