

ROHR JAMES E
Form 4
September 08, 2009

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROHR JAMES E

2. Issuer Name **and** Ticker or Trading
Symbol
PNC FINANCIAL SERVICES
GROUP INC [PNC]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
ONE PNC PLAZA, 249 FIFTH
AVENUE

3. Date of Earliest Transaction
(Month/Day/Year)
09/03/2009

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chairman and CEO

(Street)
PITTSBURGH, PA 15222-2707

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction of	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Price
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Security (Instr. 3)	or Exercise Price of Derivative Security	any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year)	(Instr. 3 and 4)	Secur (Instr.				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Share Units	<u>(1)</u>	09/03/2009	A <u>(2)</u>		4,790		<u>(3)</u>	<u>(3)</u>	\$5 Par Common Stock	4,790	\$ 39 <u>(2)</u>
Phantom Stock Unit	<u>(4)</u>	01/26/2009	J <u>(5)</u>	V	600		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	600	\$ 29
Phantom Stock Unit	<u>(4)</u>	04/24/2009	J <u>(5)</u>	V	68		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	68	\$ 39
Phantom Stock Unit	<u>(4)</u>	07/24/2009	J <u>(5)</u>	V	75		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	75	\$ 3
Phantom Stock Unit	<u>(4)</u>	01/26/2009	J <u>(7)</u>	V	1,389		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	1,389	\$ 29
Phantom Stock Unit	<u>(4)</u>	04/24/2009	J <u>(7)</u>	V	150		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	150	\$ 39
Phantom Stock Unit	<u>(4)</u>	07/24/2009	J <u>(7)</u>	V	163		<u>(6)</u>	<u>(6)</u>	\$5 Par Common Stock	163	\$ 3

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROHR JAMES E ONE PNC PLAZA 249 FIFTH AVENUE PITTSBURGH, PA 15222-2707	X		Chairman and CEO	

Signatures

Lori A. Hasselman, Attorney-in-Fact for James E. Rohr 09/08/2009

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One share unit is the economic equivalent of one share of The PNC Financial Services Group, Inc. ("PNC") Common Stock.
The shares units represent a portion of the reporting person's salary, net withholdings and deductions, for the most recent pay period and were issued under PNC's 2006 Incentive Award Plan. The price per share unit is equal to the last reported closing price for PNC stock on the pay date for the most recent pay period. For more information, please see the Current Report on Form 8-K filed by PNC on August 21, 2009.
- (2)
- (3) Share units will be settled in cash on March 31, 2011.
- (4) One phantom stock unit is the economic equivalent of one share of The PNC Financial Services Group, Inc. ("PNC") Common Stock.
- (5) Phantom Stock Units received as dividend equivalents under the PNC Deferred Compensation Plan.
- (6) Phantom Stock Units will be settled in cash upon distribution from the reporting person's plan account and generally do not expire.
- (7) Phantom Stock Units received as dividend equivalents under the PNC Supplemental Incentive Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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