

SYNAPTICS INC  
Form 4  
October 02, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Barber Kevin D

(Last) (First) (Middle)

1251 MCKAY DRIVE

(Street)

SAN JOSE, CA 95131

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SYNAPTICS INC [SYNA]

3. Date of Earliest Transaction  
(Month/Day/Year)  
09/30/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_X\_ Officer (give title below) \_\_\_ Other (specify below)

SVP & GM, Handheld Products

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount (A) or (D) Price   |  |                                   |
| Common Stock                    | 09/30/2013                           |  | A                              |   | 4,636<br>(1) \$ 0   | D  |                                   |
| Common Stock                    | 09/30/2013                           |  | F                              |   | 1,742<br>(2) \$ 44.25   | D  |                                   |
| Common Stock                    | 10/01/2013                           |  | M                              |   | 27,500 A \$ 27.99   | D  |                                   |
| Common Stock                    | 10/01/2013                           |  | S <sup>(3)</sup>               |   | 20,000 D \$ 45.752  | D  |                                   |
| Common Stock                    | 10/01/2013                           |  | S <sup>(3)</sup>               |   | 7,500 D \$ 45.9   | D  |                                   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |                 |              |        |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-----------------|--------------|--------|
|  |  |                                      |  | Code                           | V   | (A)  | (D)   | Date Exercisable           | Expiration Date | Title        |        |
| Employee Stock Options (Right to Buy)      | \$ 27.99   | 10/01/2013                           |  | M                              |   | 27,500   |   | (4)                        | 01/24/2018(5)   | Common Stock | 27,500 |

## Reporting Owners

| Reporting Owner Name / Address                           | Relationships                          |
|--|--|
| Barber Kevin D<br>1251 MCKAY DRIVE<br>SAN JOSE, CA 95131 | Director   10% Owner   Officer   Other |
|  | SVP & GM, Handheld Products            |

## Signatures

Kermit Nolan, as attorney-in-fact  
10/02/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares released on September 30, 2013 in connection with the vesting of performance-based market stock units granted on November 15, 2012.
  - (2) Represents shares of common stock withheld by the Issuer to satisfy certain tax withholding obligations associated with the vesting of performance-based market stock units.
  - (3) The shares were sold pursuant to a 10b5-1 Sales Plan dated August 31, 2013.

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- 25% of the total number of shares subject to the option vested and became exercisable on the twelve month anniversary of the January 24,
- (4) 2011 date of grant, and 1/48th of the total number of shares subject to the option vested or shall vest and became or shall become exercisable on the 24th day of each month thereafter.
  - (5) The expiration date was previously reported incorrectly as 1/24/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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