

HOME BANCSHARES INC
Form 4
March 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 966
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/13/2012

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman

CONWAY, AR 72033

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Common Stock | 12/13/2012 | | I | 4.704 ⁽¹⁾ | A 16.74 ₍₂₎ | 4.704 | I By 401(k) |
| Common Stock | 12/13/2012 | | I | 15.22 ⁽¹⁾ | A 16.74 ₍₂₎ | 19.924 | I By 401(k) |
| Common Stock | 12/13/2012 | | I | 0.014 ⁽¹⁾ | A 16.43 ₍₂₎ | 19.938 | I By 401(k) |
| Common Stock | 12/14/2012 | | I | 3,620.636 ⁽¹⁾ | A \$ 16.08 | 3,640.574 | I By 401(k) |

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| Common Stock | 12/14/2012 | I | 1,118.97 <u>(1)</u> | A | \$ <u>(2)</u> 16.08 | 4,759.544 | I | By 401(k) |
|------------------------------|------------|---|-------------------------|---|---------------------------|---|---|-------------------------|
| Common Stock | 12/14/2012 | I | 3.304 <u>(1)</u> | A | \$ <u>(2)</u> 16.07 | 4,762.848 | I | By 401(k) |
| Common Stock | 12/17/2012 | I | 1,612.062 <u>(1)</u> | A | \$ <u>(2)</u> 16.44 | 6,374.91 | I | By 401(k) |
| Common Stock | 12/17/2012 | I | 498.214 <u>(1)</u> | A | \$ <u>(2)</u> 16.44 | 6,873.124 | I | By 401(k) |
| Common Stock | 12/17/2012 | I | 1.47 <u>(1)</u> | A | \$ <u>(2)</u> 16.44 | 6,874.594 | I | By 401(k) |
| Common Stock | 12/19/2012 | I | 0.346 <u>(1)</u> | A | \$ <u>(2)</u> 16.71 | 6,874.94 | I | By 401(k) |
| Common Stock | 12/19/2012 | I | 0.106 <u>(1)</u> | A | \$ <u>(2)</u> 16.79 | 6,666.109 <u>(3)</u> | I | By 401(k) |
| Common Stock | | | | | | 0 <u>(4)</u> | I | By son |
| Common Stock | | | | | | 3,738,271 <u>(5)</u> | D | |
| Common Stock | | | | | | 8,136 | I | By IRA |
| Common Stock | | | | | | 427,680 | I | By wife |
| Common Stock | | | | | | 33,664 | I | By Capital Buyers |
| Common Stock - Restricted | | | | | | 41,333 <u>(5)</u> <u>(6)</u> <u>(7)</u> <u>(8)</u> | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 3.08 | | | | | <u>(9)</u> | 12/31/2014 | Common Stock | 2,851 |
| Stock Option | \$ 3.5 | | | | | <u>(10)</u> | 12/31/2015 | Common Stock | 1,425 |
| Stock Option | \$ 3.92 | | | | | <u>(11)</u> | 12/31/2016 | Common Stock | 2,138 |
| Stock Option | \$ 4.21 | | | | | <u>(12)</u> | 12/31/2017 | Common Stock | 2,852 |
| Stock Option | \$ 4.78 | | | | | <u>(13)</u> | <u>(13)</u> | Common Stock | 17,276 |
| Stock Option | \$ 4.92 | | | | | <u>(14)</u> | 12/31/2018 | Common Stock | 3,564 |
| Stock Option | \$ 5.33 | | | | | <u>(15)</u> | 12/31/2019 | Common Stock | 3,564 |
| Stock Option | \$ 5.33 | | | | | 07/27/2005 | 07/27/2015 | Common Stock | 178,200 |
| Performance Stock Option | \$ 5.54 | | | | | 01/01/2010 | 03/13/2016 | Common Stock | 88,957 |
| Stock Option | \$ 8.54 | | | | | <u>(16)</u> | 01/17/2018 | Common Stock | 35,640 |
| Stock Option | \$ 8.6 | | | | | <u>(17)</u> | 01/09/2018 | Common Stock | 4,752 |
| Stock Option | \$ 10.16 | | | | | <u>(18)</u> | 01/18/2017 | Common Stock | 47,520 |
| Stock Option | \$ 17.25 | | | | | 01/18/2014 | 01/17/2023 | Common Stock | 50,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------|-------|
| | Director | 10% Owner | Officer | Other |
| ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033 | X | | Chairman | |

Signatures

/s/John W. Allison by Rachel
Wesson 03/21/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The share data is reflective of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
 - (2) The stock price per share is reflective of the Company declaring a 2-for-1 stock split to shareholders of record as of May 22, 2013 and made payable June 12, 2013.
 - (3) Includes a net decline of 208,937 shares through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing. This decline is due to a mandatory refund from the Home BancShares, Inc. 401(k) Plan.
 - (4) The reporting person no longer has a beneficial ownership interest in 383,931.6264 shares of Home BancShares common stock owned by his son and included in the reporting person's prior ownership reports.
 - (5) The reporting person had 2,428 shares vest since the last filing.
 - (6) Restricted Stock granted on February 2, 2011 will vest in 33 1/3% installments over three years each February 2nd.
 - (7) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
 - (8) Restricted Stock granted on January 17, 2014 will "cliff" vest 100% three years from award date.
 - (9) The option is exercisable in five equal annual installments and became exercisable on December 31, 2004.
 - (10) The option is exercisable in five equal annual installments and became exercisable on December 31, 2005.
 - (11) The option is exercisable in five equal annual installments and became exercisable on December 31, 2006.
 - (12) The option is exercisable in five equal annual installments and became exercisable on December 31, 2007.
 - (13) 3,456 options have an expiration date of December 31, 2014. The remaining 13,820 options vested on January 1, 2005 with an expiration date of January 1, 2015.
 - (14) The option is exercisable in five equal annual installments and became exercisable on December 31, 2004.
 - (15) The option is exercisable in five equal annual installments and became exercisable on December 31, 2005.
 - (16) The option became exercisable in five equal annual installments beginning on January 18, 2009.
 - (17) The option became exercisable in five equal annual installments beginning on January 10, 2009.
 - (18) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.