### Edgar Filing: ATHENAHEALTH INC - Form 3

#### ATHENAHEALTH INC

Form 3 May 08, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

response...

**OMB** 3235-0104 Number:

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

**SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ATHENAHEALTH INC [ATHN] Stubelis Karl (Month/Day/Year) 05/01/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 311 ARSENAL STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person WATERTOWN, MAÂ 02472 (give title below) (specify below) Form filed by More than One Chief Financial Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form:

(Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Expiration Exercisable Date	(Instr. 4)  Amount or Title Number of Shares		Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

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Common \$ (2) Restricted Stock Unit  $\hat{A}^{(1)}$ 09/03/2017 5,500 D Â Common  $\hat{A}^{(3)}$ Â \$ (2) Restricted Stock Unit 03/01/2018 1,085 D Stock

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Stubelis Karl
311 ARSENAL STREET Â Â Â Chief Financial Officer Â

WATERTOWN, MAÂ 02472

## **Signatures**

/s/ Daniel H. Orenstein Attorney-in-Fact 05/08/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On November 1, 2013, the Reporting Person was granted 5,500 restricted stock units. The restricted stock units vest in four equal annual installments beginning on September 3, 2014.
- (2) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
- (3) On March 3, 2014, the Reporting Person was granted 1,085 restricted stock units. The restricted stock units vest in four equal annual installments beginning on March 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2