

Hortonworks, Inc.
Form 3
December 11, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â SPURLOCK STEVEN M		(Month/Day/Year)	Hortonworks, Inc. [HDP]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
2965 WOODSIDE ROAD		12/11/2014		
(Street)			(Check all applicable)	
WOODSIDE,Â CAÂ 94062			<input type="checkbox"/> Director	<input checked="" type="checkbox"/> 10% Owner
(City)	(State)	(Zip)	<input type="checkbox"/> Officer	<input type="checkbox"/> Other
			(give title below) (specify below)	
			6. Individual or Joint/Group Filing(Check Applicable Line)	
			<input type="checkbox"/> Form filed by One Reporting Person	
			<input checked="" type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date			
		Title	Amount or Number of Shares		

Series A Convertible Preferred Stock	Â (1)	Â (1)	Common	5,879,356	\$ (1)	I	By Benchmark Capital Partners VII, L.P. (2)
Series C Convertible Preferred Stock	Â (1)	Â (1)	Common	261,050	\$ (1)	I	By Benchmark Capital Partners VII, L.P. (2)
Series D Convertible Preferred Stock	Â (1)	Â (1)	Common	196,397	\$ (1)	I	By Benchmark Capital Partners VI, L.P. (3)
Series D Convertible Preferred Stock	Â (1)	Â (1)	Common	196,397	\$ (1)	I	By Benchmark Capital Partners VII, L.P. (2)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SPURLOCK STEVEN M 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
BALKANSKI ALEXANDRE 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
Cohler Matt 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
DUNLEVIE BRUCE 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
GURLEY J WILLIAM 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
HARVEY KEVIN 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
KAGLE ROBERT 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â
LASKY MITCHELL 2965 WOODSIDE ROAD WOODSIDE, CA 94062	Â	Â X	Â	Â

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(except to the extent of such person's or entity's pecuniary interest in such securities).

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Remarks:

This report is one of four reports, each on a separate Form 3, but relating to the same transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.