

GREATBATCH, INC.
Form 4
January 07, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Arellano Mauricio

(Last) (First) (Middle)
10000 WEHRLE DRIVE
(Street)

CLARENCE, NY 14031

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GREATBATCH, INC. [GB]

3. Date of Earliest Transaction (Month/Day/Year)
01/05/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

EVP, Global Operations

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	01/05/2015		M	V	7,668 A \$ 24.15	11,496	D
Common Stock	01/05/2015		M	V	5,595 A \$ 22.1	17,091	D
Common Stock	01/05/2015		F ⁽¹⁾	D	9,364 D \$ 48.64	7,727	D
Common Stock	01/05/2015		S ⁽¹⁾	D	3,899 D \$ 48.64	3,828	D
Common Stock	01/06/2015		M	V	3,073 A \$ 22.1	6,901	D

Edgar Filing: GREATBATCH, INC. - Form 4

Common Stock	01/06/2015	M	8,612	A	\$ 23.24	15,513	D	
Common Stock	01/06/2015	F ⁽¹⁾	8,211	D	\$ 48.52	7,302	D	
Common Stock	01/06/2015	S ⁽¹⁾	3,474	D	\$ 48.52	3,828	D	
Common Stock						3,348	I	By 401(k)
Common Stock						13,542	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Am or Nur of S	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Am or Nur of S
Stock Options	\$ 23.24	01/06/2015		M	8,612	01/03/2014	12/31/2022	Common	8,
Stock Options	\$ 22.1	01/05/2015		M	5,595	12/28/2012	01/01/2022	Common	5,
Stock Options	\$ 22.1	01/06/2015		M	3,073	12/28/2012	01/01/2022	Common	3,
Stock Option	\$ 24.15	01/05/2015		M	7,668	12/30/2011	01/01/2021	Common	7,
Stock Option	\$ 48.68	01/05/2015		A	18,051	01/01/2016 ⁽²⁾	01/05/2025	Common	18

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Arellano Mauricio
10000 WEHRLE DRIVE
CLARENCE, NY 14031

EVP, Global Operations

Signatures

/s/ Christopher J. Thome as attorney-in-fact for Mauricio
Arellano

01/07/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported in this form was effected pursuant to a 10b5-1 trading plan adopted by the reporting person on November 25, 2014
 - (2) Grant of non-qualified options which will vest in three equal annual installments on the last day of the company's fiscal year, beginning in 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.