

Griffin Deborah A
Form 3
January 02, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | |
|--|---|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Griffin Deborah A</p> <p>(Last) (First) (Middle)</p> <p>C/O TEVA PHARMACEUTICAL INDUSTRIES LTD.,Â 5 BASEL STREET</p> <p>(Street)</p> <p>PETACH TIKVA,Â L3Â 4951033</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>01/01/2018</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TEVA PHARMACEUTICAL INDUSTRIES LTD [TEVA]</p> <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Chief Accounting Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|--|---|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| | | | |
|--|--|---|--|
| <p>1. Title of Security (Instr. 4)</p> | <p>2. Amount of Securities Beneficially Owned (Instr. 4)</p> | <p>3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)</p> | <p>4. Nature of Indirect Beneficial Ownership (Instr. 5)</p> |
|--|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | | | | | |
|---|---|--|--|--|--|
| <p>1. Title of Derivative Security (Instr. 4)</p> | <p>2. Date Exercisable and Expiration Date (Month/Day/Year)</p> | <p>3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)</p> | <p>4. Conversion or Exercise Price of Derivative</p> | <p>5. Ownership Form of Derivative Security:</p> | <p>6. Nature of Indirect Beneficial Ownership (Instr. 5)</p> |
|---|---|--|--|--|--|

Edgar Filing: Griffin Deborah A - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|------------------------------|------------------|-----------------|----------------------------|----------------------------|----------------|---------------------------------------|---|
| Stock Options (right to buy) | Â <u>(1)</u> | 12/05/2020 | Ordinary Shares <u>(2)</u> | 10,750 | \$ 49.11 | D | Â |
| Stock Options (right to buy) | Â <u>(3)</u> | 02/23/2021 | Ordinary Shares <u>(2)</u> | 6,500 | \$ 50.12 | D | Â |
| Stock Options (right to buy) | Â <u>(4)</u> | 11/06/2021 | Ordinary Shares <u>(2)</u> | 12,668 | \$ 41.72 | D | Â |
| Stock Options (right to buy) | Â <u>(5)</u> | 02/23/2022 | Ordinary Shares <u>(2)</u> | 13,336 | \$ 44.59 | D | Â |
| Stock Options (right to buy) | Â <u>(6)</u> | 12/12/2022 | Ordinary Shares <u>(2)</u> | 12,671 | \$ 38.84 | D | Â |
| Stock Options (right to buy) | Â <u>(7)</u> | 02/23/2023 | Ordinary Shares <u>(2)</u> | 12,669 | \$ 38.08 | D | Â |
| Stock Options (right to buy) | Â <u>(8)</u> | 03/11/2024 | Ordinary Shares <u>(2)</u> | 15,002 | \$ 48.76 | D | Â |
| Stock Options (right to buy) | Â <u>(9)</u> | 03/11/2025 | Ordinary Shares <u>(2)</u> | 20,003 | \$ 60.21 | D | Â |
| Stock Options (right to buy) | Â <u>(10)</u> | 03/16/2026 | Ordinary Shares <u>(2)</u> | 20,004 | \$ 53.5 | D | Â |
| Stock Options (right to buy) | Â <u>(11)</u> | 03/03/2027 | Ordinary Shares <u>(2)</u> | 25,001 | \$ 34.7 | D | Â |
| Stock Options (right to buy) | Â <u>(12)</u> | 09/18/2027 | Ordinary Shares <u>(2)</u> | 14,334 | \$ 16.99 | D | Â |
| Restricted Share Units | Â <u>(13)</u> | Â <u>(13)</u> | Ordinary Shares <u>(2)</u> | 1,905 | \$ <u>(14)</u> | D | Â |
| Restricted Share Units | Â <u>(15)</u> | Â <u>(15)</u> | Ordinary Shares <u>(2)</u> | 2,830 | \$ <u>(14)</u> | D | Â |
| Restricted Share Units | Â <u>(16)</u> | Â <u>(16)</u> | Ordinary Shares <u>(2)</u> | 4,664 | \$ <u>(14)</u> | D | Â |
| Restricted Share Units | Â <u>(17)</u> | Â <u>(17)</u> | Ordinary Shares <u>(2)</u> | 4,752 | \$ <u>(14)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Griffin Deborah A C/O TEVA PHARMACEUTICAL INDUSTRIES LTD. 5 BASEL STREET | Â | Â | Â Chief Accounting Officer | Â |

PETACH TIKVA, L3 4951033

Signatures

/s/ Dov Bergwerk, as attorney-in-fact for Deborah Griffin

01/02/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options were granted on December 6, 2010, with 3,582 having vested on December 6, 2013 and 7,168 having vested on December 6, 2014.
- (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
- (3) Stock options were granted on February 24, 2011, with 1,841 having vested on February 24, 2014 and 4,659 having vested on February 24, 2015.
- (4) Stock options were granted on November 7, 2011, with 4,334 having vested on November 7, 2014 and 8,334 having vested on November 7, 2015.
- (5) Stock options were granted on February 24, 2012, with 6,668 having vested on each of February 24, 2015 and February 24, 2016.
- (6) Stock options were granted on December 13, 2012, with 4,335 having vested on December 13, 2014 and 8,336 having vested on December 13, 2015.
- (7) Stock options were granted on February 24, 2013, with 4,333 having vested on February 24, 2015 and 8,336 having vested on February 24, 2016.
- (8) Stock options were granted on March 12, 2014, with 5,000 having vested on each of March 12, 2015 and March 12, 2016 and 5,002 having vested on March 12, 2017.
- (9) Stock options were granted on March 12, 2015, with 5,000 having vested on each of March 12, 2016 and March 12, 2017, 5,000 vesting on March 12, 2018 and 5,003 vesting on March 12, 2019.
- (10) Stock options were granted on March 17, 2016, with 5,001 having vested on March 17, 2017 and 5,001 vesting on each of March 17, 2018, March 17, 2018 and March 17, 2020.
- (11) Stock options were granted on March 3, 2017, with 6,250 vesting on each of March 3, 2018, March 3, 2019 and March 3, 2020 and 6,251 vesting on March 3, 2021.
- (12) Stock options were granted on September 18, 2017, with 7,167 vesting on each of September 18, 2018 and September 18, 2019.
- (13) Restricted share units were granted on March 12, 2015, with 952 vesting on March 12, 2018 and 953 vesting on March 12, 2019.
- (14) Each restricted share unit represents a contingent right to receive, at settlement, one ordinary share or, at the option of the Human Resources and Compensation Committee, the cash value of one ordinary share.
- (15) Restricted share units were granted on March 17, 2016, with 943 vesting on each of March 17, 2018 and March 17, 2019 and 944 vesting on March 17, 2020.
- (16) Restricted share units were granted on March 3, 2017, with 1,166 vesting on each of March 3, 2018, March 3, 2019, March 3, 2020 and March 3, 2021.
- (17) Restricted share units were granted on September 18, 2017, with 2,376 vesting on each of September 18, 2018 and September 18, 2019.

Â

Remarks:

The Reporting Person is filing this form in connection with the Issuer's transition from a foreign p

Exhibit List

Â Â Â Â Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Edgar Filing: Griffin Deborah A - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.