Bristow Claire H Form 4 March 21, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bristow Claire H Issuer Symbol FIRST CITIZENS BANCSHARES (Check all applicable) INC /DE/ [FCNCA] (Last) (First) (Middle) 3. Date of Earliest Transaction Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below) 4300 SIX FORKS ROAD 01/17/2018 (Street) 6. Individual or Joint/Group Filing(Check 4. If Amendment, Date Original Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### RALEIGH, NC 27609

								1 010011		
(City)	(State)	(Zip) Tabl	e I - No	n-D	erivative	Secur	ities A	cquired, Dispose	d of, or Benefi	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common			Code	V	Amount	or (D)	Price	(Instr. 3 and 4) 255,606	D	
Class A Common								10,858	I	As beneficiary of Trust
Class A Common	01/17/2018		G	V	75	A	\$0	6,737 (1)	I	By Spouse
Class A Common	01/17/2018		G	V	75	A	\$0	75 <u>(1)</u>	I	By Son, Peter M. Bristow, Jr.
	02/20/2018		G	V	1,700	A	\$0	1,775 <u>(1)</u>	I	

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Class A Common									By Son, Peter M. Bristow, Jr.
Class A Common							10,850 (1)	I	By spouse as custodian for Peter Bristow, Jr.
Class A Common	01/17/2018	G	V	7 75	A	\$0	10,833 (1)	I	By spouse as custodian for Charlotte Bristow
Class A Common	02/20/2018	G	V	7 1,700	A	\$ 0	12,533 (1)	I	By spouse as custodian for Charlotte Bristow
Class A Common	01/17/2018	G	V	7 75	A	\$ 0	10,677 (1)	I	By spouse as custodian for Ella Bristow
Class A Common	02/20/2018	G	V	1,700	A	\$ 0	12,377 (1)	I	By spouse as custodian for Ella Bristow
Class A Common							6,106 <u>(1)</u>	I	PMB Investments, LLC
Class A Common							2,154 <u>(1)</u>	I	CRB Investments, LLC
Class A Common							2,045 (1)	I	EHB Investments, LLC
Class A Common							19,041 (1)	I	By spouse as trustee for PMB Trust 2011
Class A Common							19,041 (1)	I	By spouse as trustee for CRB Trust 2011
Class A Common							19,041 (1)	I	By spouse as trustee for EHB Trust 2011
Class B Common	01/22/2018	G	V	80	A	\$ 0	82,996	D	

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Class B Common	02/05/2018	G	V	116	A	\$ 0	83,112	D	
Class B Common							1,250	I	As beneficiary of Trust
Class B Common							438 (1)	I	By spouse
Class B Common							2,080 (1)	I	By spouse as custodian for Peter Bristow, Jr.
Class B Common							8,350 <u>(1)</u>	I	PMB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Charlotte Bristow
Class B Common							8,850 <u>(1)</u>	I	CRB Investments, LLC
Class B Common							2,081 (1)	I	By spouse as custodian for Ella Bristow
Class B Common							8,710 <u>(1)</u>	I	EHB Investments, LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	re	Securities	(Instr. 5)	Bene
	Derivative				Securities	S	(Instr. 3 and 4)		Own
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4, and 5)				

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Date Expiration Or Number Of Shares

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bristow Claire H

4300 SIX FORKS ROAD

RALEIGH, NC 27609

# **Signatures**

Claire H. Bristow, By: William R. Lathan, Jr.,
Attorney-in-fact
03/19/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the (1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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