## Edgar Filing: CAPPS VICKIE L - Form 4

CAPPS VICKIE L Form 4										
June 20, 2018										
FORM 4			CECU					T	PPROVAL	
	UNITED	STATES		RITIES A shington			E COMMISSIO	N OMB Number:	3235-0287	
Check this box if no longer									January 31, 2005	
subject to Section 16. SECURITIES Form 4 or						WNERSHIP OF	Estimated burden hou response	average Irs per		
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940										
(Print or Type Respons	ses)									
1. Name and Address of Reporting Person <u>*</u> CAPPS VICKIE L			2. Issuer Name <b>and</b> Ticker or Trading Symbol OTONOMY, INC. [OTIC]				5. Relationship of Reporting Person(s) to Issuer			
				of Earliest T	-	-	(Check all applicable)			
C/O OTONOMY, INC., 4796 EXECUTIVE DRIVE			(Month/Day/Year) 06/19/2018			X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
SAN DIEGO, CA	92121						Form filed by Person	More than One R	eporting	
(City) (St	tate)	(Zip)	Tab	le I - Non-J	Derivativo	e Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/D		Date, if TransactionAcquired (A) or Code Disposed of (D)		l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Benetted	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	<ul><li>(A)</li><li>or</li><li>(D) Price</li></ul>	Reported Transaction(s) (Instr. 3 and 4)			
Reminder: Report on a	separate line	for each cla	ass of sec	urities bene	ficially ov	uned directly	or indirectly.			
					infor requi	mation con ired to resp ays a curre	spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8	or D (D)	uired (A visposed tr. 3, 4, 5)						(
			Code	V (A	A) (	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (right to buy)	\$ 4.45	06/19/2018	A	17,	500		(1)	06/18/2028	Common Stock	17,500	

## **Reporting Owners**

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
CAPPS VICKIE L C/O OTONOMY, INC. 4796 EXECUTIVE DRIVE SAN DIEGO, CA 92121	Х			
Signatures				
/s/ Paul E. Cayer, by power of attorney		06/20/2	018	

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 100% of the shares subject to the option shall vest upon the date of the Issuer's next annual meeting of stockholders occurring after the grant date, provided that the Reporting Person remains a service provider through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.