

BRYANT HOPE HOLDING

Form 4

July 03, 2018

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box
 if no longer
 subject to
 Section 16.
 Form 4 or
 Form 5
 obligations
 may continue.
See Instruction
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
 SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRYANT HOPE HOLDING

2. Issuer Name **and** Ticker or Trading
 Symbol
**FIRST CITIZENS BANCSHARES
 INC /DE/ [FCNCA]**

5. Relationship of Reporting Person(s) to
 Issuer

(Check all applicable)

(Last) (First) (Middle)
4300 SIX FORKS ROAD
 (Street)

3. Date of Earliest Transaction
 (Month/Day/Year)
05/22/2018

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
 Vice Chairman

RALEIGH, NC 27609

4. If Amendment, Date Original
 Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
 Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
 Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock					272,572	D	
Class A Common Stock					10,772	I	As beneficiary of Trust
Class A Common Stock					20,644	I ⁽¹⁾	As custodian for Hewlette
Class A Common					18,143	I ⁽¹⁾	As custodian for John

Edgar Filing: BRYANT HOPE HOLDING - Form 4

Stock								Patrick
Class A Common Stock					17,889	I <u>(1)</u>		As custodian for Elliot
Class A Common Stock					80	I <u>(1)</u>		By Hewlette Collier Connell
Class A Common Stock					80	I <u>(1)</u>		By John Patrick Connell
Class A Common Stock					80	I <u>(1)</u>		By Samuel Hunter Bryant
Class A Common Stock					1,990	I <u>(1)</u>		John Connell as Custodian for Hewlette
Class A Common Stock	05/22/2018		G	V	475	A	\$ 0 5,325	I <u>(1)</u> John Connell as Custodian for John Patrick
Class A Common Stock					4,495	I <u>(1)</u>		By John Connell as custodian for Elliot Connell
Class A Common Stock					827	I <u>(2)</u>		By E&F Properties
Class A Common Stock					12,530	I <u>(2)</u>		By Twin States Farming, Inc.
Class A Common Stock					204,563	I <u>(2)</u>		By Southern BancShares (N.C.), Inc. and subsidiary
Class A Common Stock					100,000	I <u>(2)</u>		By Fidelity BancShares (N.C.), Inc.
Class B Common Stock					101,269	D		
Class B Common					1,225	I		As beneficiary

Edgar Filing: BRYANT HOPE HOLDING - Form 4

Stock			of Trust
Class B Common Stock	1,455	I <u>(1)</u>	As custodian for Hewlette
Class B Common Stock	6,791	I <u>(1)</u>	By Hewlette Collier Connell
Class B Common Stock	1,330	I <u>(1)</u>	As custodian for John Patrick
Class B Common Stock	5,541	I <u>(1)</u>	By John Patrick Connell
Class B Common Stock	7,070	I <u>(1)</u>	As custodian for Elliot
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for Hewlette
Class B Common Stock	323	I <u>(1)</u>	John Connell as custodian for John Patrick
Class B Common Stock	100	I <u>(1)</u>	John Connell as custodian for Elliot
Class B Common Stock	200	I <u>(2)</u>	By E&F Properties, Inc.
Class B Common Stock	1,355	I <u>(2)</u>	By Twin States Farming, Inc.
Class B Common Stock	22,619	I <u>(2)</u>	By Southern BancShares (N.C.), Inc. and subsidiary

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Edgar Filing: BRYANT HOPE HOLDING - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRYANT HOPE HOLDING 4300 SIX FORKS ROAD RALEIGH, NC 27609	X	X	Vice Chairman	

Signatures

Hope H. Bryant, By: William R. Lathan, Jr.,
Attorney-in-fact

07/03/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the
(1) reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

The reporting person is a director, officer and/or shareholder of the companies that own these shares, but she disclaims beneficial
(2) ownership of the listed shares except to the extent of her pecuniary interest therein, if any, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act of 1934 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.