Cancelmi Daniel J Form 4 March 04, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Expires: January 31, 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Cancelmi Daniel J			Symbol	Issuer			
			TENET HEALTHCARE CORP [THC]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% Owner X Officer (give title Other (specify below)			
1445 ROSS AVENUE, SUITE 1400			02/28/2019	Chief Financial Officer			

2. Issuer Name and Ticker or Trading

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting Person

5. Relationship of Reporting Person(s) to

### DALLAS, TX 75202

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative	Secur	ities Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3,	sposed	of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock (1)	02/28/2019		M	12,405	A	( <u>2</u> )	231,454	D	
Common Stock	02/28/2019		F	3,073 (3)	D	\$ 28.58	228,381	D	
Common Stock (4)	03/01/2019		M	13,458	A	<u>(2)</u>	241,839	D	
Common Stock	03/01/2019		F	3,278 (3)	D	\$ 29.39	238,561	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Expiration Date Expiration Date (Month/Day/Year)  Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
2018 February Restricted Stock Units	(1)	02/28/2019		M	12,405	<u>(1)</u>	<u>(1)</u>	Common Stock (5)	12,405	
2017 March Restricted Stock Units	<u>(4)</u>	03/01/2019		M	13,458	<u>(4)</u>	<u>(4)</u>	Common Stock (5)	13,458	

# **Reporting Owners**

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	

Cancelmi Daniel J 1445 ROSS AVENUE SUITE 1400 DALLAS, TX 75202

Chief Financial Officer

## **Signatures**

Anthony L. Shoemaker, as Attorney-in-Fact for Daniel J.
Cancelmi

03/04/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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As previously reported, on February 28, 2018, the reporting person received a grant of 37,217 time-based restricted stock units that vest in one-third increments on each of the first, second and third anniversaries of the date of grant. The first anniversary occurred on February 28, 2019, resulting in the vesting and settlement of 12,405 shares of common stock, as shown in Table I. The remaining 24,812 restricted stock units are shown in Table II, of which 12,406 are scheduled to vest on each of February 28, 2020 and February 28, 2021.

- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) Shares withheld for payment of taxes upon vesting of restricted stock units in accordance with Rule 16b-3.
  - As previously reported, on March 1, 2017, the reporting person received a grant of 40,373 time-based restricted stock units that vest in one-third increments on each of the first, second and third anniversaries of the date of grant. The first anniversary occurred on March 1,
- (4) 2018, resulting in the vesting and settlement of 13,457 shares of common stock. The second anniversary occurred on March 1, 2019, resulting in the vesting and settlement of 13,458 shares of common stock, as shown in Table I. The remaining 13,458 restricted stock units are shown in Table II and are scheduled to vest on March 1, 2020.
- (5) Time-based restricted stock units are settled in shares of the Company's common stock upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.