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TRI-CONTINENTAL CORP

Form NSAR-A

August 26, 2015

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000 A000000 06/30/2015
000 C000000 0000099614
000 D000000 N
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
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007 C010100 1
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
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008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
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010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
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012 B000001 84-05685
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012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
PAGE 2
014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10005

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015 A000002 AB SEB BANKAS
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015 C010002 VILNIUS
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015 E040005 X
015 A000006 BANCO SANTANDER CHILE
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
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015 C010007 WARSAW
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
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015 C010008 TEL AVIV
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 C010013 HESPERANGE
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015 A000014 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 A000026 DEUTSCHE BANK AG

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015 B000026 S
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015 A000029 FIRSTRAND BANK LIMITED
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015 C010029 JOHANNESBURG
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015 A000030 HSBC BANK (CHINA) COMPANY LIMITED
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015 A000031 HSBC BANK (VIETNAM) LTD.

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015 C010038 AMMAN
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015 A000049 JPMORGAN CHASE BANK, N.A.
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015 C010049 QUARRY BAY
015 D010049 HONG KONG
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015 A000050 JPMORGAN CHASE BANK, N.A.
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015 C010050 MUMBAI

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015 D010050 INDIA
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015 B000051 S
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015 D010058 NORWAY
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PAGE 8
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015 A000062 ROYAL BANK OF CANADA
015 B000062 S
015 C010062 TORONTO

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015 D010062 CANADA
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015 A000063 SANTANDER SECURITIIES SERVICES, S.A.
015 B000063 S
015 C010063 MADRID
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015 B000064 S
015 C010064 ALMATY
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015 A000065 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000065 S
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015 A000066 STANBIC BANK TANZANIA LIMITED
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015 C010066 DAR ES SALAAM
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015 A000068 STANBIC IBTC BANK PLC
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015 A000070 STANDARD BANK NAMIBIA LIMITED
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015 D010070 NAMIBIA
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015 D010071 JORDAN
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PAGE 9
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015 D010072 BANGLADESH
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015 A000073 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000073 S
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015 A000074 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 D010074 THAILAND

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015 A000075 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000075 S
015 C010075 GABORONE
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015 B000076 S
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015 D010076 IVORY COAST
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015 A000077 STANDARD CHARTERED BANK GHANA LIMITED
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015 A000084 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000084 S
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015 D010084 JAPAN
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015 A000085 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000085 S
015 C010085 EBENE
015 D010085 MAURITIUS
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 TAGUIG CITY
015 D010086 PHILIPPINES
015 E040086 X

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015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 COLOMBO
015 D010087 SRI LANKA
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015 C010088 ZURICH
015 D010088 SWITZERLAND
015 E040088 X
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015 D010089 AUSTRIA
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015 D010093 SLOVENIA
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015 A000094 JPMORGAN CHASE BANK, N.A.
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015 D010094 CHINA
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020 B000001 13-5108880
020 C000001 52
020 A000002 RBC CAPITAL MARKETS CORPORATION
020 B000002 13-5033225
020 C000002 26
020 A000003 CREDIT SUISSE SECURITIES (USA) L.L.C.

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020 B000003 13-5659485
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020 A000008 J.P. MORGAN SECURITIES, INC.
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022 A000006 J.P. MORGAN SECURITIES, INC.
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070 J010000 Y

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| | | | |
|-------|---------|----|---------|
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | Y | |
| 070 | Q010000 | Y | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 570351 |
| 071 | B000000 | | 587456 |
| 071 | C000000 | | 1537734 |
| 071 | D000000 | | 37 |
| 072 | A000000 | | 6 |
| 072 | B000000 | | 9611 |
| 072 | C000000 | | 18439 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2758 |
| 072 | G000000 | | 426 |
| 072 | H000000 | | 0 |
| | PAGE | 17 | |
| 072 | I000000 | | 291 |
| 072 | J000000 | | 11 |
| 072 | K000000 | | 46 |
| 072 | L000000 | | 0 |
| 072 | M000000 | | 31 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 20 |
| 072 | S000000 | | 23 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 39 |
| 072 | W000000 | | 148 |
| 072 | X000000 | | 3793 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 24257 |
| 072AA | 000000 | | 81507 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 0 |
| 072CC | 020000 | | 75402 |
| 072DD | 010000 | | 24476 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.4060 |
| 073 | A020000 | | 0.0000 |
| 073 | B000000 | | 0.0000 |
| 073 | C000000 | | 0.0000 |
| 074 | A000000 | | 0 |
| 074 | B000000 | | 0 |
| 074 | C000000 | | 0 |

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| | | |
|-----|---------|---------|
| 074 | D000000 | 347713 |
| 074 | E000000 | 152163 |
| 074 | F000000 | 998328 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 23123 |
| 074 | J000000 | 14192 |
| 074 | K000000 | 0 |
| 074 | L000000 | 6923 |
| 074 | M000000 | 44 |
| 074 | N000000 | 1542486 |
| 074 | O000000 | 7609 |
| 074 | P000000 | 121 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 1344 |
| 074 | S000000 | 37637 |
| | PAGE | 18 |
| 074 | T000000 | 1495775 |
| 074 | U010000 | 60095 |
| 074 | U020000 | 0 |
| 074 | V010000 | 24.89 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 14590 |
| 074 | Y000000 | 463 |
| 075 | A000000 | 0 |
| 075 | B000000 | 1519682 |
| 076 | 000000 | 21.19 |
| 077 | A000000 | Y |
| 077 | B000000 | N |
| 077 | C000000 | Y |
| 077 | D000000 | N |
| 077 | E000000 | Y |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 55 |
| 086 | A020000 | 1188 |
| 086 | B010000 | 1322 |
| 086 | B020000 | 28663 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |

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086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
PAGE 19
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: August 20, 2015

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION