

LOCK ANDREW J
Form 4
July 20, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LOCK ANDREW J

(Last) (First) (Middle)

855 EAST MAIN AVENUE, P.O. BOX 302

(Street)

ZEELAND, MI 49464

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

MILLER HERMAN INC [MLHR]

3. Date of Earliest Transaction (Month/Day/Year)

07/18/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)

President - Herman Miller Intl

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/18/2018		M	A	\$ 31.86 22,668	D	
Common Stock	07/18/2018		S	D	\$ 37.9786 15,238 <u>(1)</u>	D	
Common Stock	07/18/2018		S	D	\$ 37.9286 0 <u>(2)</u>	D	
Common Stock	07/18/2018		M	A	\$ 31.86 9,084	D	
	07/18/2018		S	D	0	D	

Edgar Filing: LOCK ANDREW J - Form 4

Common Stock						\$ 37.9786				
						<u>(1)</u>				
Common Stock	07/19/2018		M	5,537	A	\$ 33.75	5,537		D	
Common Stock	07/19/2018		S	5,537	D	\$ 38.0635	0		D	
						<u>(3)</u>				
Common Stock	07/19/2018		M	7,430	A	\$ 31.86	7,430		D	
Common Stock	07/19/2018		S	7,430	D	\$ 38.0635	0		D	
						<u>(3)</u>				
Common Stock	07/19/2018		M	9,083	A	\$ 31.86	9,083		D	
Common Stock	07/19/2018		S	9,083	D	\$ 38.0635	0		D	
						<u>(3)</u>				
Common Stock							4.834		I	by profit share plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Non-Qualified Stock Option (right to buy)	\$ 31.86	07/18/2018		M	7,430	<u>(4)</u>	07/19/2026	Common Stock	7,430
	\$ 31.86	07/18/2018		M	9,084	<u>(4)</u>	07/19/2026		9,084

Non-Qualified Stock Option (right to buy)							Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 31.86	07/19/2018	M	7,430	(4)	07/19/2026	Common Stock	7,43
Non-Qualified Stock Option (right to buy)	\$ 31.86	07/19/2018	M	9,083	(4)	07/19/2026	Common Stock	9,08
Non-Qualified Stock Option (right to buy)	\$ 33.75	07/19/2018	M	5,537	(4)	07/18/2027	Common Stock	5,53

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LOCK ANDREW J 855 EAST MAIN AVENUE P.O. BOX 302 ZEELAND, MI 49464			President - Herman Miller Intl	

Signatures

By: Angela M. Shamery For: Andrew J.
Lock 07/20/2018

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares sold in several lots with prices ranging from \$37.95 through \$38.10. The reporting person undertakes to provide to the company,
(1) any security holder of the company or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

Shares sold in several lots with prices ranging from \$37.65 through \$38.175. The reporting person undertakes to provide to the company,
(2) any security holder of the company or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

Shares sold in several lots with prices ranging from \$38.025 through \$38.125. The reporting person undertakes to provide to the
(3) company, any security holder of the company or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the range set forth in this footnote.

(4) This grant vests in three equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.