HD Supply Holdings, Inc. Form 4

December 03, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ad STEGEMAN	•	ting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			HD Supply Holdings, Inc. [HDS]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
3100 CUMBERLAND BLVD, SUITE 1700		LVD,	(Month/Day/Year) 12/01/2014	Director 10% Owner Officer (give title Other (specify below) President, HD Supply White Cap			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
ATLANTA, GA 30339			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of, or Beneficially Owned			

(,)	()	Table	e I - Non-De	erivative S	Secur	ities Acqu	nrea, Disposea of	, or Beneficiali	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ransaction Date 2A. Deemed nth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Securities C Beneficially F Owned (I Following In	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	12/01/2014		M(1)	4,238	A	\$ 8.3	32,600	D	
Common Stock	12/01/2014		S <u>(1)</u>	4,238 (3)	D	\$ 28.54	28,362	D	
Common Stock	12/02/2014		M(1)	4,200	A	\$ 8.3	32,562	D	
Common Stock	12/02/2014		S(1)	4,200 (5)	D	\$ 29	28,362	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 8.3	12/01/2014		M <u>(1)</u>		4,238	(2)	06/07/2020	Common Stock	4,238
Stock Options (Right to Buy)	\$ 8.3	12/02/2014		M(1)		4,200	<u>(4)</u>	06/07/2020	Common Stock	4,200

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
STEGEMAN JOHN 3100 CUMBERLAND BLVD, SUITE 1700 ATLANTA, GA 30339			President, HD Supply White Cap					

### **Signatures**

James F. Brumsey, Attorney-in-Fact for John A.
Stegeman
12/03/2014

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.

**(2)** 

Reporting Owners 2

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These options were granted on June 8, 2010 and scheduled to vest in five annual installments. 95,115 of these options are currently vested and exercisable. The remaining 43,334 of these options will vest on June 8, 2015, subject to continued employment with the Company.

- This transaction was executed in multiple trades at prices ranging from \$28.36 to \$29. The price reported above reflects the weighted (3) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) These options were granted on June 8, 2010 and scheduled to vest in five annual installments. 90,877 of these options are currently vested and exercisable. The remaining 43,334 of these options will vest on June 8, 2015, subject to continued employment with the Company.
- This transaction was executed in multiple trades at prices ranging from \$29 to \$29.05. The price reported above reflects the weighted (5) average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.