

COCCIO CHRISTOPHER L
Form 4
November 13, 2012

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
COCCIO CHRISTOPHER L

2. Issuer Name and Ticker or Trading Symbol
SONO TEK CORP [sotk]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
29 WATSON AVENUE

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/08/2012

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

MILTON, NY 12547

(City) (State) (Zip)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
				(A) or (D)	Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	Disposed of (D)		Date Exercisable	Expiration Date	Title
				(A)	(D)			
Options	\$ 0.95	11/08/2012	J ⁽¹⁾		20,000	05/19/2004 ⁽²⁾	05/17/2014	Sonote Comm Stock
OPTIONS	\$ 1.07	11/08/2012	J ⁽¹⁾		225,000 ⁽¹⁾	11/12/2004 ⁽²⁾	11/10/2014	SONOTE COMM STOCK
OPTIONS	\$ 0.74	11/08/2012	J ⁽¹⁾		91,893 ⁽¹⁾	11/12/2004 ⁽²⁾	11/10/2014	SONOTE COMM STOCK
OPTIONS	\$ 1	11/08/2012	J ⁽¹⁾		100,000 ⁽¹⁾	11/12/2004 ⁽²⁾	11/10/2014	SONOTE COMM STOCK
OPTIONS	\$ 0.74	11/08/2012	J ⁽¹⁾		50,000 ⁽¹⁾	03/05/2008 ⁽²⁾	03/03/2018	SONOTE COMM STOCK
OPTIONS	\$ 0.61	11/08/2012	A		486,893	11/08/2012 ⁽³⁾	11/06/2022	SONOTE COMM STOCK

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
COCCIO CHRISTOPHER L 29 WATSON AVENUE MILTON, NY 12547	X		CEO	

Signatures

CHRISTOPHER L.
COCCIO

11/13/2012

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Options were cancelled in exchange for new options.

(2) Subject to standard vesting schedule.

(3) SUBJECT TO VESTING SCHEDULE.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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