

MONOLITHIC POWER SYSTEMS INC
Form 4
May 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Tseng Saria

2. Issuer Name and Ticker or Trading Symbol
MONOLITHIC POWER SYSTEMS INC [MPWR]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
79 GREAT OAKS BLVD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
05/02/2014

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP & General Counsel

SAN JOSE, CA 95119
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | Code | V | Amount or Price | | |
| Common Stock | 05/02/2014 | | S ⁽¹⁾ | | 1,350 | D | \$ 36.7695 58,497 D |
| Common Stock | 05/02/2014 | | S ⁽²⁾ | | 1,148 | D | \$ 36.7136 57,349 D |
| Common Stock | 05/02/2014 | | S ⁽²⁾ | | 53 | D | \$ 36.51 57,296 D |
| Common Stock | 05/05/2014 | | S ⁽¹⁾ | | 463 | D | \$ 36.2501 56,833 D |
| Common Stock | 05/05/2014 | | S ⁽¹⁾ | | 23 | D | \$ 36.25 56,810 D |

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| | | | | | | | | |
|--------------|------------|------------------|-------|---|-------------------|--------|---|----------------------------|
| Common Stock | 05/05/2014 | S ⁽¹⁾ | 724 | D | \$ 36.2501 | 56,086 | D | |
| Common Stock | 05/05/2014 | S ⁽²⁾ | 430 | D | \$ 36.5544 (4) | 55,656 | D | |
| Common Stock | 05/05/2014 | S ⁽²⁾ | 5,056 | D | \$ 36.6799 (5) | 50,600 | D | |
| Common Stock | 05/06/2014 | S ⁽¹⁾ | 701 | D | \$ 36.4106 | 49,899 | D | |
| Common Stock | 05/06/2014 | S ⁽²⁾ | 644 | D | \$ 36.6193 (6) | 49,255 | D | |
| Common Stock | | | | | | 2,000 | I | S.Tseng Charity Fund |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | | | | |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other
VP & General Counsel

Tseng Saria
79 GREAT OAKS BLVD
SAN JOSE, CA 95119

Signatures

Saria Tseng

05/06/2014

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares sold to pay tax obligations resulting from the vesting of restricted stock units.

(2) In accordance with the reporting person's 10b5-1 trading plan.

(3) The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$36.56 to \$36.91. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(4) The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$36.18 to \$36.89. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(5) The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$36.23 to \$37.12. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

(6) The price is the weighted average sale price for the transactions reported on this line. The prices for the transactions reported on this line range from \$36.49 to \$36.73. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.